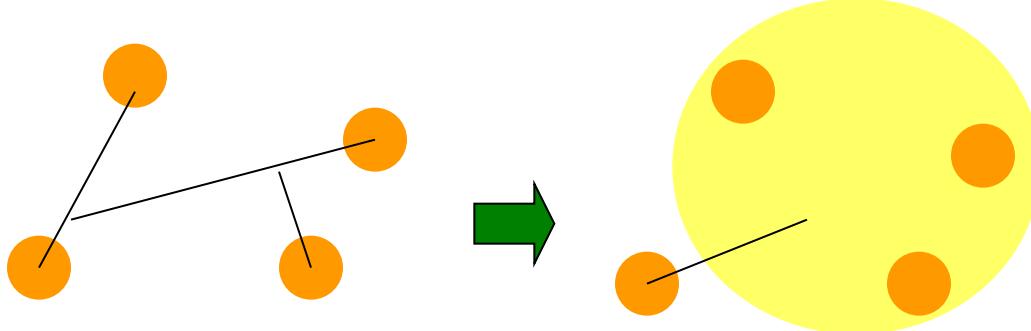
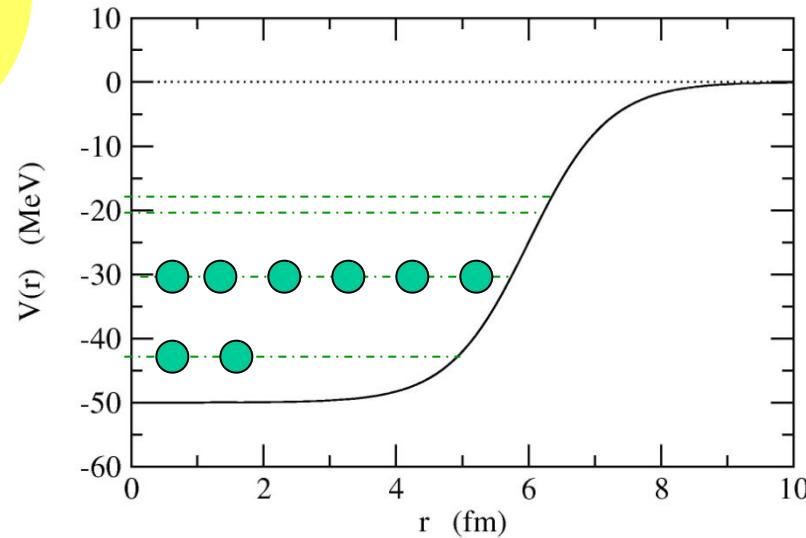


# Hartree-Fock Method

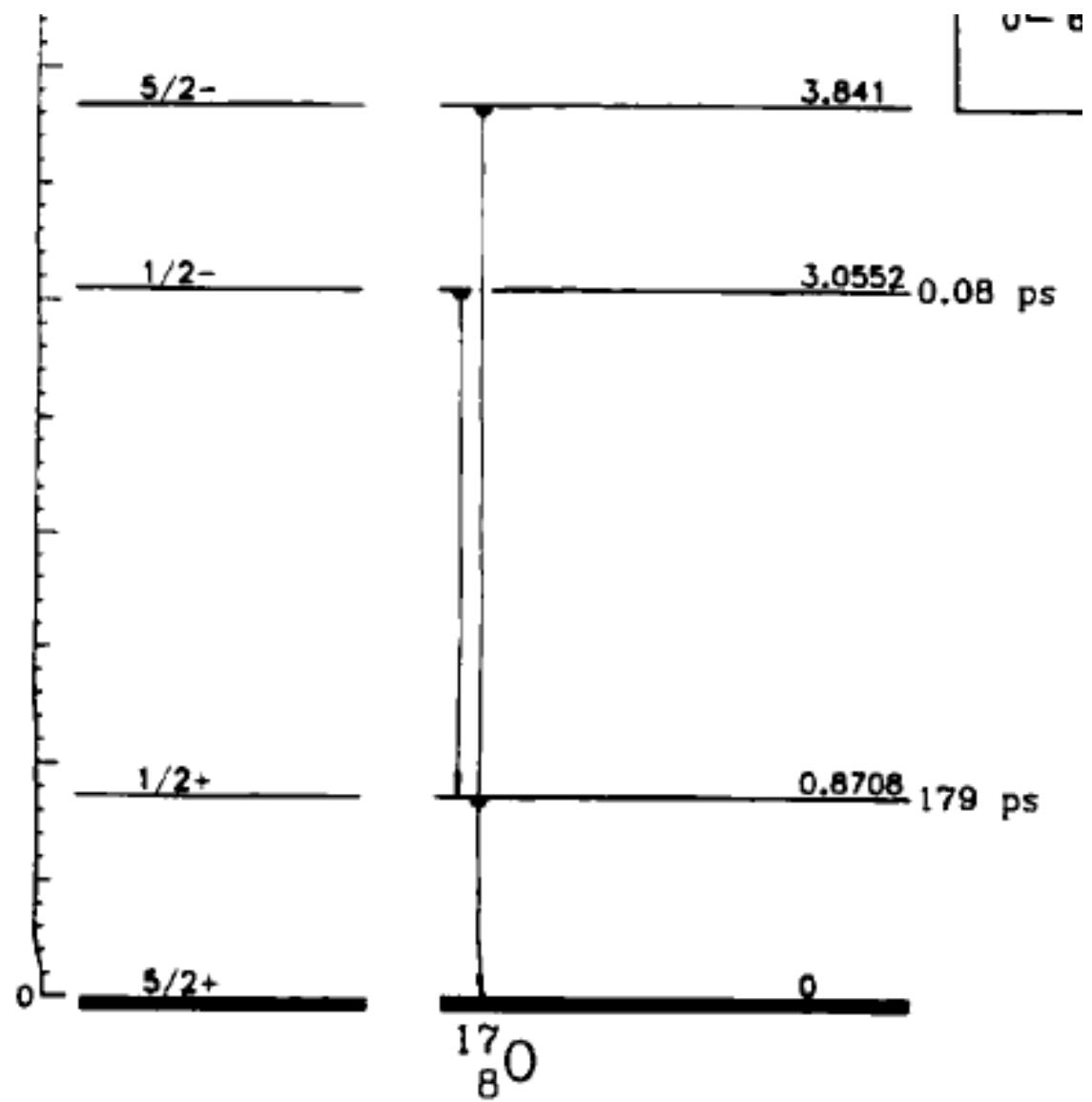


independent particle motion  
in a potential well

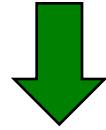
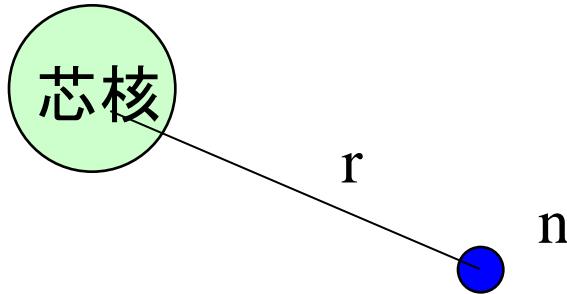


$$\begin{aligned}\Psi(1, 2, \dots, A) &= \mathcal{A}[\psi_1(1)\psi_2(2)\cdots\psi_A(A)] \\ &= \frac{1}{\sqrt{A!}} \left| \begin{array}{cccc} \psi_1(1) & \psi_2(1) & \cdots & \psi_A(1) \\ \psi_1(2) & \psi_2(2) & \cdots & \psi_A(2) \\ \vdots & & & \\ \psi_1(A) & \psi_2(A) & \cdots & \psi_A(A) \end{array} \right|\end{aligned}$$

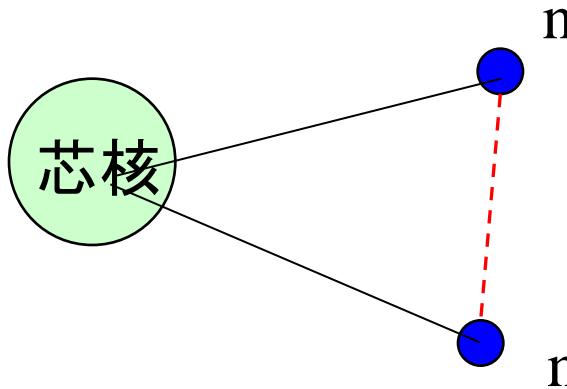
Slater determinant: antisymmetrization due to the Pauli principle



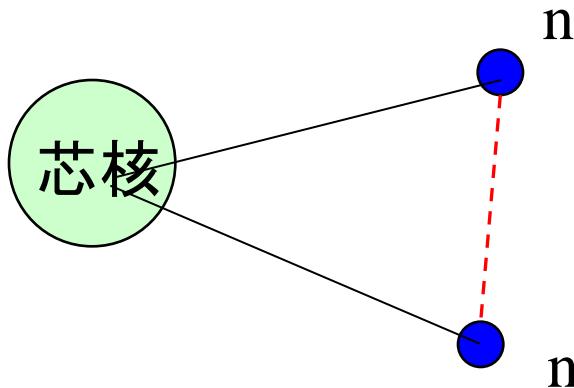
## 対相関



芯核のまわりに中性子が2個あるとどうなる？

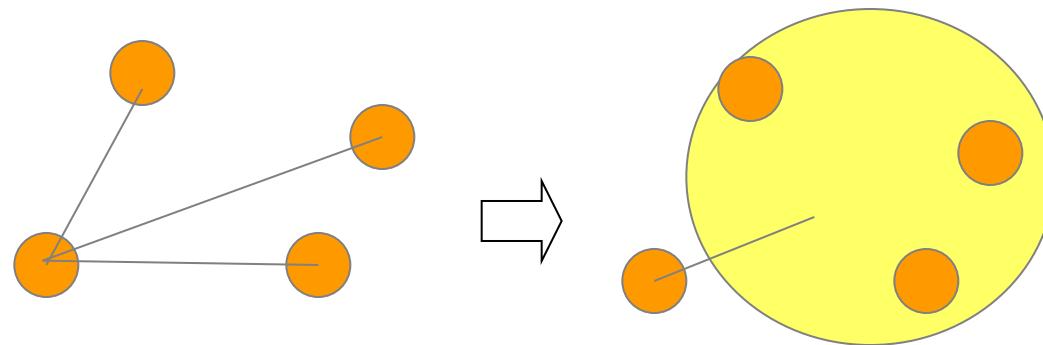


2中性子間に働く相互作用の影響は？



2中性子間に働く相互作用の影響は?

### 平均場理論



他の核子との相互作用を平均的に取り扱う

単純な平均場近似が完全に成り立つとすると、2中性子間相互作用は平均場ポテンシャルを通じて考慮され、それ以上の相互作用を考える必要はない。(2中性子が独立に運動。)

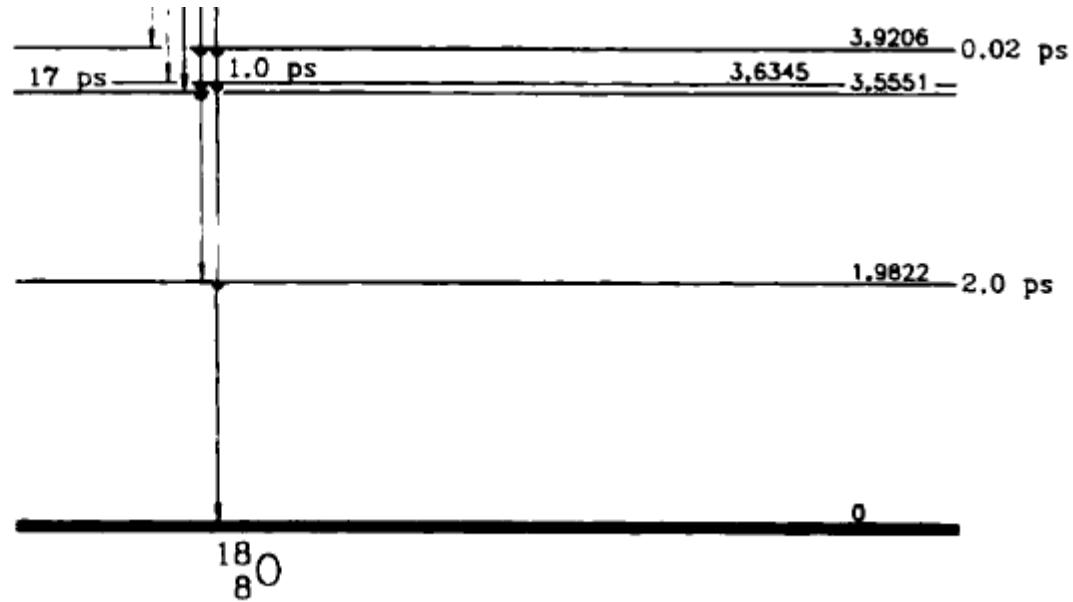
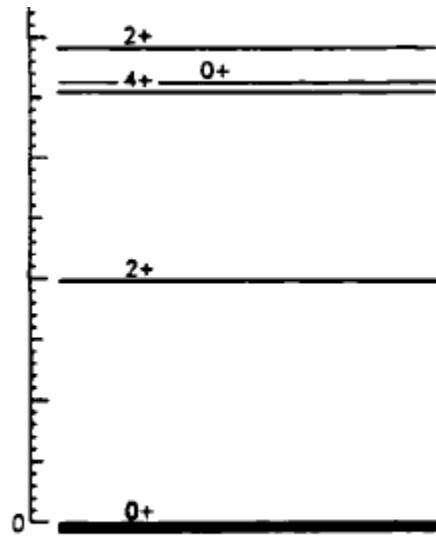
$$H = \sum_i T_i + \sum_{i < j} v_{ij} \rightarrow H = \sum_i (T_i + V_i) + \sum_{i < j} v_{ij} - \sum_i V_i$$



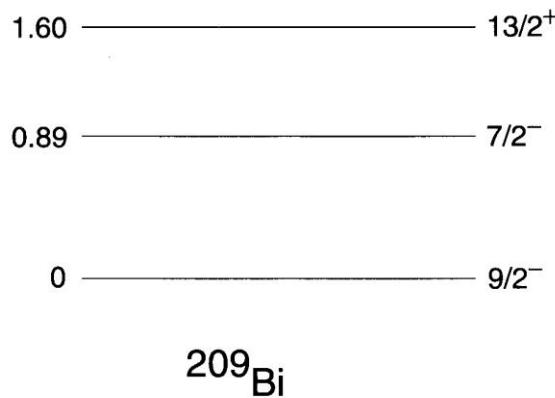
平均からのずれ  
(残留相互作用)

残留相互作用は完全に無視してもよいのか?

