

# New approach to coupled-channels calculations for heavy-ion fusion reactions around the Coulomb barrier

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## 1. Introduction

- H.I. sub-barrier fusion reactions
- Coupled-channels (C.C.) approach

## 2. Phenomenological approach: Bayesian statistics

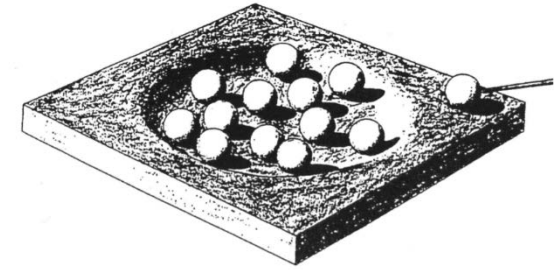
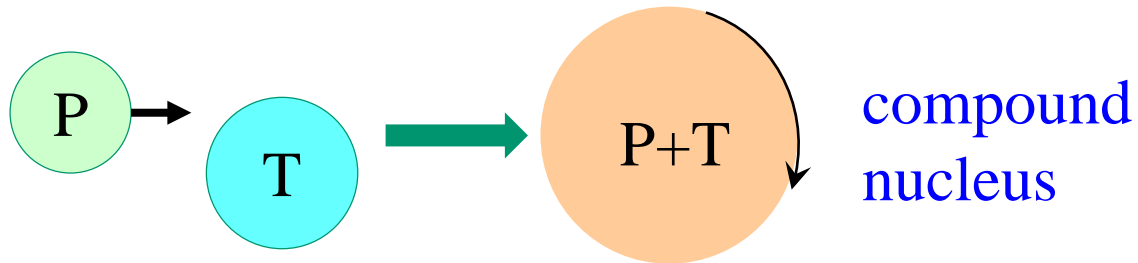
## 3. C.C. with nuclear structure calculations

## 4. Summary

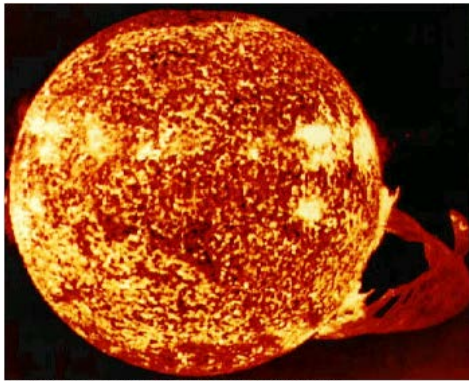
How to do C.C. calculations if there is only limited experimental information on intrinsic degrees of freedom?

# Introduction: heavy-ion fusion reactions

Fusion: compound nucleus formation

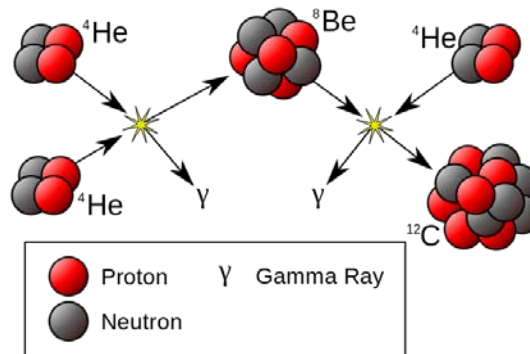


cf. Bohr '36

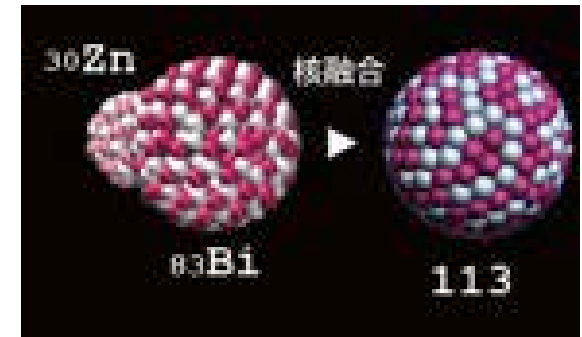


NASA, Skylab space station, December 19, 1973, solar flare reaching 588 000 km off solar surface

energy production  
in stars (Bethe '39)



