# The (theoretical) properties of very hot compound nuclei

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## **Motivation**

- One would expect nuclei to grow bigger as they become hotter. How much bigger?

- How does the change in size affect statistical properties? What is the relationship between excitation energy, entropy and temperature?

- What is the limiting excitation energy or temperature above which the nucleus does not exist?

- In short, what can we expect when a nucleus gets hotter and hotter?

#### Finite temperature formalism

In the Hartree-Fock approximation, one uses the single-particle density operator

$$D = \frac{1}{Z_0} \exp\left(-\sum_i \alpha_i a_i^{\dagger} a_i\right) \quad \text{with } Z_0 \text{ the grand canonical partition function,}$$

to define the thermodynamical potential

$$\Omega(D) = \operatorname{Tr}[DH] - TS(D) - \mu_n N(D) - \mu_p Z(D)$$

where the entropy is given by

$$S = Tr[D\ln D]$$

and *N*(*D*) and *Z*(*D*) are the neutron and proton number operators and  $\mu_n$  and  $\mu_p$  the associated chemical potentials.

The Hartree-Fock equations are obtained by minimizing the thermodynamical potential with respect to the wavefunctions,  $|i\rangle = a_i^{\dagger} |0\rangle$ 

The single-particle occupations that result are

$$n_i = \operatorname{Tr}\left[Da_i^{\dagger}a_i\right] = \frac{1}{1 + \exp\left[\left(e_i - \mu\right)/T\right]}$$

#### Bound states only

At low temperature, only bound single particle states have non-neglible occupations. At higher temperatures, we can artificially restrict the occupations so that only bound single-particle states are occupied.



NL3 – G.A. Lalazissis, J. König and P. Ring, Phys.Rev. C 55, 540 (1997). DD-ME1 – T. Niksic, D. Vretenar, P. Finelli, and P.Ring, Phys. Rev. C 66, 024306 (2002).

#### Continuum states

Consider scattering from a potential V(x) in a 1-D box [-R,R] with the condition that the wave function is zero on the edges of the box.

For a positive energy continuum state, this implies that

$$2kR + \delta(E) = n\pi$$

where  $\delta(E)$  is the phase shift due to scattering from the potential.

The density of continuum single-particle states is

$$\rho(E) = \frac{dn}{dE} = \frac{2R}{\pi} \frac{dk}{dE} + \frac{1}{\pi} \frac{d\delta}{dE}$$



$$\begin{split} \Omega &= T \sum_{i} \ln\left(1 - n_{i}\right) \\ &\to T \sum_{i \in b} \ln\left(1 - n_{i}\right) + \frac{T}{\pi} \int_{0}^{\infty} \ln\left(1 - n\left(E\right)\right) \frac{d\delta}{dE} dE + 2R \frac{T}{\pi} \int_{0}^{\infty} \ln\left(1 - n\left(E\right)\right) \frac{dk}{dE} dE \end{split}$$



#### Continuum states II

The first term in the thermodynamical potential is the contribution of the bound states,

$$\Omega = T \sum_{i \in b} \ln\left(1 - n_i\right) + \frac{T}{\pi} \int_0^\infty \ln\left(1 - n\left(E\right)\right) \frac{d\delta}{dE} dE + 2R \frac{T}{\pi} \int_0^\infty \ln\left(1 - n\left(E\right)\right) \frac{dk}{dE} dE$$

The second term furnishes the contribution of resonances – the phase shift near a resonance can be written as

$$\delta(E) \approx \delta_0 + \operatorname{atan}\left(\frac{\Gamma/2}{E_R - E}\right)$$

and its derivative as

$$\frac{d\delta}{dE} \approx \frac{\Gamma/2}{\left(E - E_R\right)^2 + \Gamma^2/4} \approx \pi \delta \left(E - E_R\right)$$

which contributes to the thermodynamic potential as

$$\Omega_R = T \ln(1 - n_R)$$

The last term - the continuum contribution – diverges and is the same when V=0. We can extract the finite contribution due to the potential by taking the difference,

$$\Delta\Omega(T,\mu) = \Omega(T,\mu,V) - \Omega(T,\mu,V=0)$$

#### Two solutions

In 3-D, including the Coulomb interaction, this is

$$\Delta\Omega(T,\mu) = \Omega(T,\mu,V+V_C) - \Omega(T,\mu,V=V_C)$$

For given values of the chemical potentials, the Hartree-Fock equations have two solutions:

- one corresponding to a nucleus + gas, with nucleon density  $\rho_{NG}$ ;
- another corresponding to the gas, with nucleon density  $\rho_{\rm G}$ .