→ 開殻原子核では重要な役割を果たす  
ことが知られている(ペアリング)



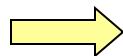
# 対相関（ペアリング）



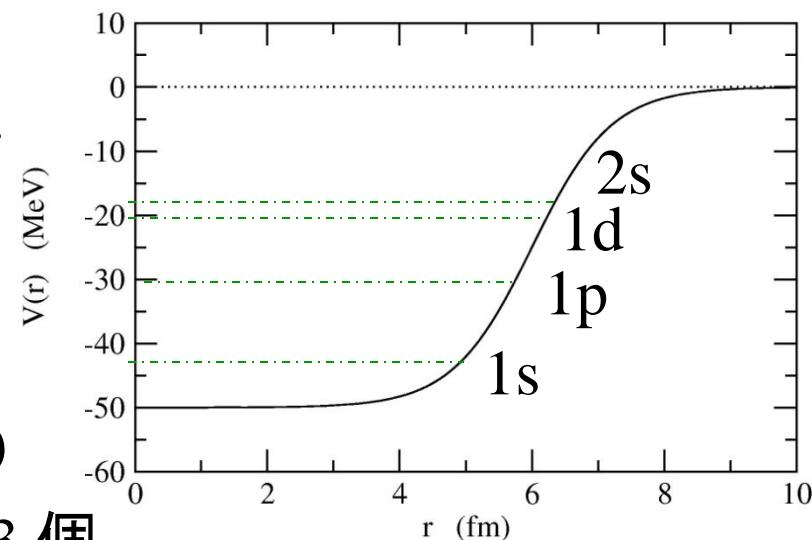
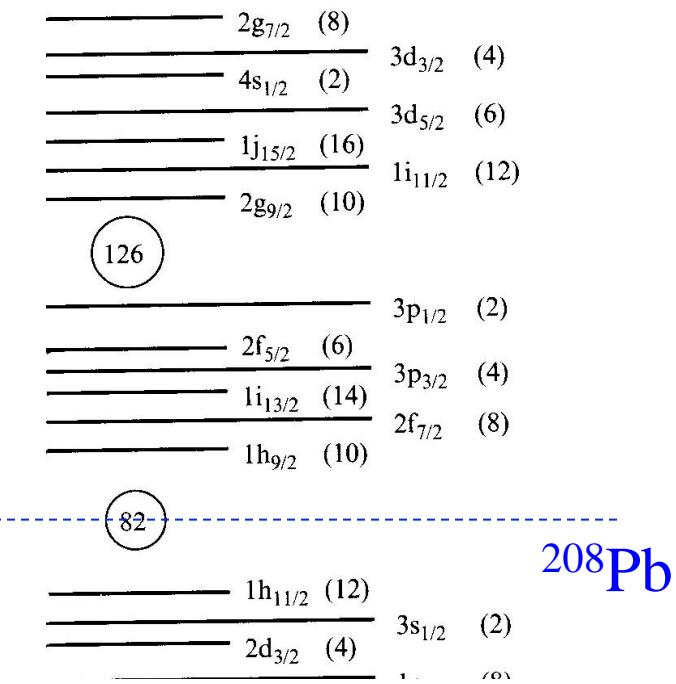
もし独立粒子近似が成り立つていると:

$$E=0: [h_{9/2} \otimes h_{9/2}]^I \quad (I=0,2,4,6,8)$$

$$E=0.89 \text{ MeV}: [h_{9/2} \otimes f_{7/2}]^I \quad (I=1,2,3,4,5,6,7,8)$$



状態の数: 1 MeV以下に13個





独立粒子近似が成り立っていると:

$$E=0: [h_{9/2} \otimes h_{9/2}]^I \quad (I=0,2,4,6,8)$$

$$E=0.89 \text{ MeV}: [h_{9/2} \otimes f_{7/2}]^I \quad (I=1,2,3,4,5,6,7,8)$$

→ 状態の数: 1 MeV以下に13 個

実際のスペクトル:

1.20 MeV	—————	4 <sup>+</sup>
0.81 MeV	—————	2 <sup>+</sup>

0	—————	0 <sup>+</sup>
$^{210}\text{Po}$		



残留相互作用の効果

$$H = \sum_{i=1}^A \left( -\frac{\hbar^2}{2m} \nabla_i^2 + V_{\text{HF}}(i) \right) + \frac{1}{2} \sum_{i,j}^A v(\mathbf{r}_i, \mathbf{r}_j) - \sum_i V_{\text{HF}}(i)$$

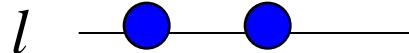
## 対相関(ペアリング)

$$H = \sum_{i=1}^A \left( -\frac{\hbar^2}{2m} \nabla_i^2 + V_{\text{HF}}(i) \right) + \underbrace{\frac{1}{2} \sum_{i,j} v(r_i, r_j)}_{\text{超短距離力}} - \sum_i V_{\text{HF}}(i)$$

簡単のために、残留相互作用としてデルタ関数を仮定してみる  
(超短距離力)

$$\begin{aligned} v_{\text{res}}(r, r') &\sim -g \delta(r - r') \\ &= -g \frac{\delta(r - r')}{rr'} \sum_{\lambda\mu} Y_{\lambda\mu}^*(\hat{r}) Y_{\lambda\mu}(\hat{r}') \end{aligned}$$

摂動論で残留相互作用の効果を見積もってみる：



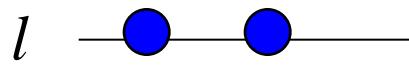
非摂動な波動関数：

角運動量  $l$  の状態に中性子2個、それが全角運動量  $L$  を組んでいる

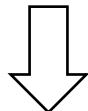
$$|(ll)LM\rangle = \sum_{m,m'} \langle lm|lm'|LM\rangle \psi_{lm}(\mathbf{r}) \psi_{lm'}(\mathbf{r}')$$

## 対相関(ペアリング)

$$v_{\text{res}}(\mathbf{r}, \mathbf{r}') \sim -g \delta(\mathbf{r} - \mathbf{r}') \\ = -g \frac{\delta(r - r')}{rr'} \sum_{\lambda\mu} Y_{\lambda\mu}^*(\hat{\mathbf{r}}) Y_{\lambda\mu}(\hat{\mathbf{r}}')$$



$$|(ll)LM\rangle = \sum_{m,m'} \langle lm l m' | LM \rangle \psi_{lm}(\mathbf{r}) \psi_{l m'}(\mathbf{r}')$$



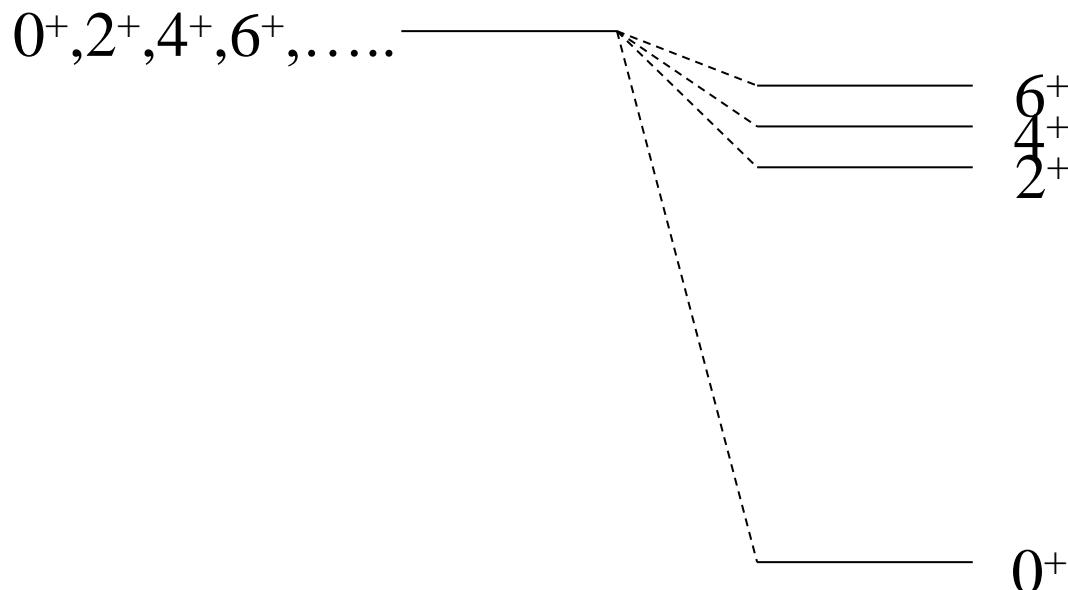
残留相互作用によるエネルギー変化:

$$\Delta E_L = \langle (ll)LM | v_{\text{res}} | (ll)LM \rangle \\ = -g I_r^{(l)} \frac{(2l+1)^2}{4\pi} \begin{pmatrix} l & l & L \\ 0 & 0 & 0 \end{pmatrix}^2$$

$$I_r^{(l)} = \int_0^\infty r^2 dr (R_l(r))^4$$

$$\Delta E_L = -g I_r^{(l)} \frac{(2l+1)^2}{4\pi} \begin{pmatrix} l & l & L \\ 0 & 0 & 0 \end{pmatrix}^2 \equiv -g I_r^{(l)} \frac{A(ll; L)}{4\pi}$$

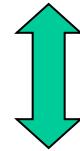
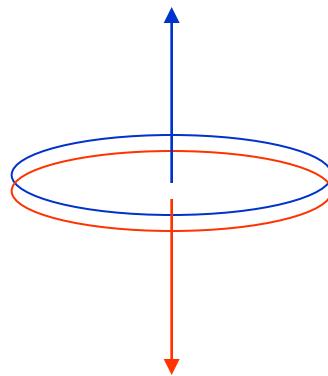
$A(ll; L)$	$L=0$	$L=2$	$L=4$	$L=6$	$L=8$
$l = 2$	5.00	1.43	1.43	---	---
$l = 3$	7.00	1.87	1.27	1.63	---
$l = 4$	9.00	2.34	1.46	1.26	1.81



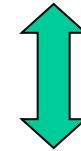
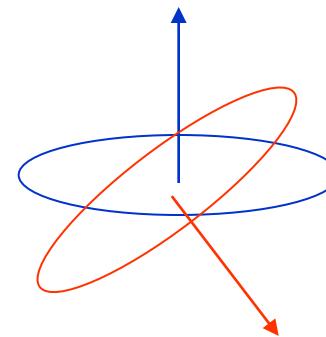
残留相互  
作用なし

残留相互  
作用あり

## 簡単な解釈:



$L=0$  対



$L \neq 0$  対

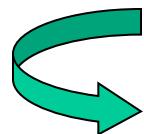
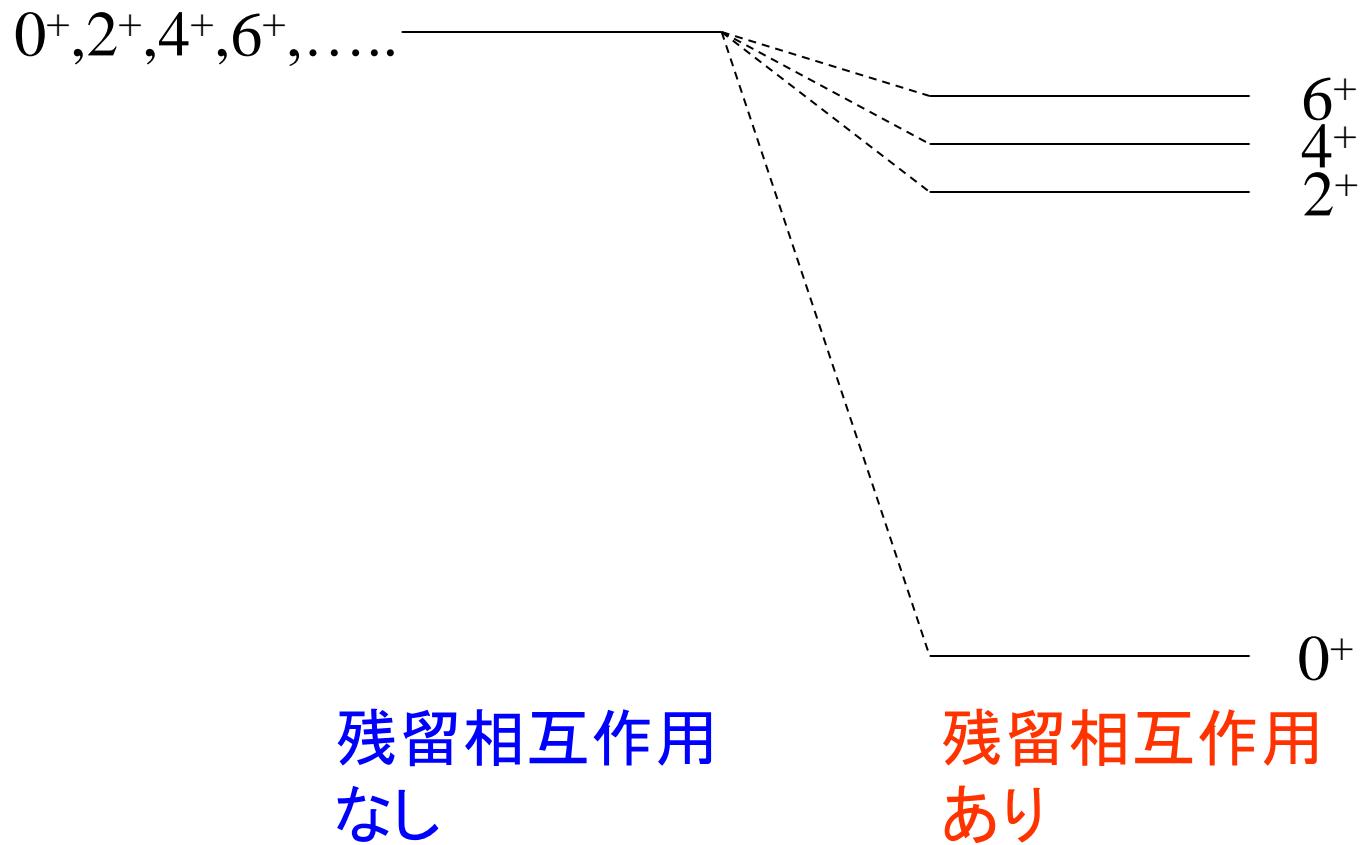
$L=0$  対に対して空間的重なりが最大(エネルギー的に得)

“対相関”

(note)

$$\psi(l^2; L = 0) = \sum_m \langle lml - m | L = 0, 0 \rangle Y_{lm}(\hat{r}_1) Y_{l-\mu}(\hat{r}_2) = Y_{l0}(\theta_{12}) / \sqrt{4\pi}$$

すべての  $m$  が「コヒーレント」に寄与



## 原子核の基底状態のスピン

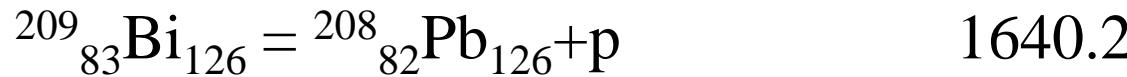
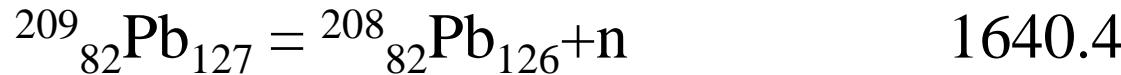
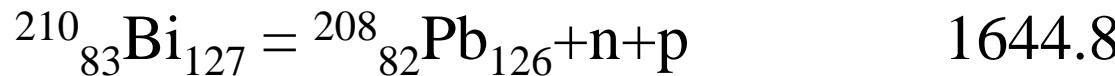
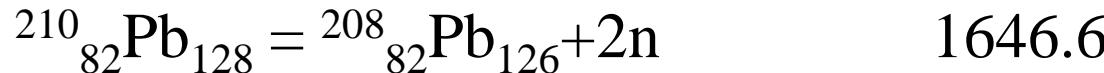
- 偶々核: 例外なしに  $0^+$
- 奇核: 最外殻核子の角運動量と一致

## 束縛エネルギー

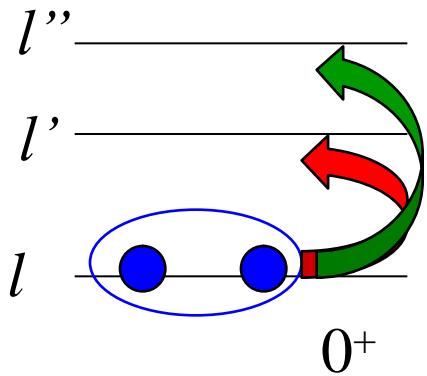
対相関のため、同種核子(2つの中性子または2つの陽子)が角運動量ゼロを組むと安定化

例:

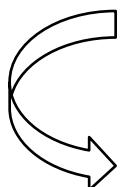
束縛エネルギー (MeV)