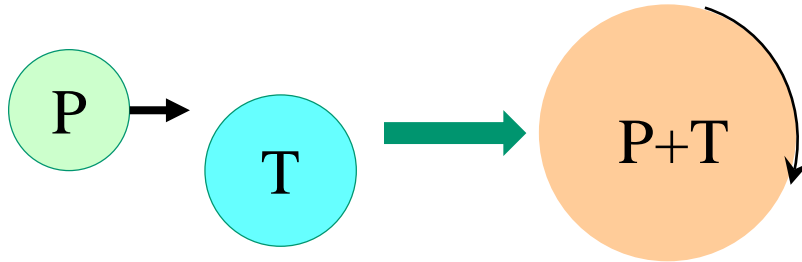
nucleosynthesis



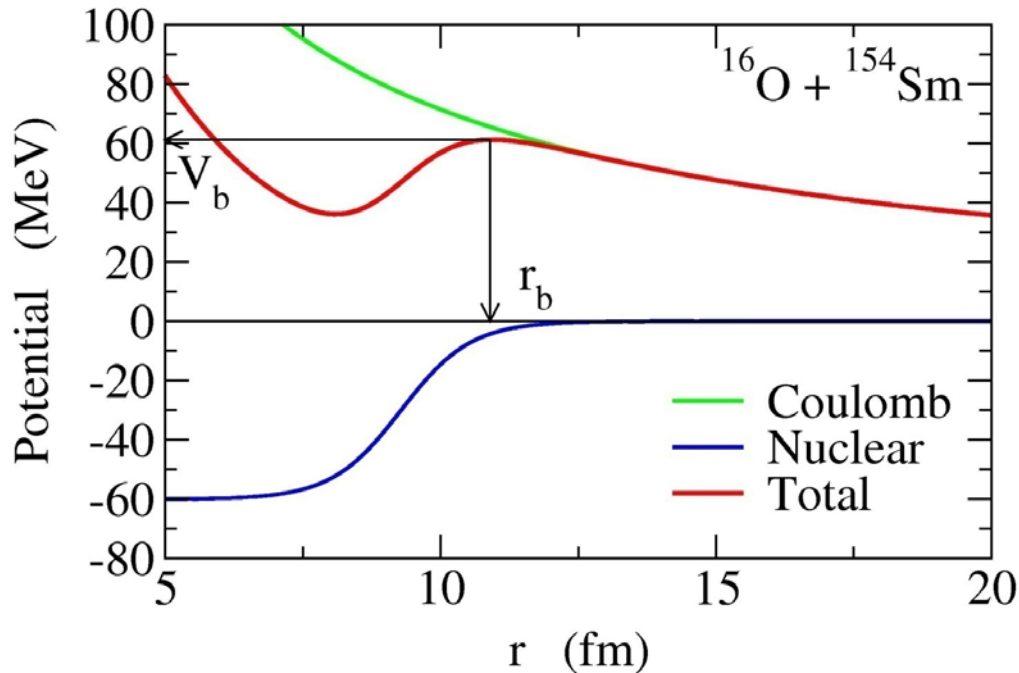
superheavy elements

# Introduction: heavy-ion fusion reactions

Fusion: compound nucleus formation



compound  
nucleus



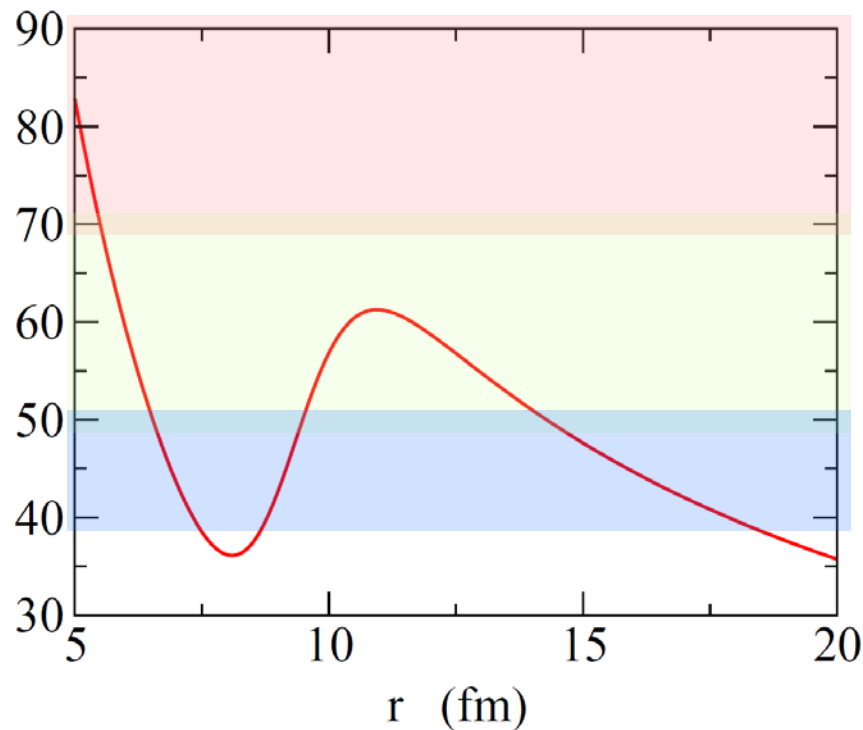
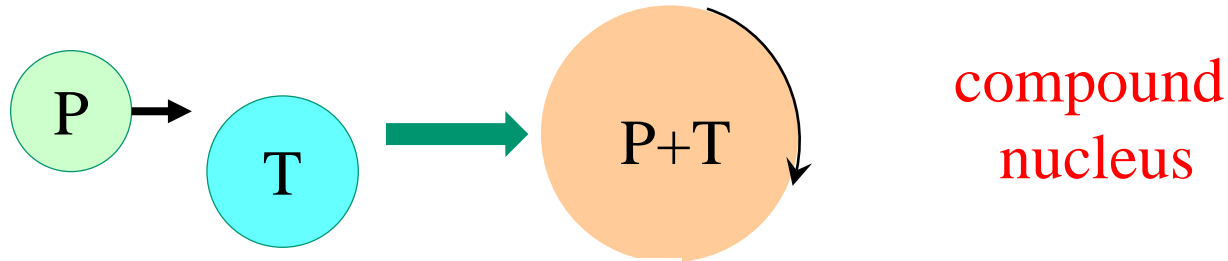
- 1. Coulomb force : long range, repulsive
- 2. Nuclear force : short range, attractive