However, the solutions are unstable due to the Coulomb repulsion of the gas particles on themselves. To remedy this, only the Coulomb repulsion from the particles in the nucleus,  $\rho_{NG}$  -  $\rho_{G}$ , are included.

The thermodynamic potential is given by

$$\Delta\Omega(T, \mu, \rho_{NG} - \rho_G) = \Omega(T, \mu, \rho_{NG}, V_N) - \Omega(T, \mu, \rho_G, V_N) + \frac{1}{2} \int \left[\rho_{NG, p}(\vec{r}) - \rho_{G, p}(\vec{r})\right] \frac{e^2}{|\vec{r} - \vec{r}'|} \left[\rho_{NG, p}(\vec{r}') - \rho_{G, p}(\vec{r}')\right] d^3r d^3r' + E_{CX}$$

B. Bonche, S. Levit, and D. Vautherin, Nucl. Phys. A427 (1984) 278, 296; A436 (1985) 265.

#### **Nuclear densities**

Two self-consistent calculations are performed – for the nucleus + gas and the gas. The chemical potentials are such that Z and A correspond to  $\rho_{NG} - \rho_{G}$ .

RMF calculations w/pairing

- Harmonic oscillator basis – 30 major shells;

Skyrme Thomas-Fermi calculations

- Regular grid in a 1-D box;
- BSk14 and NPAPR parameter sets

BSK14 - S. Goriely, M. Samyn, J. Pearson, Phys. Rev. C 75, 064312 (2007).

NPAPR - A. W. Steiner, M. Prakash, J. M. Lattimer,

P. J. Ellis, Phys. Rep. 411, 325 (2005)



#### Rms radii



- Thomas-Fermi radii increase the slowest.

- The BLV radii diverge between 9 and 11 MeV, depending on the interaction.

- Without Coulomb, the BLV radii diverge at about 12 MeV.



The BLV matter radii are well fit at T< 6 MeV by

$$\left\langle r_m^2 \right\rangle = r_{m0}^2 A^{2/3} \left( 1 + c_m T^2 \right)$$

with

 $r_{m0} = 0.95 \pm 0.05 \text{ fm}$   $c_m = 0.005 \pm 0.001 \text{ MeV}^{-2}$ 

#### Excitation energy and entropy

![](_page_9_Figure_1.jpeg)

On the scale shown here,

- the excitation energy appears to vary quadratically and the entropy linearly with the temperature, in all cases, up to about 5 MeV (Fermi gas behavior);

- above 5 MeV, the calculations including only bound states begin to show saturation effects;
- Pairing and shell effects enter at low temperatures.

## Pairing

![](_page_10_Figure_1.jpeg)

The RMF calculations were performed using an extended BCS approximation and a relativistic zero-range pairing interaction. (BVC and D. Hirata, Phys. Rev. C62 (2000) 054310.)

The pairing energy has the typical energy dependence and decreases to zero below T=1.5 MeV.

#### Shell effects

The RMF calculations also showed the effects of shell closures. To get an idea of their importance we looked at

$$\chi^{2} = \sum_{T_{min}}^{T_{max}} \left( E_{RMF}(T) - E_{0} - c_{0}T^{2} \right)^{2}$$

where  $T_{max}$  = 6 MeV and  $T_{min}$  was varied between 1 and 3 MeV.

![](_page_11_Figure_4.jpeg)

### Liquid-drop model fit to the energy

- Due to the effects of pairing and shell closures, ground state energies cannot be used a a reference for the functional dependence of the excitation energy at high energy.

- Both the constant and temperature dependent terms must be fit.
- We take

$$E = c_1 A + c_2 A^{2/3} + c_4 A d^2 + c_5 A^{1/3} + c_6 \frac{Z(Z-1)}{A^{1/3}} + (c_7 A + c_8 A^{2/3} + c_9 A d^2) T^2$$

where

$$d = \frac{1}{(1 + c_3 A^{-1/3})} \frac{N - Z}{A}$$

Fits were performed using

- RMF and Skyrme T- F calculations
- 180 nuclei with  $8 \le Z \le 82$  and  $12 \le A \le 250$
- temperatures in the range 2 MeV  $\leq$  T  $\leq$  6 MeV.