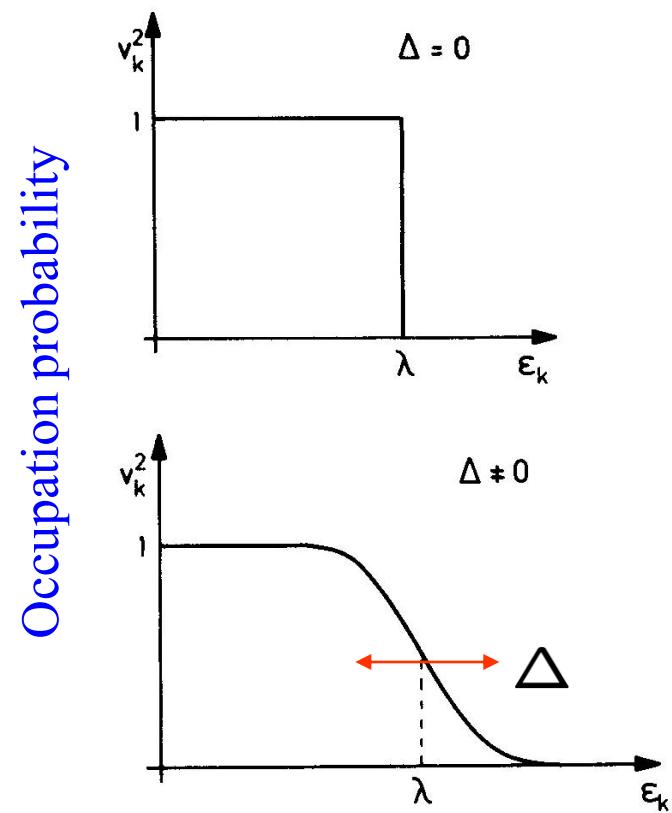
# 波動関数:



$$|\Psi_{0+}\rangle = |(ll)L=0\rangle + \sum_{l'} \frac{\langle(l'l')L=0|v_{\text{res}}|(ll)L=0\rangle}{2\epsilon_l - 2\epsilon_{l'}} |(l'l')L=0\rangle + \dots$$

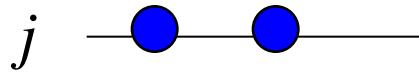


各軌道は部分的にのみ占有されることになる  
cf. BCS 理論

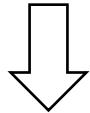


(参考)スピンを考慮すると:

$$\begin{aligned} v_{\text{res}}(\mathbf{r}, \mathbf{r}') &\sim -g \delta(\mathbf{r} - \mathbf{r}') \\ &= -g \frac{\delta(r - r')}{rr'} \sum_{\lambda\mu} Y_{\lambda\mu}^*(\hat{\mathbf{r}}) Y_{\lambda\mu}(\hat{\mathbf{r}}') \end{aligned}$$



$$|(jj)IM\rangle = \sum_{\mu,\mu'} \langle j\mu j\mu' | IM \rangle \psi_{j\mu}(\mathbf{r}) \psi_{j\mu'}(\mathbf{r}')$$



$$\begin{aligned} \Delta E_I &\sim \langle (jj)IM | -g\delta(\mathbf{r} - \mathbf{r}') | (jj)IM \rangle \\ &= -g F_r \frac{(2j+1)^2}{2} \begin{pmatrix} j & j & I \\ 1/2 & -1/2 & 0 \end{pmatrix}^2 \end{aligned}$$

(for even  $I$ )

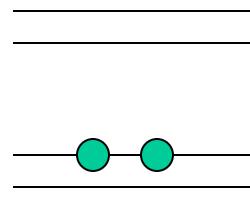
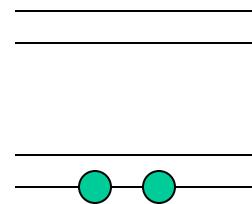
$$F_r = \int dr \frac{u_{jl}^4(r)}{4\pi r^2} \quad (\text{radial integral})$$

# 弱束縛核における対相関

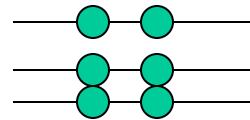
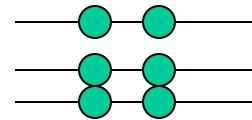
$$H = \sum_i T_i + \sum_{i < j} v_{ij} \rightarrow H = \sum_i (T_i + V_i) + \sum_{i < j} v_{ij} - \sum_i V_i$$

{ }

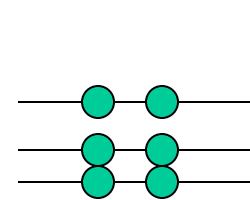
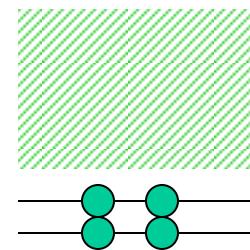
平均からのずれ  
(残留相互作用)



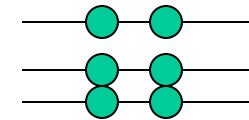
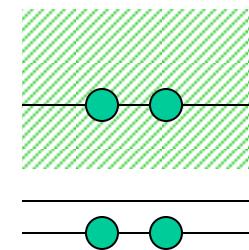
+



安定な原子核  
→ 超流動状態



+



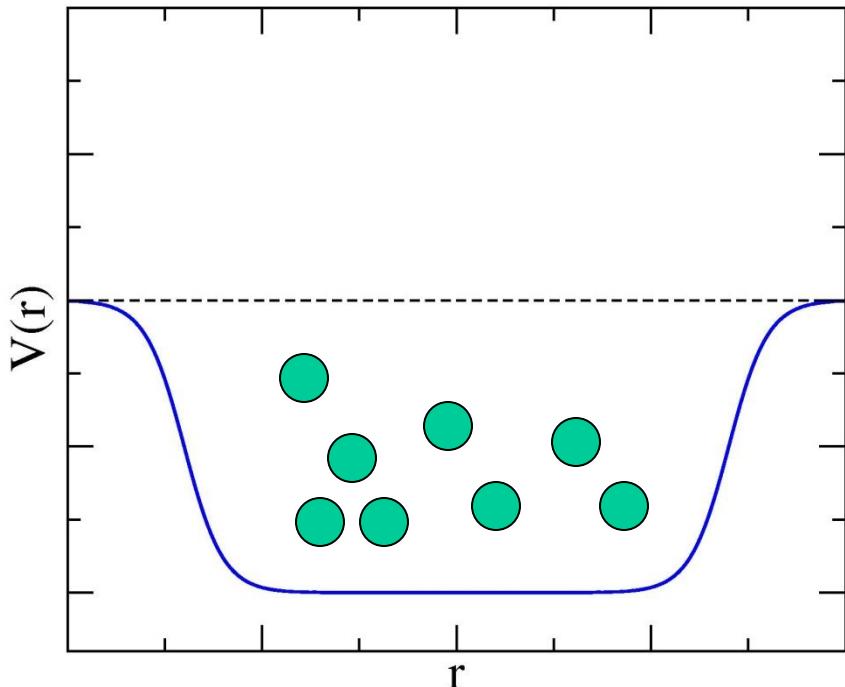
+ ....

弱く束縛された系

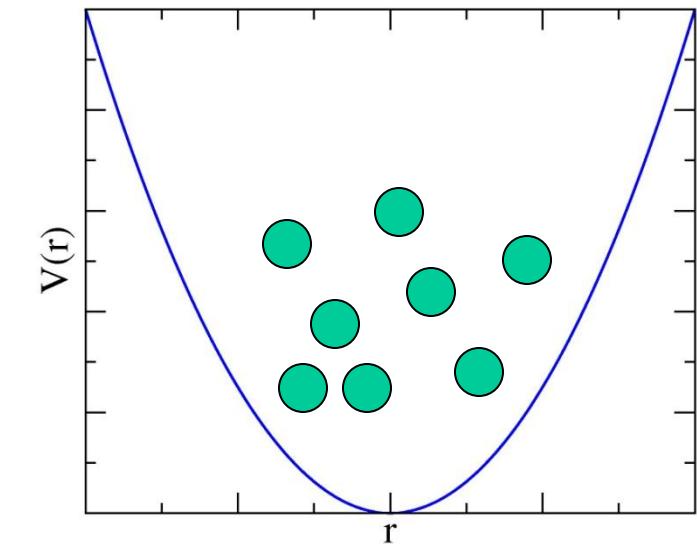
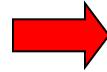
# 中性子過剰核の物理

- 弱束縛系
- 残留相互作用(対相関)
- 連続状態との結合

ポテンシャルの井戸に束縛された相互作用する多フェルミオン系



- 有限の深さを持つ井戸
- 自己無撞着性

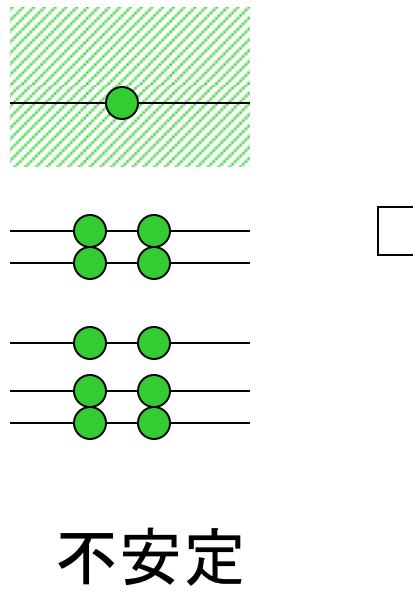


cf. a harmonic trap

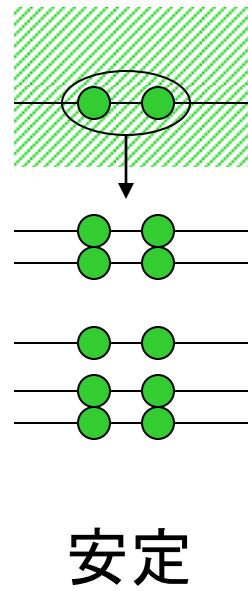
とてもチャレンジングな問題  
(わからないことは色々ある)

# ボロミアン原子核

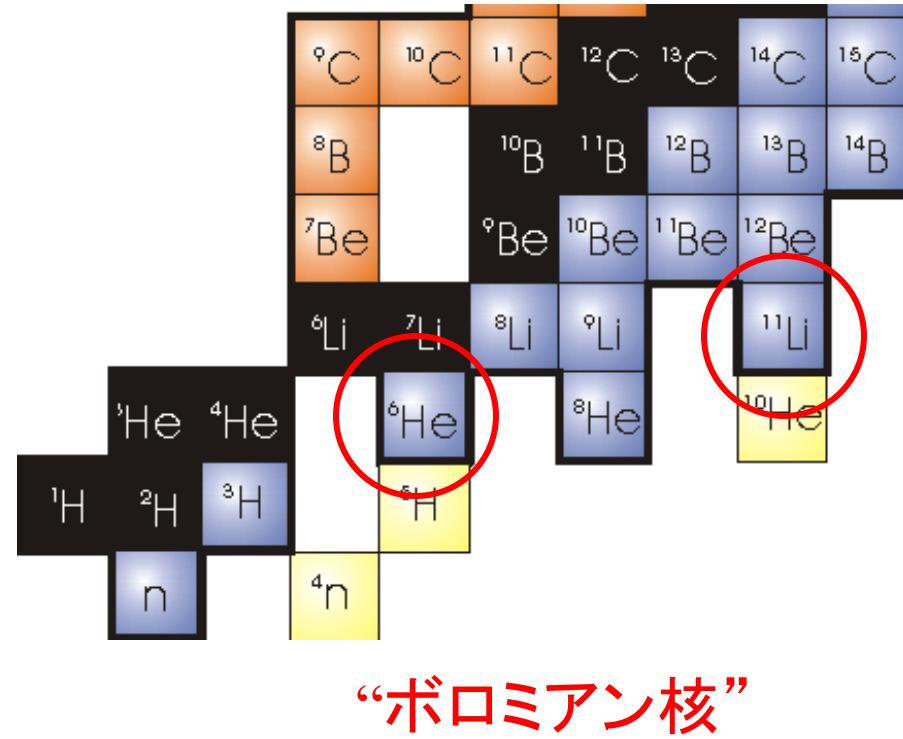
残留相互作用 → 引力



不安定



安定



“ボロミアン核”

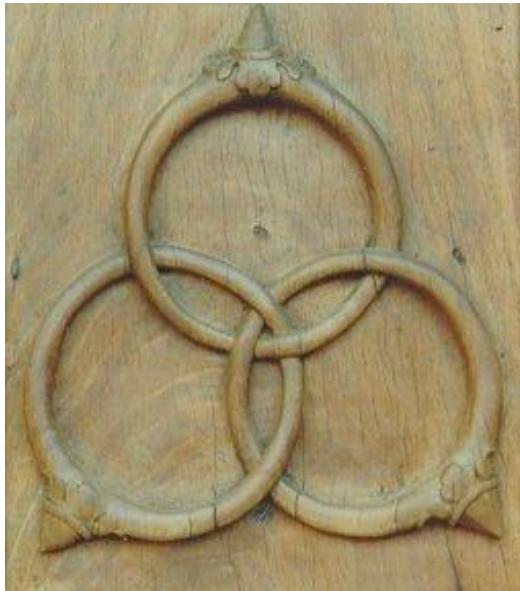
## ボロミアン核の構造

- ✓ 多体相関のため non-trivial
- ✓ 多くの注目を集めている

## (休憩)ボロミアンって何?



ボッロメオ諸島  
(北イタリア、マッジョーレ湖)  
ミラノの近く



ボッロメオ家(13世紀)の紋章



10 Google - 地図データ ©2010 Basarsoft, Europa Technologies, Goog



10 Google - 地図データ ©2010 Basarsoft, Europa Technologies, Goog



ボロミオ諸島

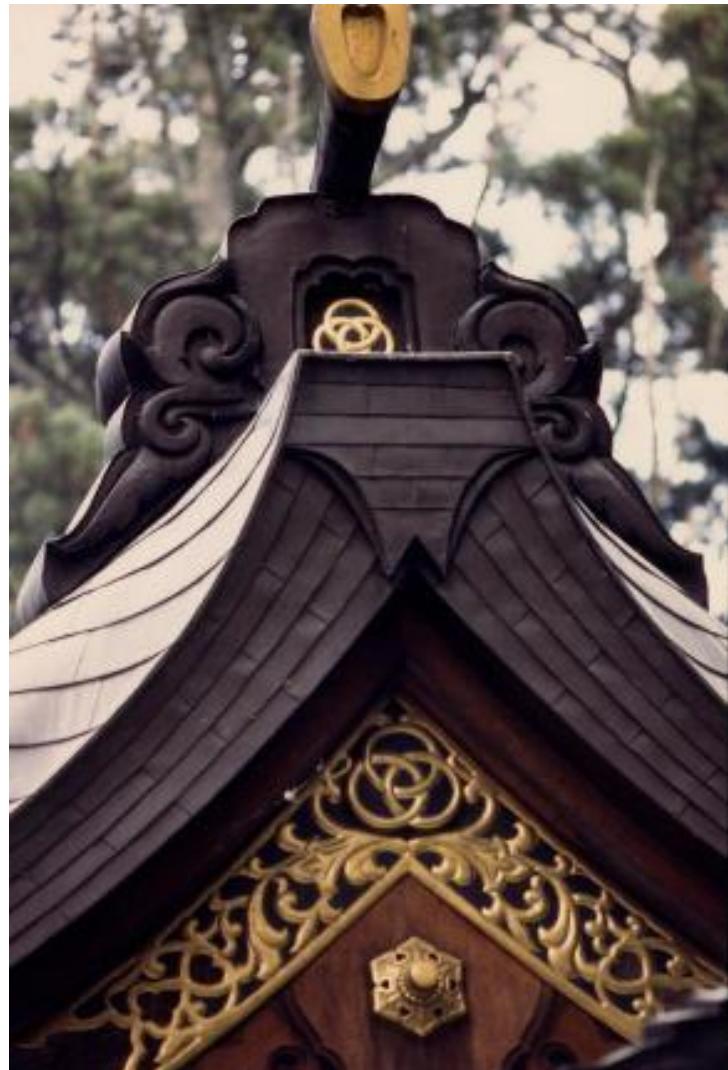
# (休憩)ボロミアンって何?

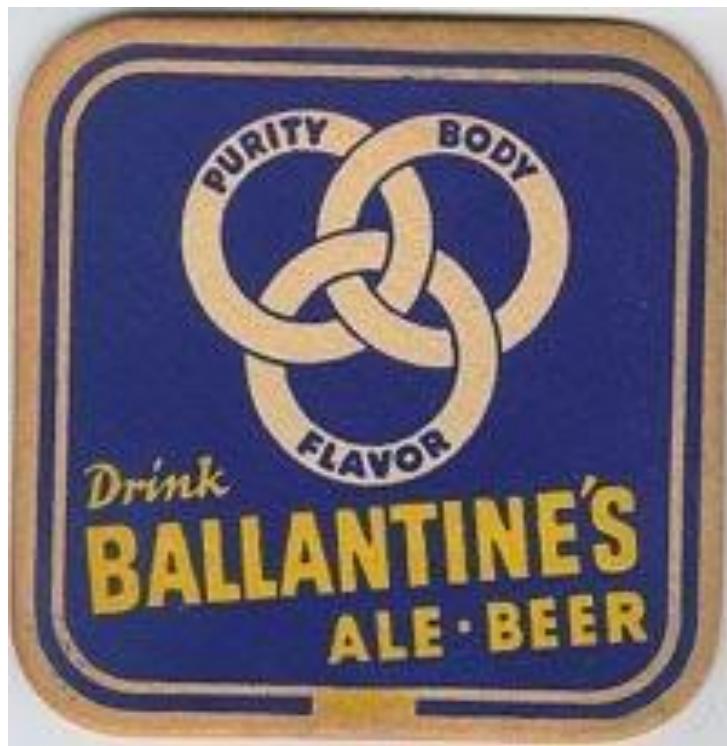
ちなみに日本でも。。。。



三つ輪違い紋  
(徳川旗本金田家の紋)

大神(おおみわ)神社  
奈良県桜井市





バランタイン・エール(アメリカのビール)

## (休憩)ボロミアンって何?



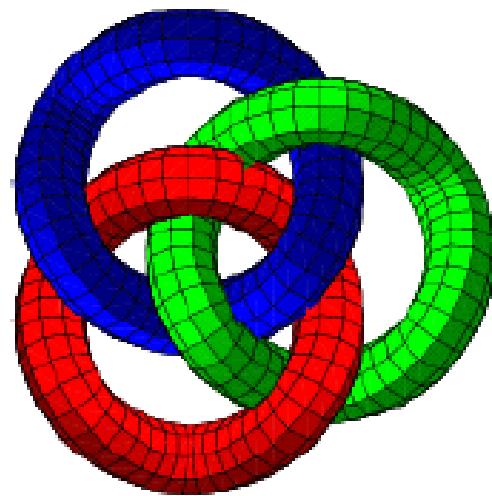
三つ輪違い紋  
(徳川旗本金田家の紋)

3つの輪はつながっているけど、どれか1つを  
はずすとバラバラになる

「ボロミアン・リング」

# ボロミアン原子核

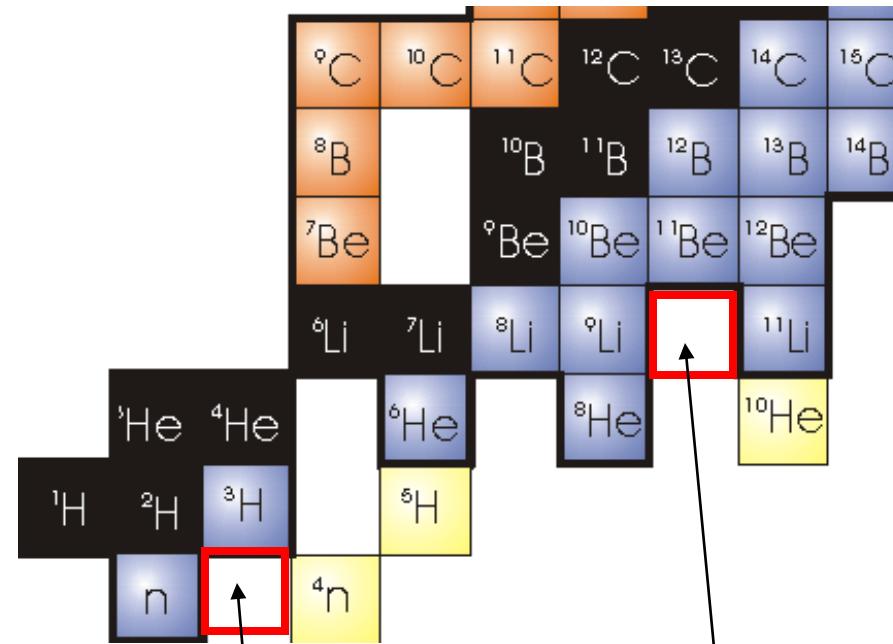
n  
n



$^9\text{Li}$

ボロミアン核

他にも、 $^6\text{He}$  が典型的な例



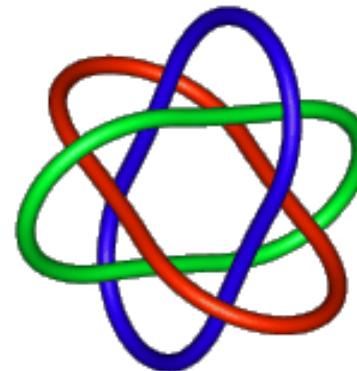
$^{10}\text{Li}$  ( $^9\text{Li}+n$ )  
は存在せず

$^2n$  ( $n+n$ ) は存在せず

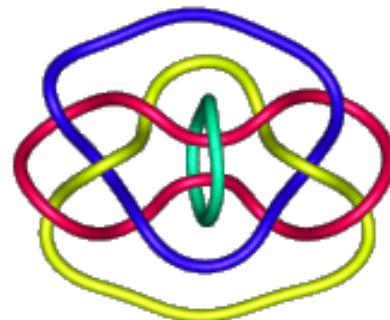
## (参考)ブルニアン・リンク: 拡張されたボロミアン

結び目理論: 位相幾何学の分野(数学)

$n=3$ : Borromean



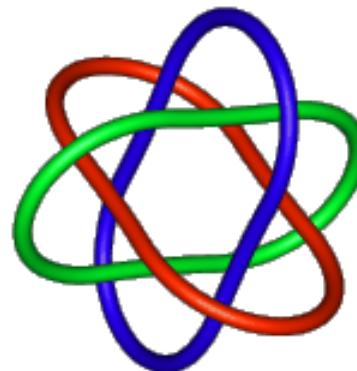
$n=4$



$n=6$

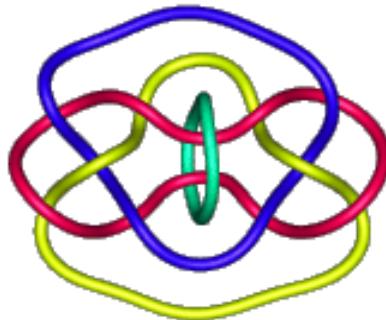
## (参考)ブルニアン原子核

$n=3$ : Borromean



$^{11}\text{Li}$ ,  $^6\text{He}$ , etc.

$n=4$ :  $^{10}\text{C} = ^4\text{He} + ^4\text{He} + \text{p} + \text{p}$



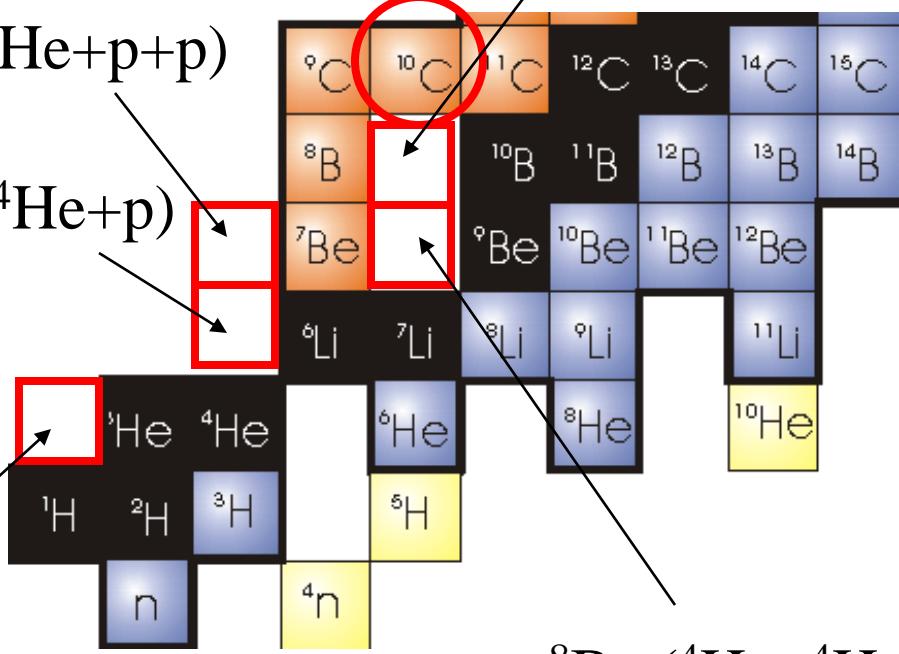
$^2\text{He}$  (p+p)

$^6\text{Be}$  ( $^4\text{He} + \text{p} + \text{p}$ )

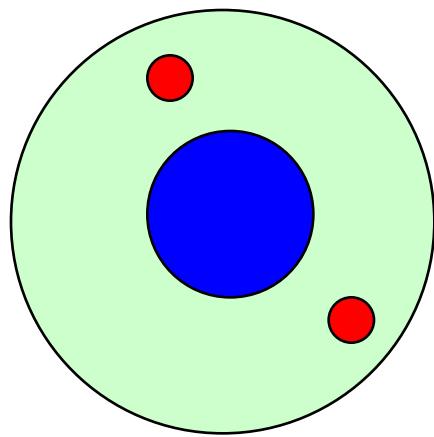
$^5\text{Li}$  ( $^4\text{He} + \text{p}$ )

cf. N. Curtis et al., PRC77('08)021301(R)

$^9\text{B}$  ( $^4\text{He} + ^4\text{He} + \text{p}$ )

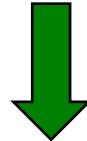


# 双中性子 (dineutron) 相関



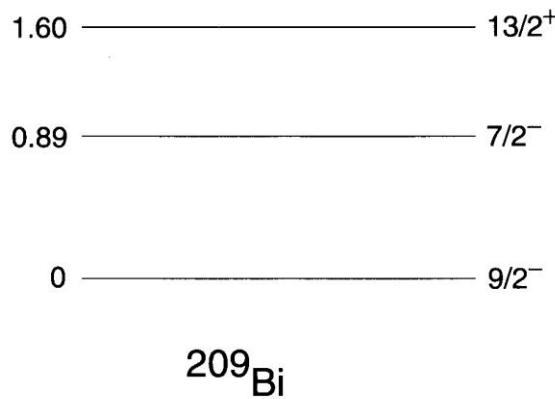
原子核中で2つの中性子は空間的に  
どのように配置されているのか？

2つの中性子が独立に運動していると  
すると、片方の中性子がどこにいようと  
もう片方は関知しない



対相関が働くとどうなるか？

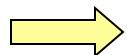
# Pairing Correlations



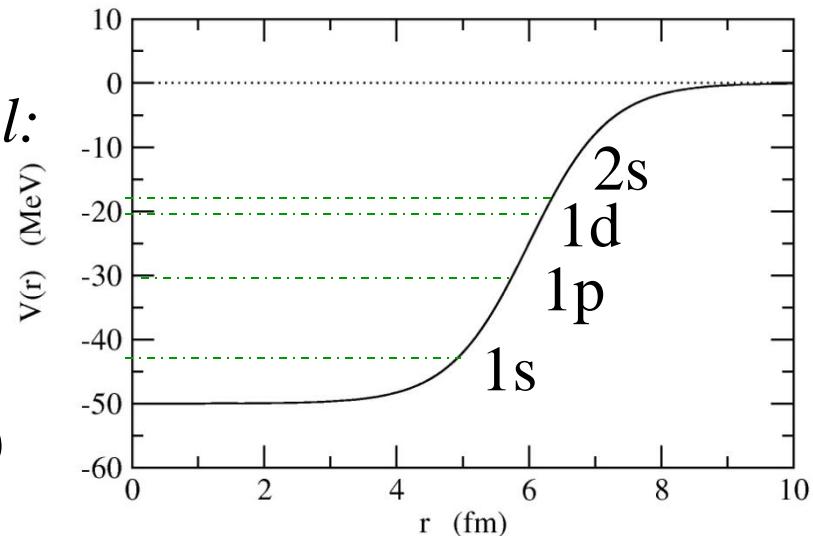
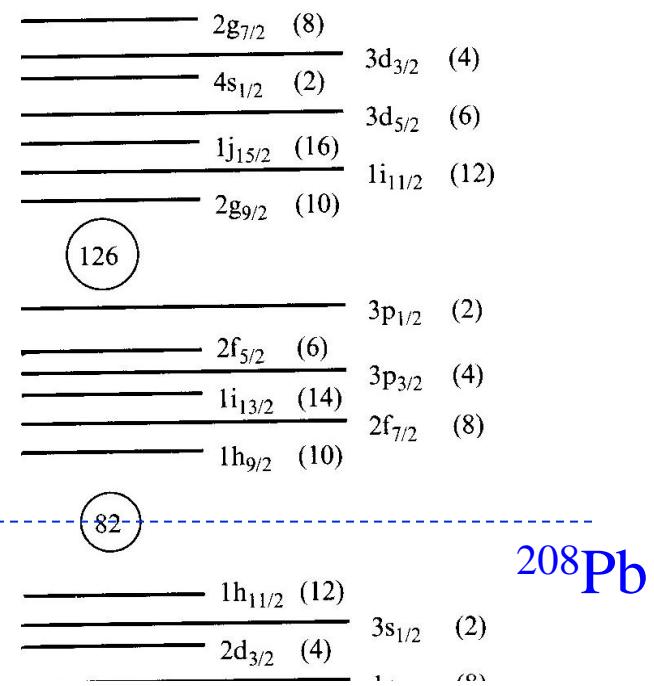
*expectation of the indep. particle model:*

$$E=0: [h_{9/2} \otimes h_{9/2}]^I \quad (I=0,2,4,6,8)$$

$$E=0.89 \text{ MeV}: [h_{9/2} \otimes f_{7/2}]^I \quad (I=1,2,3,4,5,6,7,8)$$



# of states below 1 MeV: 13





*expectation of the indep. particle model:*

$$E=0: [h_{9/2} \otimes h_{9/2}]^I \quad (I=0,2,4,6,8)$$

$$E=0.89 \text{ MeV}: [h_{9/2} \otimes f_{7/2}]^I \quad (I=1,2,3,4,5,6,7,8)$$

→ # of states below 1 MeV: 13

*observed spectra:*

$$\begin{array}{ccc} 1.20 \text{ MeV} & \hline & 4^+ \\ 0.81 \text{ MeV} & \hline & 2^+ \end{array}$$

$$\begin{array}{ccc} 0 & \hline & 0^+ \\ & ^{210}\text{Po} & \end{array}$$



Effects of the residual interaction

$$H = \sum_{i=1}^A \left( -\frac{\hbar^2}{2m} \nabla_i^2 + V_{\text{HF}}(i) \right) + \frac{1}{2} \sum_{i,j}^A v(\mathbf{r}_i, \mathbf{r}_j) - \sum_i V_{\text{HF}}(i)$$

## Effects of the residual interaction

$$\begin{aligned}
 H = & \sum_{i=1}^A \left( -\frac{\hbar^2}{2m} \nabla_i^2 + V_{\text{HF}}(i) \right) + \underbrace{\frac{1}{2} \sum_{i,j}^A v(\mathbf{r}_i, \mathbf{r}_j) - \sum_i V_{\text{HF}}(i)}_{\text{residual interaction}} \\
 & \sim -g \delta(\mathbf{r} - \mathbf{r}') \quad (\text{short range force}) \\
 & = -g \frac{\delta(r - r')}{rr'} \sum_{\lambda\mu} Y_{\lambda\mu}^*(\hat{\mathbf{r}}) Y_{\lambda\mu}(\hat{\mathbf{r}}')
 \end{aligned}$$