#### Liquid-drop model fit to the energy II

The parametrization:

$$E = c_1 A + c_2 A^{2/3} + c_4 A d^2 + c_5 A^{1/3} + c_6 \frac{Z(Z-1)}{A^{1/3}} + (c_7 A + c_8 A^{2/3} + c_9 A d^2) T^2$$

where

$$d = \frac{1}{(1 + c_3 A^{-1/3})} \frac{N - Z}{A}$$

Modelos	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$	$c_7$	$c_8$	$c_9$	$\chi^2/N$
BSk14	-14.71	11.73	0.655	27.29	6.94	0.664	0.064	0.077	-0.091	3.5
NRAPR	-14.35	9.98	0.718	28.24	9.17	0.649	0.057	0.087	-0.095	3.6
DD-ME1	-15.83	21.16	1.042	32.79	-7.79	0.675	0.062	0.093	-0.112	7.0
NL3	-15.27	17.68	1.145	32.47	-1.88	0.650	0.059	0.090	-0.085	6.6
G.S.	-15.8	18.3	0.0	23.7	0.0	0.714	0.0625	0.139	0.0	

- Skyrme volume and surface terms are smaller than those in G.S. fit;
- Symmetry energy term  $c_4$  is higher because of  $c_3$  dependence not in G.S. Fit;
- Temperature-dependent volume and surface terms  $c_7$  and  $c_8$  smaller.

## Symmetry energy

The symmetry energy is found to be

$$E_{sym} \approx Ad^2 \left( 30 - 0.1T^2 \right) \text{ MeV}$$

It is about 10% below its ground state value at a temperature of 6 MeV. Why does it decrease? The principal effect is the volume expansion. With

![](_page_14_Figure_4.jpeg)

#### Equilibrium

The compound nucleus is assumed to be in equilibrium. We estimate the local equilibration time in terms of the typical width of a shell-model state

 $\hbar/\tau_{eq} = \Gamma_{eq} \approx 20 \text{ MeV}$  N. Frazier, B.A. Brown, V. Zelevinsky, Phys. Rev. C54 (1996) 1665.

The width of the BLV nucleus can be estimated in terms of the incident gas flux n(e) as well as in terms of its Weisskopf decay width.

$$\Gamma_{BLV} \approx \hbar \langle \sigma v n \rangle = \frac{g\mu}{\pi^2 \hbar^2} \int e \sigma(e) n(e) de$$

$$\Gamma_{W} = \frac{g\mu}{\pi^{2}\hbar^{2}} \int e \,\sigma_{inv}\left(e\right) \frac{\rho_{f}\left(\varepsilon_{0} - Q - e, 0\right)}{\rho_{cn}\left(\varepsilon_{0}, 0\right)} de$$

![](_page_15_Figure_6.jpeg)

![](_page_15_Figure_7.jpeg)

# Summary

- As expected, a nucleus expands as it is heated. The nuclear radius grows approximately quadratically with the temperature and is about 10% larger than the ground state radius at 6 MeV.

- The excitation energy also grows approximately quadratically with the temperature, except at temperatures below about 2 MeV, where pairing and shell effects are important.

- The symmetry energy is temperature dependent and decreases by about 10% from the ground state value at a temperature of 6 MeV.

- The calculations suggest that nuclei are unstable due to Coulomb repulsion at temperatures above about 8 MeV.

- Decay times suggest that an equilibrated hot nucleus cannot exist at temperatures of more than 5 or 6 MeV.

## Things to do

- Improve the description of the geometry

$$\left\langle r^2 \right\rangle \to \frac{1}{1 + \exp\left[\left(r - R\right)/a\right]}$$

- Study fluctuations – in radius and deformation, at least

- Clusters – detailed balance?

$$\omega_{fn}(\varepsilon_{0}) = \prod_{l=1}^{k} \frac{1}{N_{l}!} \left( \frac{V}{(2\pi\hbar)^{3}} \right)^{n-1} \int \prod_{j=1}^{n} d^{3}p_{j} \delta\left( \sum_{j=1}^{n} \vec{p}_{j} \right)$$
$$\times \int \prod_{j=1}^{n} \left( \omega_{bj}(\varepsilon_{j}) d\varepsilon_{j} \right) \delta\left( \varepsilon_{0} - B_{0} - E_{c0} - \sum_{j=1}^{n} \left( \frac{p_{j}^{2}}{2m_{j}} + \varepsilon_{j} - B_{j} - E_{cj} \right) \right)$$