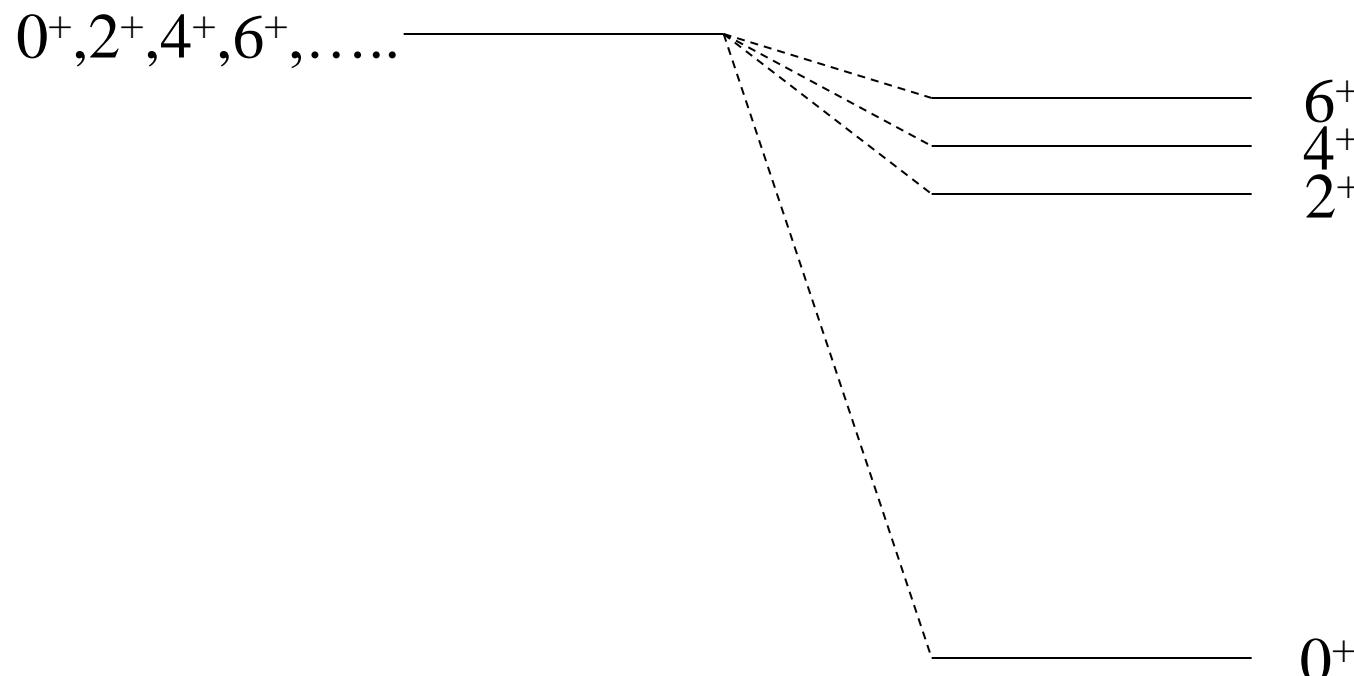
$$\begin{aligned}
 \Delta E_I & \sim \langle [j \otimes j]^I | -g\delta(\mathbf{r} - \mathbf{r}') | [j \otimes j]^I \rangle \\
 & = -g F_r \frac{(2j+1)^2}{2} \begin{pmatrix} j & j & I \\ 1/2 & -1/2 & 0 \end{pmatrix}^2
 \end{aligned}$$

(for even  $j$ )

$$F_r = \int dr \frac{u_{jl}^4(r)}{4\pi r^2} \quad (\text{radial integral})$$

$$\Delta E_I \sim -g F_r \frac{(2j+1)^2}{2} \begin{pmatrix} j & j & I \\ 1/2 & -1/2 & 0 \end{pmatrix}^2 \equiv -g F_r A(jj; I)$$

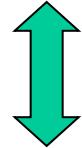
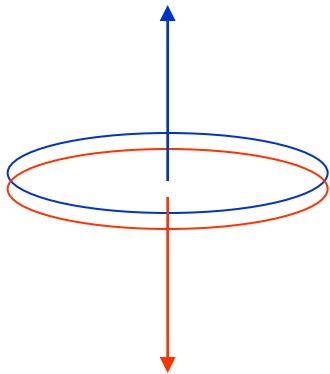
$A(jj; I)$	$I=0$	$I=2$	$I=4$	$I=6$
$j=5/2$	3.00	0.685	0.286	---
$j=7/2$	4.00	0.95	0.467	0.233



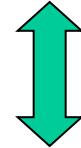
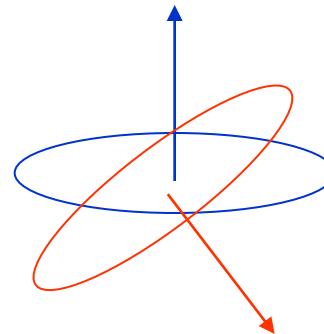
without residual  
interaction

with residual  
interaction

## Simple interpretation:



$I=0$  pair



$I \neq 0$  pair

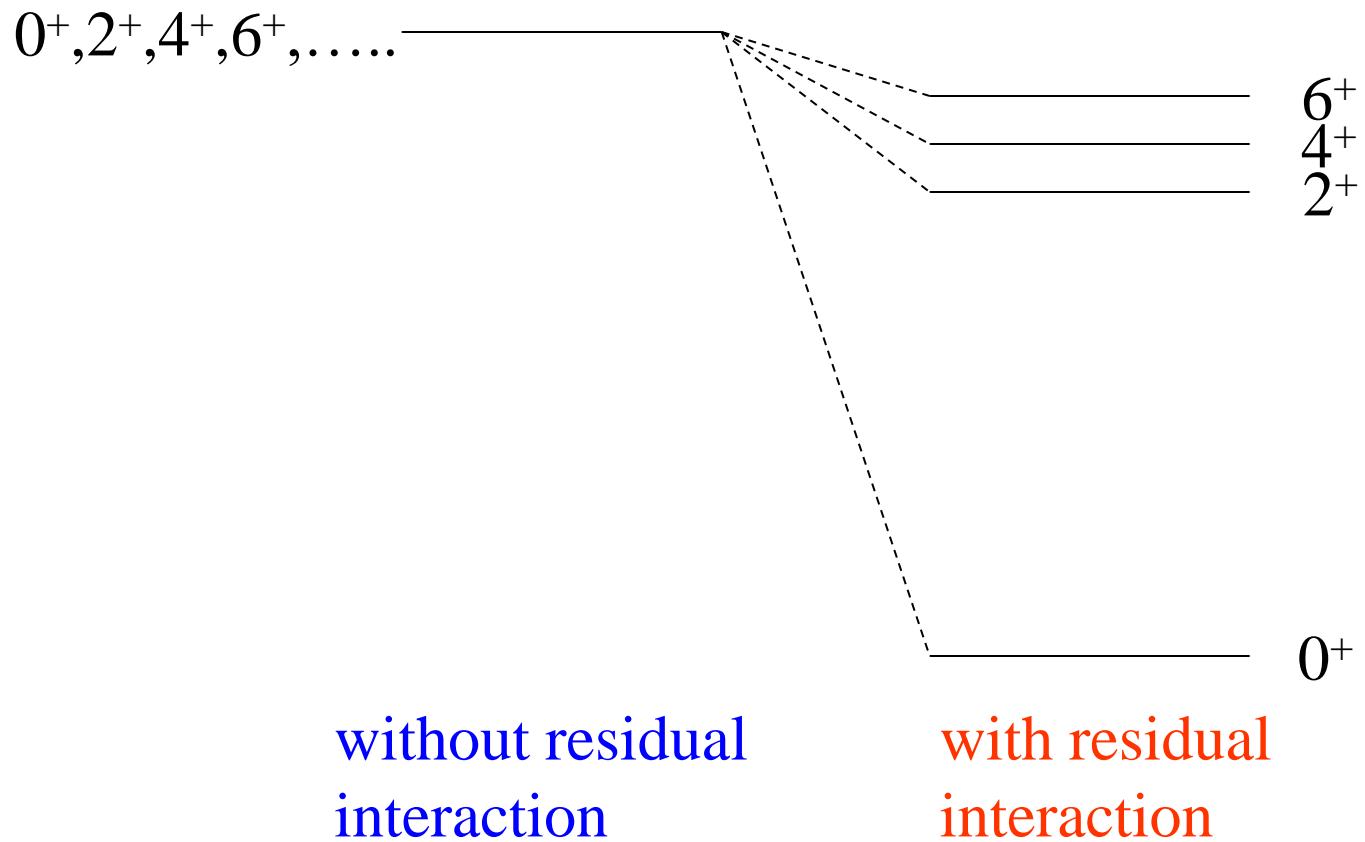
The spatial overlap is the largest for the  $I=0$  pair.

“Pairing Correlation”

(note) The  $I=2j$  pair is unfavoured due to the Pauli principle.

(note)

$$\psi(l^2; L = 0) = \sum_{\mu} \langle l\mu l - \mu | L = 0, 0 \rangle Y_{l\mu}(\hat{r}_1) Y_{l-\mu}(\hat{r}_2) = Y_{l0}(\theta_{12}) / \sqrt{4\pi}$$



## The ground state spin of nuclei

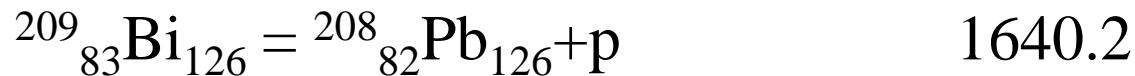
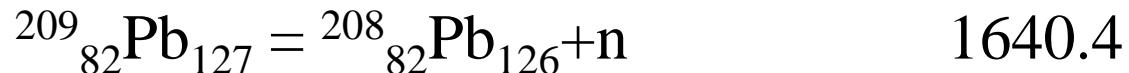
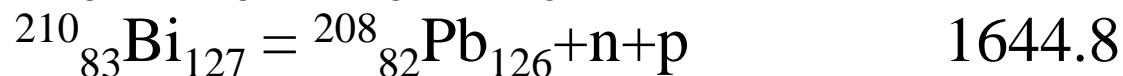
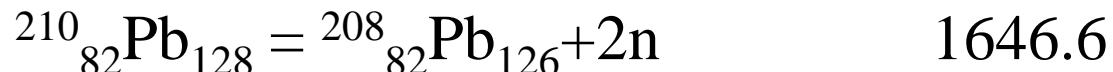
- Even-even nuclei:  $0^+$
- Even-odd nuclei: the spin of the valence particle

## Mass Formula (Even-odd mass difference)

Extra binding when like nucleons form a spin-zero pair

Example:

Binding energy (MeV)



$$\begin{aligned} B_{\text{pair}} &= \Delta && (\text{for even - even}) \\ &= 0 && (\text{for even - odd}) \\ &= -\Delta && (\text{for odd - odd}) \end{aligned}$$

*More later*

# The BCS theory

Many-particles in non-degenerate levels  
~ mean-field approx. for the pairing channel ~

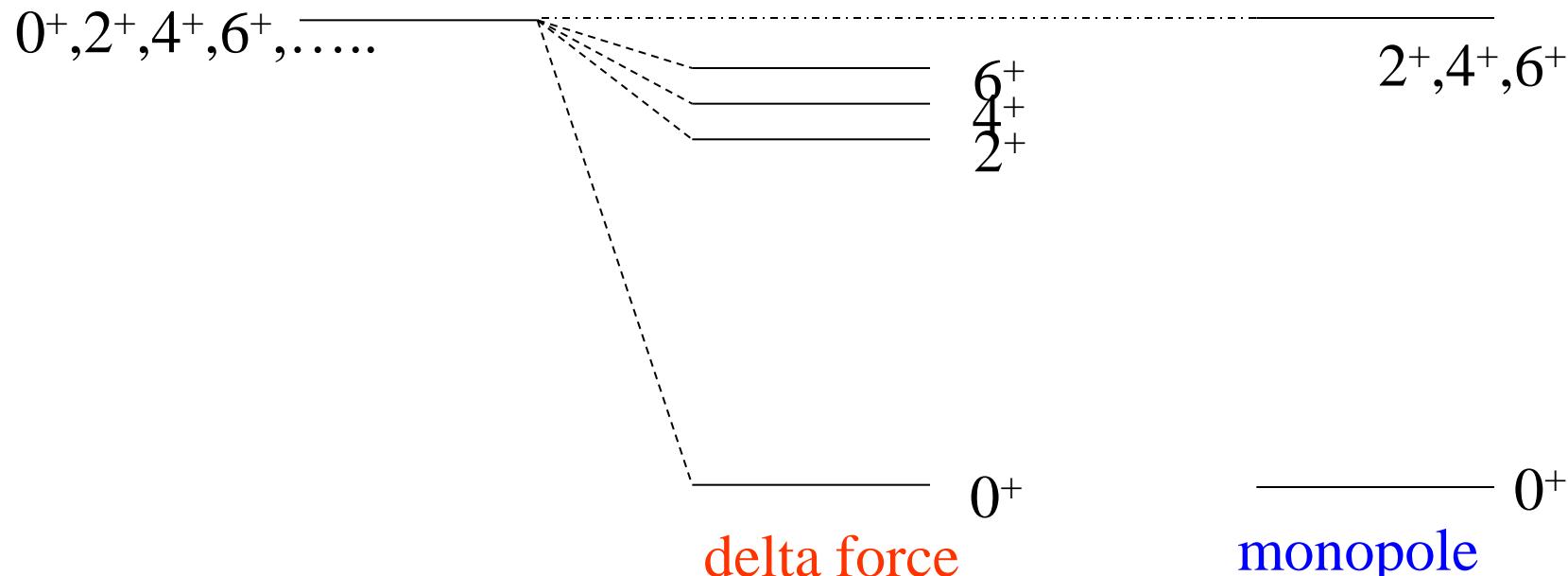
## Simplified pairing interaction

$$V = -G P^\dagger P; \quad P^\dagger = \sum_{\nu>0} a_\nu^\dagger a_\nu^\dagger$$

$\bar{\nu}$  : the time reversed state  
of  $\nu$

e.g.,

$$|\nu\rangle = |njl m\rangle, \quad |\bar{\nu}\rangle = |njl -m\rangle$$



Cf. Metallic superconductivity

delta force

monopole  
pairing force

Solve the pairing Hamiltonian

$$H = \sum_{\nu} \epsilon_{\nu} (a_{\nu}^{\dagger} a_{\nu} + a_{\bar{\nu}}^{\dagger} a_{\bar{\nu}}) - G \left( \sum_{\nu > 0} a_{\nu}^{\dagger} a_{\bar{\nu}}^{\dagger} \right) \left( \sum_{\nu > 0} a_{\bar{\nu}} a_{\nu} \right)$$

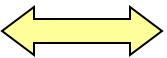
in the mean-field approximation

- Mean-field approximation:

$$V = -G P^{\dagger} P \rightarrow -G (\langle P^{\dagger} \rangle P + P^{\dagger} \langle P \rangle) = -\Delta (P^{\dagger} + P)$$

Cf. HF potential

$$V_H(\mathbf{r}) = \int v(\mathbf{r}, \mathbf{r}') \rho_{HF}(\mathbf{r}') d\mathbf{r}$$

 particle number violation



we consider  $H' = H - \lambda \hat{N}$  instead of  $H$ :

$$\begin{aligned}H' &= \sum_{k>0} (\epsilon_k - \lambda)(a_k^\dagger a_k + a_{\bar{k}}^\dagger a_{\bar{k}}) - G \hat{P}^\dagger \hat{P} \\&\rightarrow \sum_{k>0} (\epsilon_k - \lambda)(a_k^\dagger a_k + a_{\bar{k}}^\dagger a_{\bar{k}}) - \Delta(\hat{P}^\dagger + \hat{P}) \\&= \sum_{k>0} (\epsilon_k - \lambda)(a_k^\dagger a_k + a_{\bar{k}}^\dagger a_{\bar{k}}) - \Delta \sum_{k>0} (a_k^\dagger a_{\bar{k}}^\dagger + a_{\bar{k}}^\dagger a_k)\end{aligned}$$

● Transform  $H'$  in a form of

$$H' = \sum_{k>0} E_k (\alpha_k^\dagger \alpha_k + \alpha_{\bar{k}}^\dagger \alpha_{\bar{k}})$$

→ g.s.:  $\alpha_k |BCS\rangle = 0$

1<sup>st</sup> excited state:  $|1_k\rangle = \alpha_k^\dagger |BCS\rangle$  at  $E_k$

.... and so on.

## Bogoliubov transformation

$$\alpha_\nu^\dagger = u_\nu a_\nu^\dagger - v_\nu a_{\bar{\nu}}, \quad \alpha_{\bar{\nu}}^\dagger = u_\nu a_{\bar{\nu}}^\dagger + v_\nu a_\nu$$

(Quasi-particle operator)

or  $a_\nu^\dagger = u_\nu \alpha_\nu^\dagger + v_\nu \alpha_{\bar{\nu}}, \quad a_{\bar{\nu}}^\dagger = u_\nu \alpha_{\bar{\nu}}^\dagger + -v_\nu \alpha_\nu$

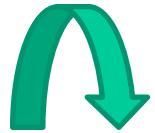
(note)

$$\{\alpha_\nu, \alpha_{\nu'}\} = 0, \quad \{\alpha_\nu, \alpha_{\nu'}^\dagger\} = \delta_{\nu, \nu'}$$

$$\longrightarrow \quad u_\nu^2 + v_\nu^2 = 1$$

using the quasi-particle operators:

$$\begin{aligned}
 H' &\sim \sum_{k>0} (\epsilon_k - \lambda)(a_k^\dagger a_k + a_{\bar{k}}^\dagger a_{\bar{k}}) - \Delta \sum_{k>0} (a_k^\dagger a_{\bar{k}}^\dagger + a_{\bar{k}} a_k) \\
 &= \sum_{k>0} [(\epsilon_k - \lambda)(u_k^2 - v_k^2) + 2\Delta u_k v_k] (\alpha_k^\dagger \alpha_k + \alpha_{\bar{k}}^\dagger \alpha_{\bar{k}}) \\
 &\quad + \sum_{k>0} [2(\epsilon_k - \lambda)u_k v_k - \Delta(u_k^2 - v_k^2)] (\alpha_k^\dagger \alpha_{\bar{k}}^\dagger + \alpha_{\bar{k}} \alpha_k)
 \end{aligned}$$



$$\text{if } 2(\epsilon_k - \lambda)u_k v_k - \Delta(u_k^2 - v_k^2) = 0$$

$$\text{then } H' = \sum_{k>0} E_k (\alpha_k^\dagger \alpha_k + \alpha_{\bar{k}}^\dagger \alpha_{\bar{k}})$$

$$\text{with } E_k = (\epsilon_k - \lambda)(u_k^2 - v_k^2) + 2\Delta u_k v_k$$

$$\begin{cases} 0 = 2(\epsilon_k - \lambda)u_k v_k - \Delta(u_k^2 - v_k^2) \\ 0 = u_k^2 + v_k^2 \end{cases}$$



$$u_\nu^2 = \frac{1}{2} \left( 1 + \frac{\epsilon_\nu - \lambda}{\sqrt{(\epsilon_\nu - \lambda)^2 + \Delta^2}} \right)$$

$$v_\nu^2 = \frac{1}{2} \left( 1 - \frac{\epsilon_\nu - \lambda}{\sqrt{(\epsilon_\nu - \lambda)^2 + \Delta^2}} \right)$$



$$\begin{aligned} E_k &= (\epsilon_k - \lambda)(u_k^2 - v_k^2) + 2\Delta u_k v_k \\ &= \sqrt{(\epsilon_k - \lambda)^2 + \Delta^2} \end{aligned}$$

## Ground state wave function:

$$\alpha_k |BCS\rangle = 0$$



$$\begin{aligned} |BCS\rangle &\propto \prod_{\nu>0} \alpha_\nu \alpha_{\bar{\nu}} |0\rangle \\ &= \prod_{\nu>0} v_\nu (u_\nu + v_\nu a_\nu^\dagger a_{\bar{\nu}}^\dagger) |0\rangle \end{aligned}$$



$$|BCS\rangle = \prod_{\nu>0} (u_\nu + v_\nu a_\nu^\dagger a_{\bar{\nu}}^\dagger) |0\rangle$$

(note)  $\langle BCS | a_\nu^\dagger a_\nu | BCS \rangle = |v_\nu|^2$  : occupation probability

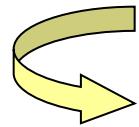
(note)

$$E'_{BCS} = \langle BCS | H' | BCS \rangle \sim 2 \sum_{\nu>0} (\epsilon_\nu - \lambda) v_\nu^2 - \frac{\Delta^2}{G}$$

## Gap equation

$$\begin{cases} u_\nu^2 = \frac{1}{2} \left( 1 + \frac{\epsilon_\nu - \lambda}{E_\nu} \right) \\ v_\nu^2 = \frac{1}{2} \left( 1 - \frac{\epsilon_\nu - \lambda}{E_\nu} \right) \end{cases}$$

$$E_\nu = \sqrt{(\epsilon_\nu - \lambda)^2 + \Delta^2}$$



$$\begin{aligned} \Delta &= G \langle BCS | \hat{P} | BCS \rangle = G \sum_{\nu>0} u_\nu v_\nu \\ &= \frac{G}{2} \sum_{\nu>0} \frac{\Delta}{E_\nu} \end{aligned}$$

(Gap equation)

$$N = 2 \sum_{\nu>0} v_\nu^2 \quad \leftarrow \lambda$$

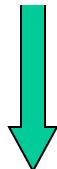
## Gap Equation

$$\Delta = \frac{G}{2} \sum_{\nu>0} \frac{\Delta}{\sqrt{(\epsilon_\nu - \lambda)^2 + \Delta^2}}$$

i) Trivial solution: always exists

$$\Delta = 0$$

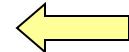
$$v_\nu^2 = \frac{1}{2} \left( 1 - \frac{\epsilon_\nu - \lambda}{\sqrt{(\epsilon_\nu - \lambda)^2}} \right) = \begin{cases} 1 & (\epsilon_\nu \leq \lambda) \\ 0 & (\epsilon_\nu > \lambda) \end{cases}$$



$G$  a/o  $N \longrightarrow$  large

ii) Superfluid solution

$$1 = \frac{G}{2} \sum_{\nu>0} \frac{1}{\sqrt{(\epsilon_\nu - \lambda)^2 + \Delta^2}}$$



$$v_\nu^2 = \frac{1}{2} \left( 1 - \frac{\epsilon_\nu - \lambda}{\sqrt{(\epsilon_\nu - \lambda)^2 + \Delta^2}} \right) < 1$$

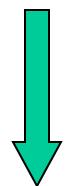
(Note) obviously this equation cannot be satisfied for  $G=0$

i) Trivial solution: always exists

$$\Delta = 0$$

$$\begin{aligned} v_\nu^2 &= 1 \quad (\epsilon_\nu \leq \lambda) \\ &= 0 \quad (\epsilon_\nu > \lambda) \end{aligned}$$

$$|\Psi\rangle = \prod_{\nu>0} a_\nu^\dagger a_\nu^\dagger |0\rangle$$

  $G$  a/o  $N \longrightarrow$  large

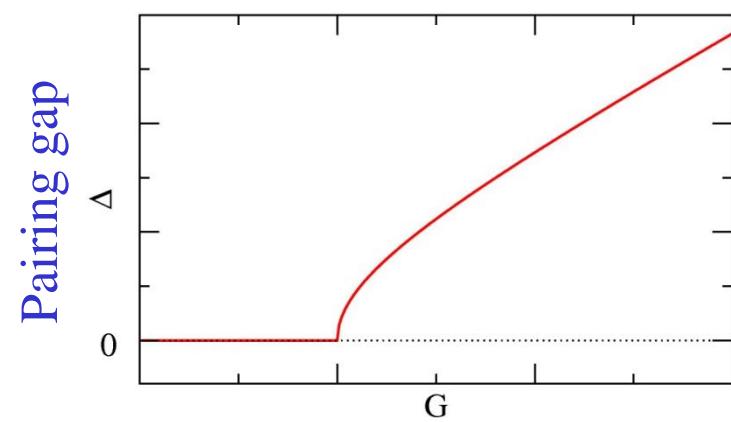
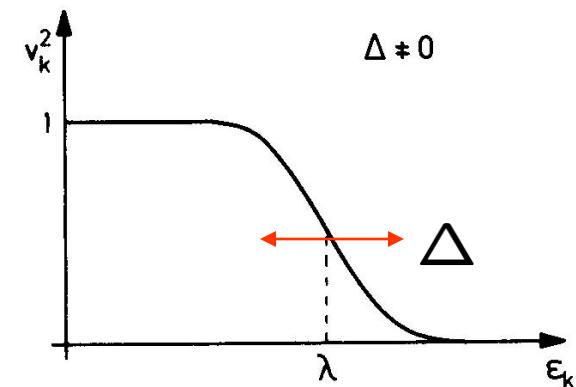
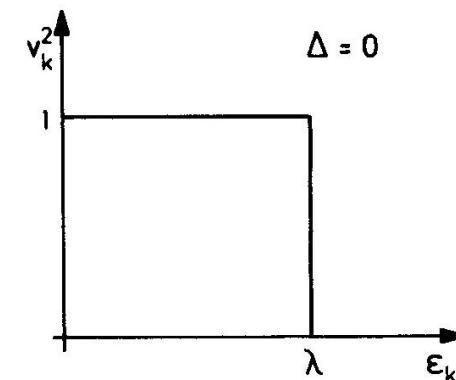
ii) Superfluid solution

$$\Delta \neq 0$$

$$v_\nu^2 < 1$$

$$|BCS\rangle = \prod_{\nu>0} (u_\nu + v_\nu a_\nu^\dagger a_\nu^\dagger) |0\rangle$$

Number fluctuation



Normal-Superfulid phase transition

## Quasi-particle excitations

$$H \sim E_{BCS} + \sum_{\nu} E_{\nu} \alpha_{\nu}^{\dagger} \alpha_{\nu}$$

- g.s. of even-even nuclei:  $|BCS\rangle$

- One quasi-particle states:

$$|\nu_1\rangle = \alpha_{\nu_1}^{\dagger} |BCS\rangle = a_{\nu_1}^{\dagger} \prod_{\nu \neq \nu_1} (u_{\nu} + v_{\nu} a_{\nu}^{\dagger} a_{\bar{\nu}}^{\dagger}) |0\rangle$$

Wave function for odd-mass nuclei

$$\langle \nu_1 | H | \nu_1 \rangle = \langle H \rangle + E_{\nu_1}$$

- Two quasi-particle states:

$$|\nu_1 \nu_2\rangle = \alpha_{\nu_1}^{\dagger} \alpha_{\nu_2}^{\dagger} |BCS\rangle$$

Excited state of the even-even nuclei

$$\begin{aligned} \langle \nu_1 \nu_2 | H | \nu_1 \nu_2 \rangle - \langle H \rangle &= E_{\nu_1} + E_{\nu_2} \\ &\geq 2\Delta \quad \text{Energy gap} \end{aligned}$$

(note) no pairing limit:

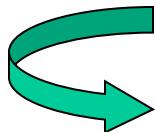
$$\alpha_p^{\dagger} \alpha_h^{\dagger} \rightarrow a_p^{\dagger} a_h, \quad E_p + E_h \rightarrow (\epsilon_p - \lambda) + (\lambda - \epsilon_h)$$

(particle-hole excitation)

# Even-odd mass difference and pairing gap

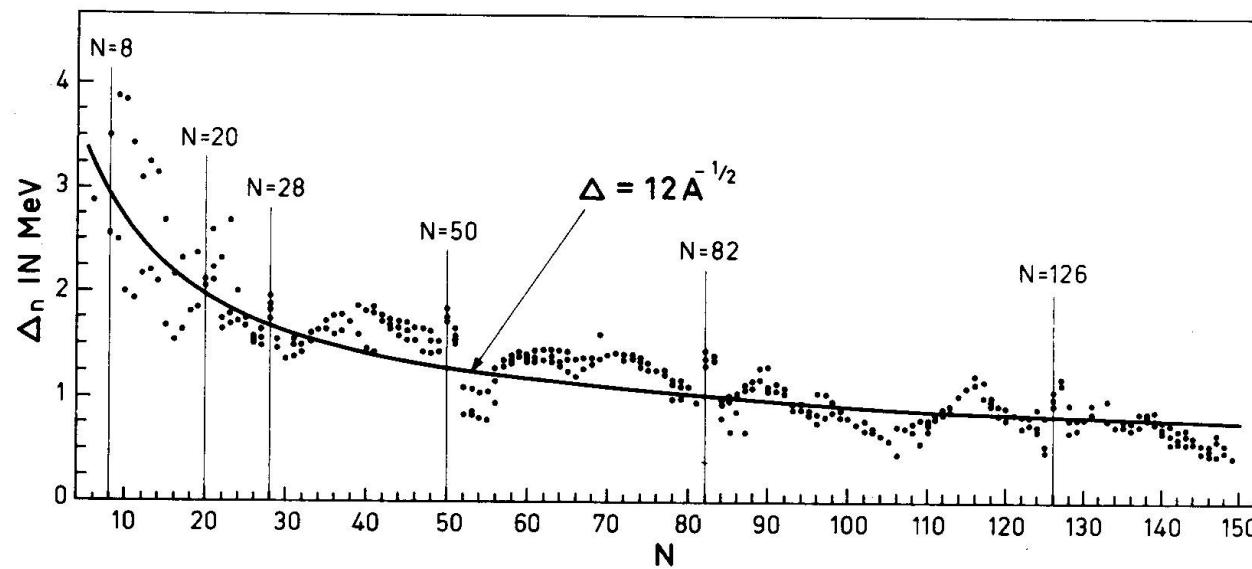
$$\begin{aligned}B_{\text{pair}} &= \Delta && (\text{for even - even}) \\&= 0 && (\text{for even - odd}) \\&= -\Delta && (\text{for odd - odd})\end{aligned}$$

$$\begin{aligned}E(N+2, Z) &= E(N, Z) + 2\lambda \\E(N+1, Z) &= E(N, Z) + \lambda + \Delta\end{aligned}$$



$$-\Delta_n \sim [E(N+2, Z) - 2E(N+1, Z) + E(N, Z)]/2$$

Or  $\Delta_n \sim (\Delta_n(N) + \Delta_n(N-1))/2$



Bohr-Mottelson  
('69)

# Particle Number Projection

$$|BCS\rangle = \prod_{\nu>0} (u_\nu + v_\nu a_\nu^\dagger a_{\bar{\nu}}^\dagger) |0\rangle \quad : \text{violation of the particle number}$$



Particle number projection

Cf. Violation of the rot. symmetry for def. nuclei  
and the angular momentum projection

Projection operator:

$$\hat{P}_N = \frac{1}{2\pi} \int_0^{2\pi} d\varphi e^{i\varphi(\hat{N}-N)}$$

$$\begin{aligned} (\Delta N)^2 &= \langle (\hat{N} - N)^2 \rangle \\ &= 4 \sum_{\nu>0} u_\nu^2 v_\nu^2 \end{aligned}$$

(note)  $|BCS\rangle = \sum_{N'} C_{N'} |N'\rangle$   
 $\rightarrow |\text{proj}\rangle = \hat{P}_N |BCS\rangle = C_N |N\rangle$

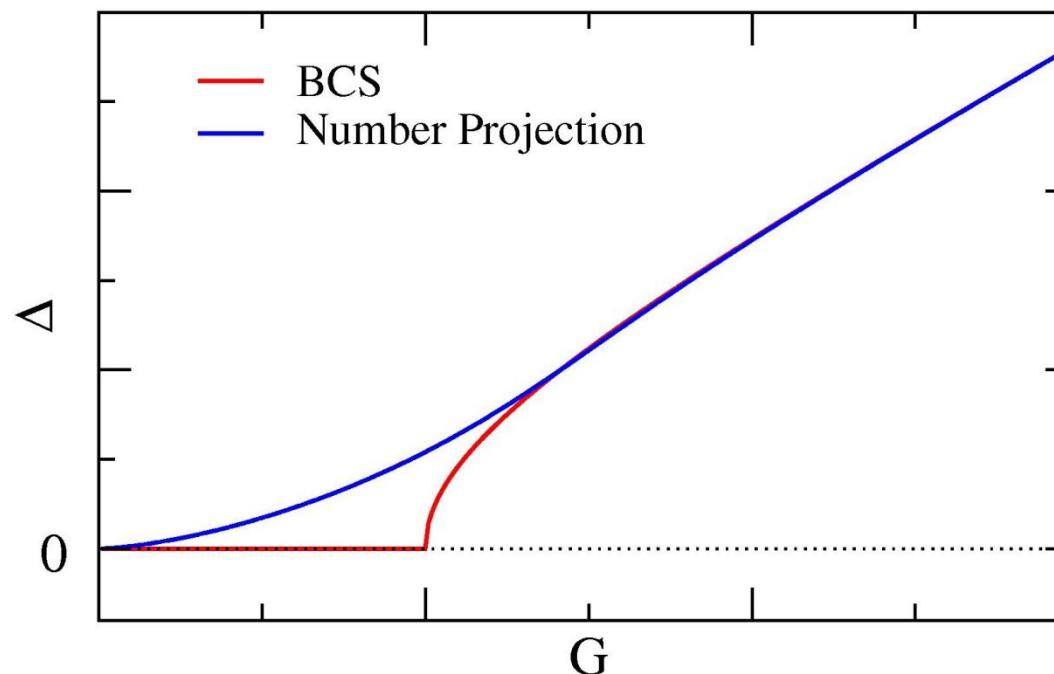
(note)  $e^{i\hat{N}\varphi} |BCS\rangle = \prod_{\nu>0} (u_\nu + v_\nu e^{2i\varphi} a_\nu^\dagger a_{\bar{\nu}}^\dagger) |0\rangle$  degenerate with  $|BCS\rangle$

Variation After Projection: determine  $v_\nu$  by minimizing

$$E'_{\text{proj}} = \frac{\langle BCS | \hat{P}_N (\hat{H} - \lambda \hat{N}) \hat{P}_N | BCS \rangle}{\langle BCS | \hat{P}_N \hat{P}_N | BCS \rangle}$$

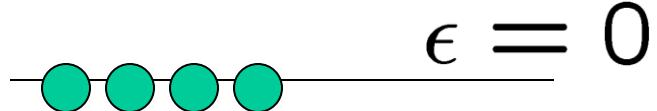
→  $\left( \frac{\partial}{\partial v_\nu} + \frac{\partial u_\nu}{\partial v_\nu} \frac{\partial}{\partial u_\nu} \right) E'_{\text{proj}} = 0$

→  $\Delta = G \sum_{\nu > 0} u_\nu v_\nu$



# Seniority Scheme

Particles in a single degenerate level



$$\begin{aligned} H &= -G P^\dagger P; \quad P^\dagger = \sum_{m>0} a_m^\dagger a_{-m}^\dagger \\ &= -G\Omega A^\dagger A; \quad A^\dagger = P^\dagger/\sqrt{\Omega} \end{aligned}$$

Degeneracy:  $2\Omega$

- BCS approximation

$$2\Omega v^2 = N \quad \text{---} \quad v^2 = N/2\Omega$$

$$u^2 = 1 - N/2\Omega$$

$$\Delta = G\Omega u v = G\Omega \sqrt{\frac{N}{2\Omega} \left(1 - \frac{N}{2\Omega}\right)}$$

$$E_{\text{BCS}} = \langle H \rangle = -\Delta^2/G = -\frac{GN\Omega}{2} (1 - N/2\Omega)$$

$$\begin{aligned}
 H &= -G P^\dagger P; \quad P^\dagger = \sum_{m>0} a_m^\dagger a_{-m}^\dagger \\
 &= -G\Omega A^\dagger A; \quad A^\dagger = P^\dagger/\sqrt{\Omega}
 \end{aligned}$$

• Exact solution (Seniority scheme)

(note)  $[A, A^\dagger] = 1 - \frac{\hat{N}}{\Omega}, \quad A|0\rangle = 0, \quad \hat{N}|0\rangle = 0$

  $HA^\dagger|0\rangle = -G\Omega A^\dagger|0\rangle \quad [\hat{N}, A^\dagger] = 2A^\dagger$

$$\begin{aligned}
 H(A^\dagger)^2|0\rangle &= -2G(\Omega - 1)(A^\dagger)^2|0\rangle \\
 &\dots \\
 H(A^\dagger)^{N/2}|0\rangle &= -GN/4 \cdot (2\Omega - N + 2)(A^\dagger)^{N/2}|0\rangle
 \end{aligned}$$



$$E_{\text{BCS}} = -\frac{GN\Omega}{2}(1 - N/2\Omega)$$

 The BCS approximation is good for large  $N$ .

# Hartree-Fock-Bogoliubov (HFB) Theory

HF+BCS method: first solve HF, and then solve the gap equation

s.p. wave functions, occupation probabilities,  
chemical potential, pairing gaps

→ wave functions do not change due to the pairing correlation.  
only the occupation probabilities are modified

→ Hartree-Fock-Bogoliubov (HFB) theory:  
both wave functions and occupation probabilities

$$\begin{pmatrix} \hat{h}(r) - \lambda & \tilde{\Delta}(r) \\ \tilde{\Delta}(r)^* & -\hat{h}(r) + \lambda \end{pmatrix} \begin{pmatrix} U_\alpha(r) \\ V_\alpha(r) \end{pmatrix} = E_\alpha \begin{pmatrix} U_\alpha(r) \\ V_\alpha(r) \end{pmatrix}$$

$$\begin{aligned}\hat{h}(r) &= -\frac{\hbar^2}{2m} \nabla^2 + V_{\text{HF}}(r) \\ \rho(r) &= \sum_{\alpha} |V_{\alpha}(r)|^2\end{aligned}$$

$u, v$  factors  $\rightarrow u, v$  functions

# Relation to the BCS approximation

$$\begin{pmatrix} \hat{h} - \lambda & \tilde{\Delta}(r) \\ \tilde{\Delta}^*(r) & -\hat{h} + \lambda \end{pmatrix} \begin{pmatrix} U_\alpha(r) \\ V_\alpha(r) \end{pmatrix} = E_\alpha \begin{pmatrix} U_\alpha(r) \\ V_\alpha(r) \end{pmatrix}$$

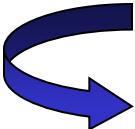
Expansion on the HF basis:

$$U_\alpha(r) = \sum_i u_i^{(\alpha)} \varphi_i(r)$$

where

$$V_\alpha(r) = \sum_i v_i^{(\alpha)} \varphi_i(r)$$

$$\hat{h}\varphi_i = \epsilon_i \varphi_i$$

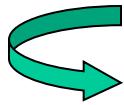


$$\sum_j \begin{pmatrix} (\epsilon_i - \lambda)\delta_{ij} & \tilde{\Delta}_{ij} \\ \tilde{\Delta}_{ij}^* & (-\epsilon_i + \lambda)\delta_{ij} \end{pmatrix} \begin{pmatrix} u_j^{(\alpha)} \\ v_j^{(\alpha)} \end{pmatrix} = E_\alpha \begin{pmatrix} u_i^{(\alpha)} \\ v_i^{(\alpha)} \end{pmatrix}$$

diagonalization

$$\tilde{\Delta}_{ij} = \int d\mathbf{r} \varphi_i^*(\mathbf{r}) \tilde{\Delta}(\mathbf{r}) \varphi_j(\mathbf{r})$$

**BCS approximation:** Take only the diagonal components in  $\tilde{\Delta}_{ij}$



$$\begin{aligned} (\epsilon_i - \lambda) u_i^{(\alpha)} + \tilde{\Delta}_{ii} v_i^{(\alpha)} &= E_\alpha u_i^{(\alpha)} \\ \tilde{\Delta}_{ii} u_i^{(\alpha)} + (-\epsilon_i + \lambda) v_i^{(\alpha)} &= E_\alpha v_i^{(\alpha)} \end{aligned}$$

**Solution:**

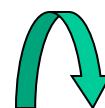
$$u_i^{(\alpha)} = u_\alpha^{\text{BCS}} \delta_{i,\alpha} = \sqrt{\frac{1}{2} \left( 1 + \frac{\epsilon_\alpha - \lambda}{E_\alpha} \right)}$$

$$v_i^{(\alpha)} = v_\alpha^{\text{BCS}} \delta_{i,\alpha} = \sqrt{\frac{1}{2} \left( 1 - \frac{\epsilon_\alpha - \lambda}{E_\alpha} \right)}$$

$$E_\alpha = \sqrt{(\epsilon_\alpha - \lambda)^2 + \tilde{\Delta}_{\alpha\alpha}^2}$$

$$U_\alpha(r) = u_\alpha^{\text{BCS}} \varphi_\alpha(r)$$

$$V_\alpha(r) = v_\alpha^{\text{BCS}} \varphi_\alpha(r)$$



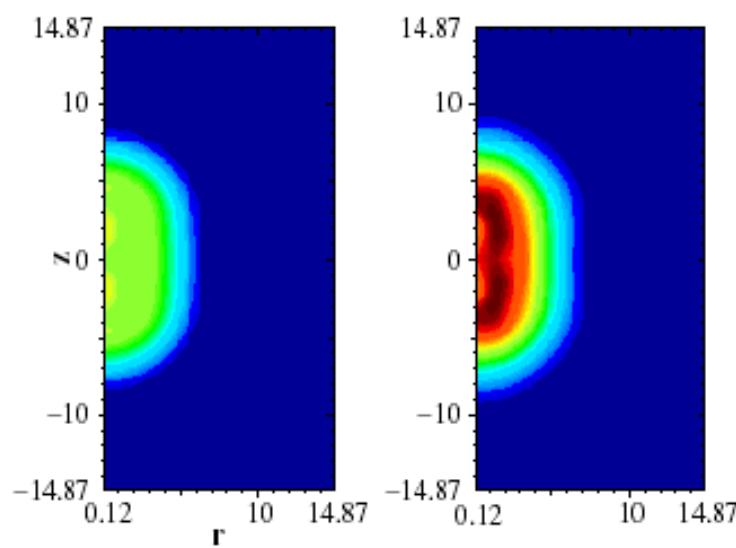
$U_\alpha(r)$  and  $V_\alpha(r)$  have the same radial dependence in the BCS approximation.



This is not the case in HFB.

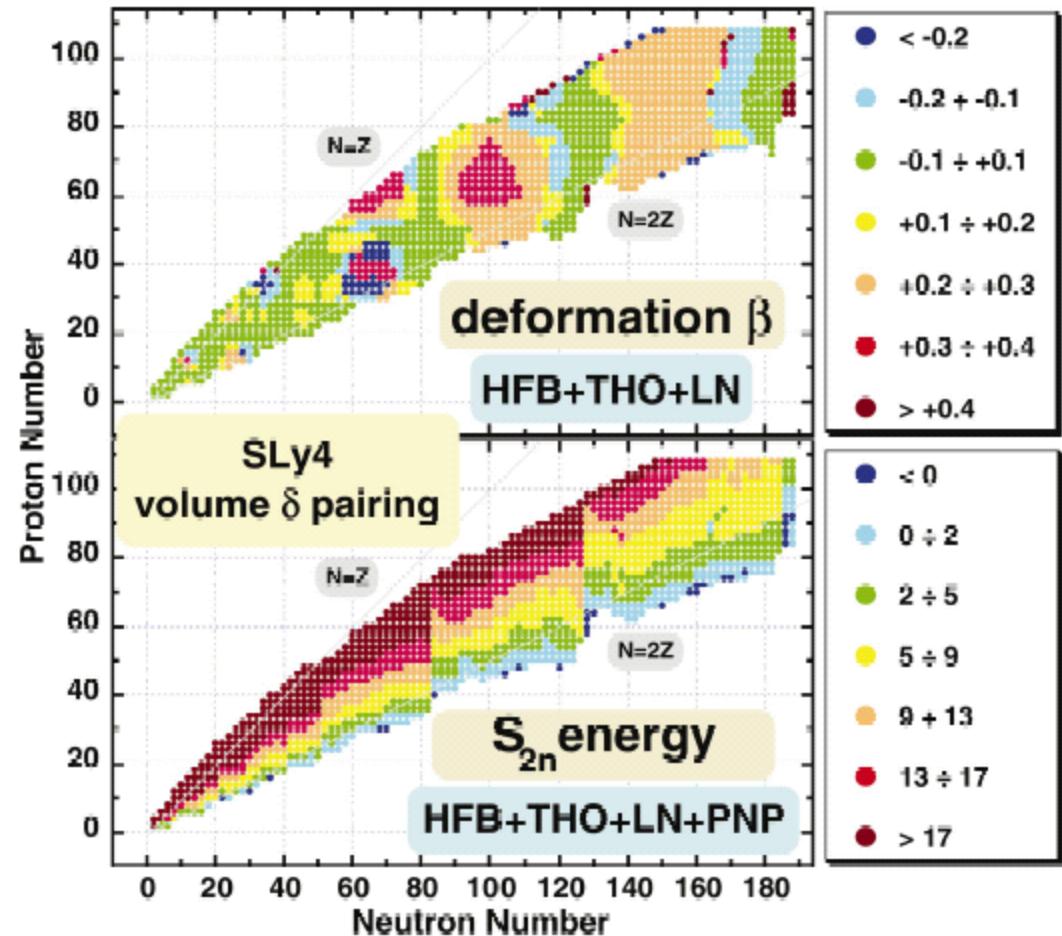
# Application of the HFB method

Density of  $^{110}\text{Zr}$  (SHFB-SLy4)

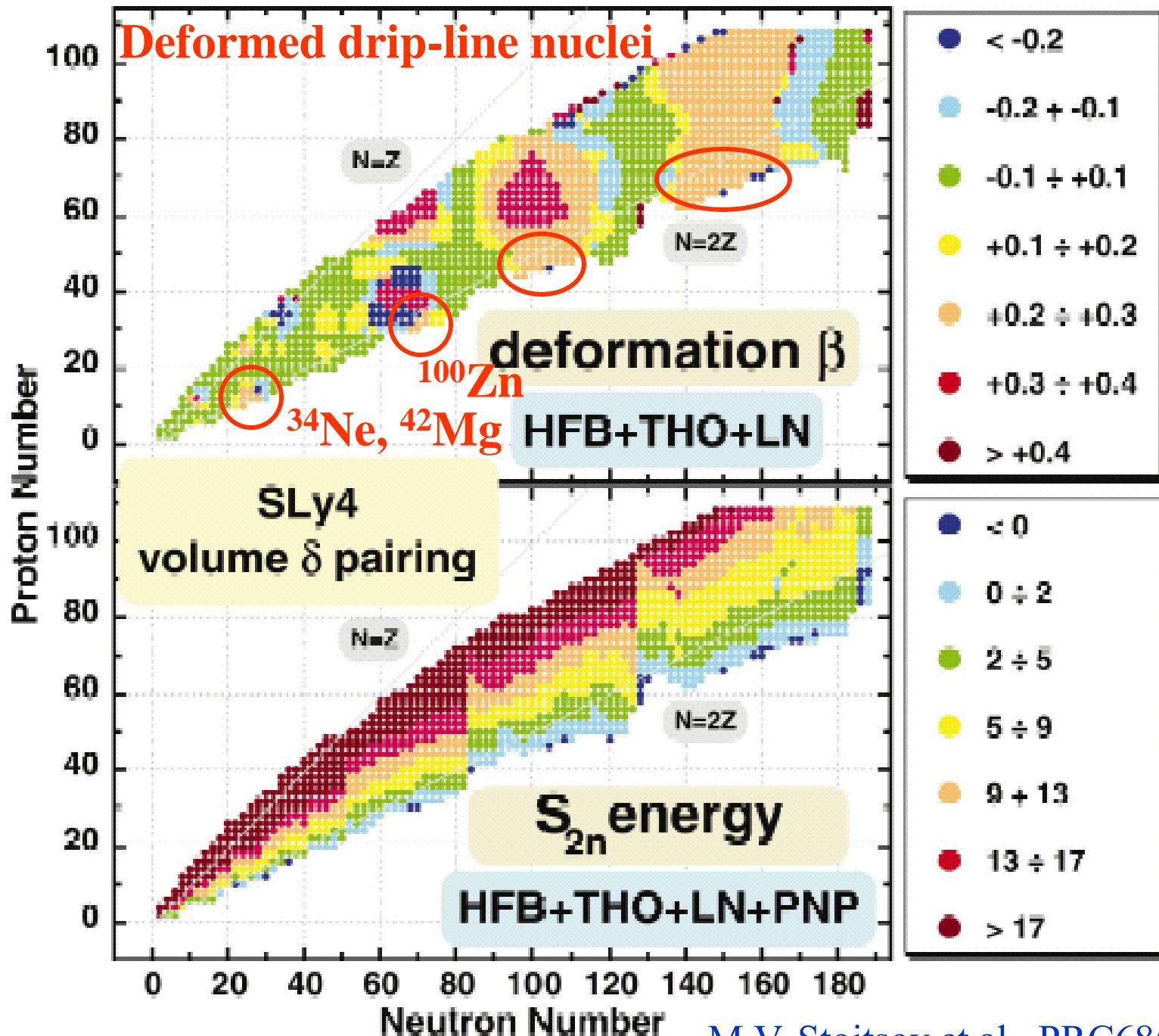


A. Blazkiewicz et al.,  
PRC71('05)054231

Systematics of  $\beta_2$  and  $S_{2n}$



M.V. Stoitsov et al., PRC68('03)054312



# Back-up

# The BCS theory

Many-particles in non-degenerate levels  
~ mean-field approx. for the pairing channel ~

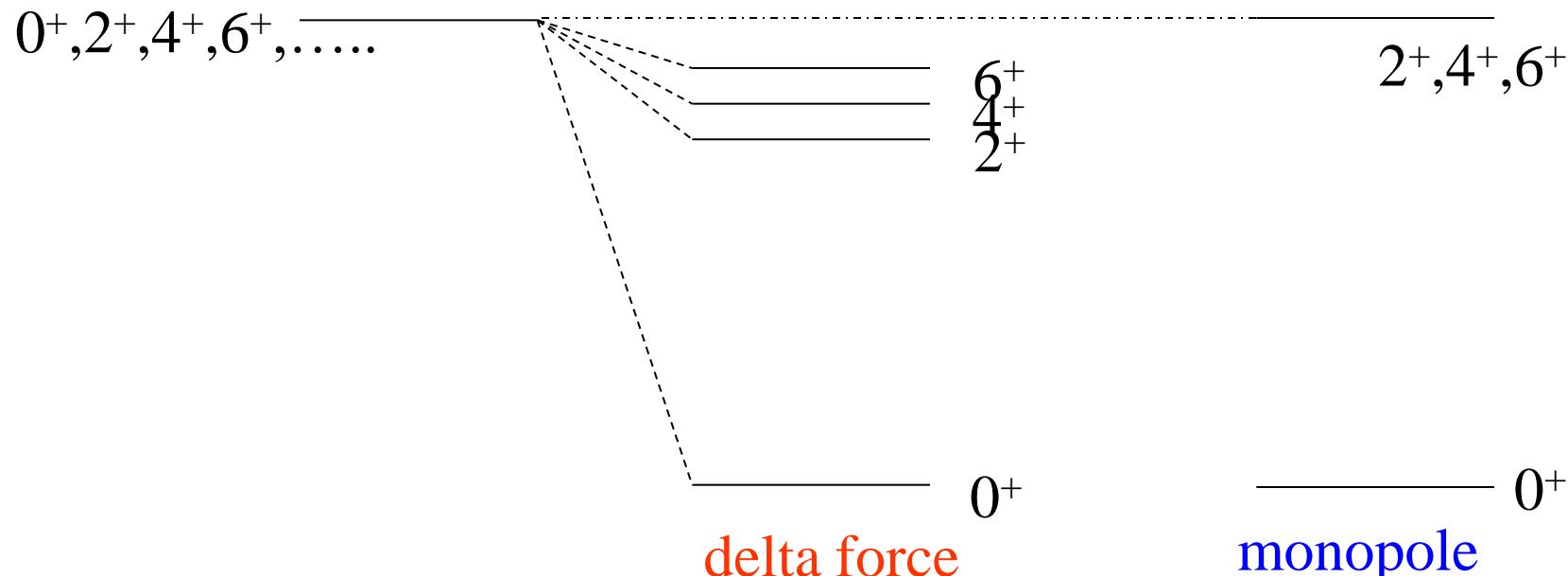
## Simplified pairing interaction

$$V = -G P^\dagger P; \quad P^\dagger = \sum_{\nu>0} a_\nu^\dagger a_\nu^\dagger$$

$\bar{\nu}$  : the time reversed state  
of  $\nu$

e.g.,

$$|\nu\rangle = |njl m\rangle, \quad |\bar{\nu}\rangle = |njl -m\rangle$$



Cf. Metallic superconductivity

monopole  
pairing force

Solve the pairing Hamiltonian

$$H = \sum_{\nu} \epsilon_{\nu} (a_{\nu}^{\dagger} a_{\nu} + a_{\bar{\nu}}^{\dagger} a_{\bar{\nu}}) - G \left( \sum_{\nu > 0} a_{\nu}^{\dagger} a_{\bar{\nu}}^{\dagger} \right) \left( \sum_{\nu > 0} a_{\bar{\nu}} a_{\nu} \right)$$

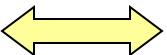
in the mean-field approximation

- Mean-field approximation:

$$V = -G P^{\dagger} P \rightarrow -G (\langle P^{\dagger} \rangle P + P^{\dagger} \langle P \rangle) = -\Delta (P^{\dagger} + P)$$

Cf. HF potential

$$V_H(\mathbf{r}) = \int v(\mathbf{r}, \mathbf{r}') \rho_{\text{HF}}(\mathbf{r}') d\mathbf{r}$$

 particle number violation

- The Bardeen, Cooper, Schrieffer (BCS) ansatz

$$|\Psi\rangle = \prod_{\nu > 0} (u_{\nu} + v_{\nu} a_{\nu}^{\dagger} a_{\bar{\nu}}^{\dagger}) |0\rangle$$

$$|u_{\nu}|^2 + |v_{\nu}|^2 = 1 \quad \longleftarrow \text{normalization}$$

(note)  $\langle a_{\nu}^{\dagger} a_{\nu} \rangle = |v_{\nu}|^2$  : occupation probability

- The Bardeen, Cooper, Schrieffer (BCS) anzatz

$$|\Psi\rangle = \prod_{\nu>0} (u_\nu + v_\nu a_\nu^\dagger a_{\bar{\nu}}^\dagger) |0\rangle$$

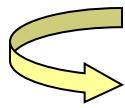
$$|u_\nu|^2 + |v_\nu|^2 = 1 \quad \leftarrow \text{normalization}$$

(note)  $\langle a_\nu^\dagger a_\nu \rangle = |v_\nu|^2$  : occupation probability

(note)

BCS convention:  $u_{\bar{\nu}} = u_\nu, \quad v_{\bar{\nu}} = -v_\nu$  (real numbers)

(note)  $\left(1 + \frac{v_\nu}{u_\nu} a_\nu^\dagger a_{\bar{\nu}}^\dagger\right) |0\rangle = \exp\left(\frac{v_\nu}{u_\nu} a_\nu^\dagger a_{\bar{\nu}}^\dagger\right) |0\rangle$



$$|\Psi\rangle \propto \exp\left(\sum_{\nu>0} \frac{v_\nu}{u_\nu} a_\nu^\dagger a_{\bar{\nu}}^\dagger\right) |0\rangle \quad (\text{pair condensed wave function})$$

(note)

$$|\Psi\rangle \propto \prod_{\nu>0} \alpha_\nu \alpha_{\bar{\nu}} |0\rangle$$

$$\begin{aligned}\alpha_\nu^\dagger &= u_\nu a_\nu^\dagger - v_\nu a_{\bar{\nu}}^\dagger \\ \alpha_{\bar{\nu}}^\dagger &= u_\nu a_{\bar{\nu}}^\dagger + v_\nu a_\nu^\dagger\end{aligned}$$

$$H = \sum_{\nu} \epsilon_{\nu} (a_{\nu}^{\dagger} a_{\nu} + a_{\bar{\nu}}^{\dagger} a_{\bar{\nu}}) - G \left( \sum_{\nu > 0} a_{\nu}^{\dagger} a_{\bar{\nu}}^{\dagger} \right) \left( \sum_{\nu > 0} a_{\bar{\nu}} a_{\nu} \right)$$

- Mean-field approximation:

$$V = -G P^{\dagger} P \rightarrow -G \left( \langle P^{\dagger} \rangle P + P^{\dagger} \langle P \rangle \right) = -\Delta (P^{\dagger} + P)$$

- The Bardeen, Cooper, Schrieffer (BCS) anzatz

$$|\Psi\rangle = \prod_{\nu > 0} (u_{\nu} + v_{\nu} a_{\nu}^{\dagger} a_{\bar{\nu}}^{\dagger}) |0\rangle \quad |u_{\nu}|^2 + |v_{\nu}|^2 = 1$$

(note)  $\langle a_{\nu}^{\dagger} a_{\nu} \rangle = |v_{\nu}|^2, \quad \Delta = G \langle P^{\dagger} \rangle = G \sum_{\nu > 0} u_{\nu} v_{\nu}$

Minimize  $\langle H' \rangle = \left\langle \sum_{\nu} \epsilon_{\nu} (a_{\nu}^{\dagger} a_{\nu} + a_{\bar{\nu}}^{\dagger} a_{\bar{\nu}}) - G P^{\dagger} P - \lambda \hat{N} \right\rangle$

with  $\langle \Psi | \hat{N} | \Psi \rangle = 2 \sum_{\nu > 0} v_{\nu}^2 = N$

$$\hat{N} = \sum_{\nu} (a_{\nu}^{\dagger} a_{\nu} + a_{\bar{\nu}}^{\dagger} a_{\bar{\nu}})$$

  $E' = \langle \Psi | H' | \Psi \rangle \sim 2 \sum_{\nu > 0} (\epsilon_{\nu} - \lambda) v_{\nu}^2 - \Delta^2 / G$

$$E' = 2 \sum_{\nu>0} (\epsilon_\nu - \lambda) v_\nu^2 - \left( G \sum_{\nu>0} u_\nu v_\nu \right)^2 / G$$

Minimization:

$$\begin{aligned} 0 &= \left( \frac{\partial}{\partial v_\nu} + \frac{\partial u_\nu}{\partial v_\nu} \frac{\partial}{\partial u_\nu} \right) E' \\ &= 2(\epsilon_\nu - \lambda) u_\nu v_\nu + \Delta (v_\nu^2 - u_\nu^2) \end{aligned}$$

$$u_\nu^2 + v_\nu^2 = 1$$



$$\begin{aligned} u_\nu^2 &= \frac{1}{2} \left( 1 + \frac{\epsilon_\nu - \lambda}{E_\nu} \right) \\ v_\nu^2 &= \frac{1}{2} \left( 1 - \frac{\epsilon_\nu - \lambda}{E_\nu} \right) \end{aligned}$$

$$E_\nu = \sqrt{(\epsilon_\nu - \lambda)^2 + \Delta^2}$$

$$\Delta = \frac{G}{2} \sum_{\nu>0} \frac{\Delta}{E_\nu}$$

(Gap equation)

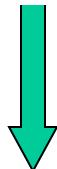
## Gap Equation

$$\Delta = \frac{G}{2} \sum_{\nu>0} \frac{\Delta}{\sqrt{(\epsilon_\nu - \lambda)^2 + \Delta^2}}$$

i) Trivial solution: always exists

$$\Delta = 0$$

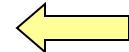
$$v_\nu^2 = \frac{1}{2} \left( 1 - \frac{\epsilon_\nu - \lambda}{\sqrt{(\epsilon_\nu - \lambda)^2}} \right) = \begin{cases} 1 & (\epsilon_\nu \leq \lambda) \\ 0 & (\epsilon_\nu > \lambda) \end{cases}$$



$G$  a/o  $N \longrightarrow$  large

ii) Superfluid solution

$$1 = \frac{G}{2} \sum_{\nu>0} \frac{1}{\sqrt{(\epsilon_\nu - \lambda)^2 + \Delta^2}}$$



(Note) obviously this equation cannot be satisfied for  $G=0$

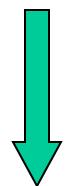
$$v_\nu^2 = \frac{1}{2} \left( 1 - \frac{\epsilon_\nu - \lambda}{\sqrt{(\epsilon_\nu - \lambda)^2 + \Delta^2}} \right) < 1$$

i) Trivial solution: always exists

$$\Delta = 0$$

$$\begin{aligned} v_\nu^2 &= 1 \quad (\epsilon_\nu \leq \lambda) \\ &= 0 \quad (\epsilon_\nu > \lambda) \end{aligned}$$

$$|\Psi\rangle = \prod_{\nu>0} a_\nu^\dagger a_\nu^\dagger |0\rangle$$

  $G$  a/o  $N \longrightarrow$  large

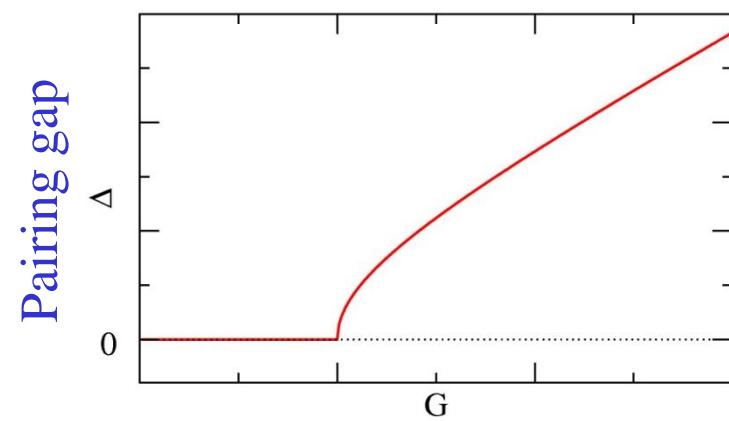
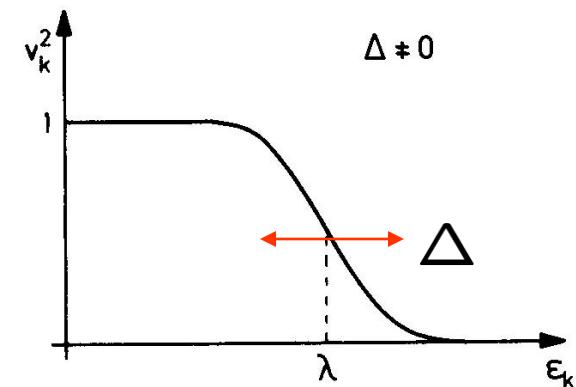
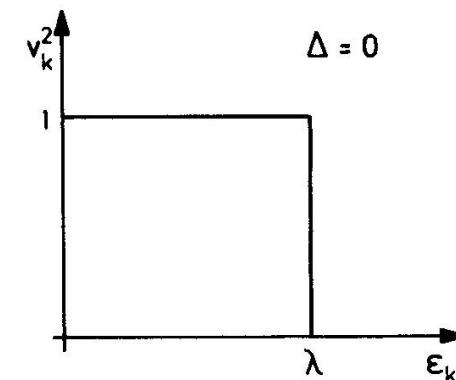
ii) Superfluid solution

$$\Delta \neq 0$$

$$v_\nu^2 < 1$$

$$|BCS\rangle = \prod_{\nu>0} (u_\nu + v_\nu a_\nu^\dagger a_\nu^\dagger) |0\rangle$$

Number fluctuation



Normal-Superfulid phase transition