Coulomb barrier

# Introduction: heavy-ion fusion reactions

Fusion: compound nucleus formation



fusion reactions  
in the sub-barrier energy region

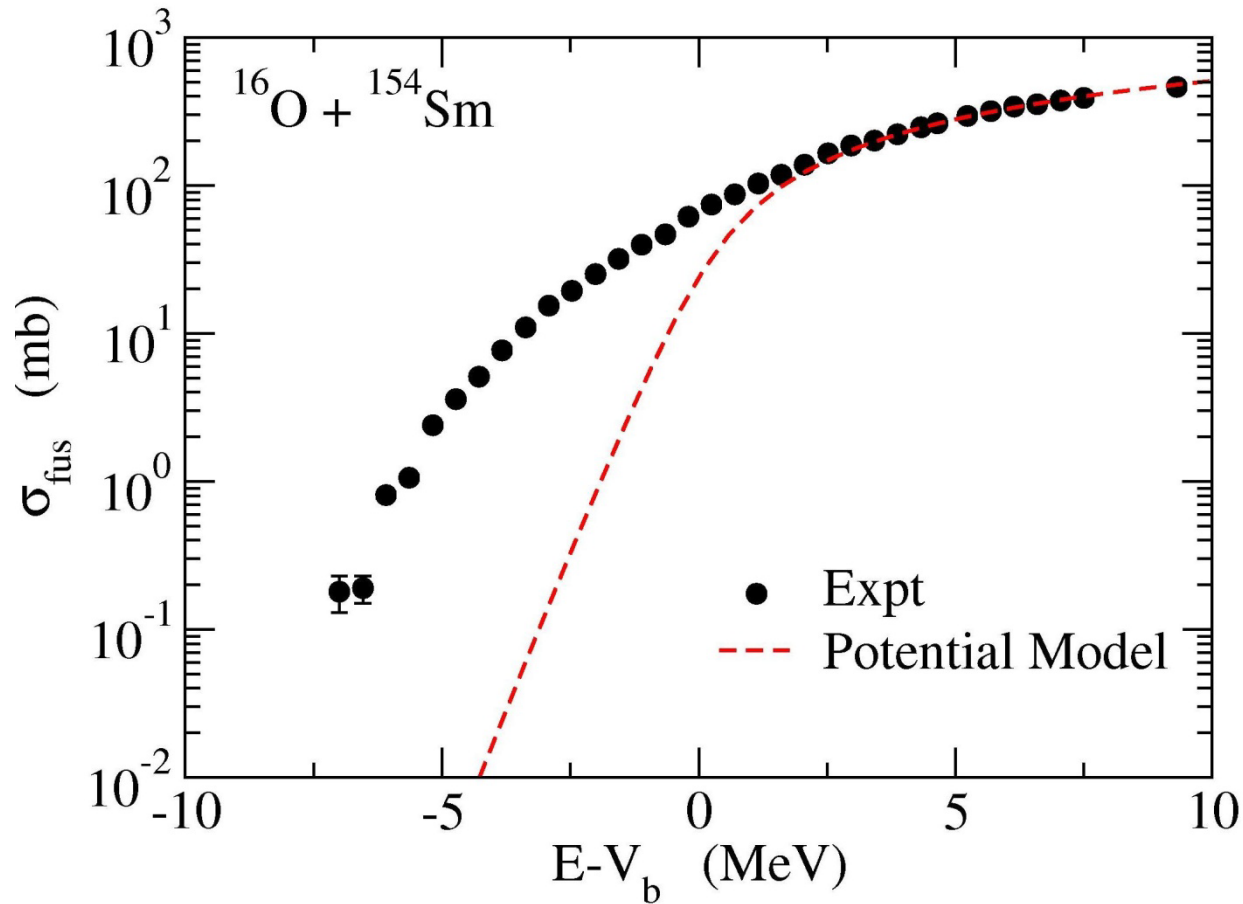
$$(|E - V_b| \lesssim 10 \text{ MeV})$$

- 1. Coulomb force : long range, repulsive
- 2. Nuclear force : short range, attractive



Coulomb barrier

## Discovery of large sub-barrier enhancement of $\sigma_{\text{fus}}$



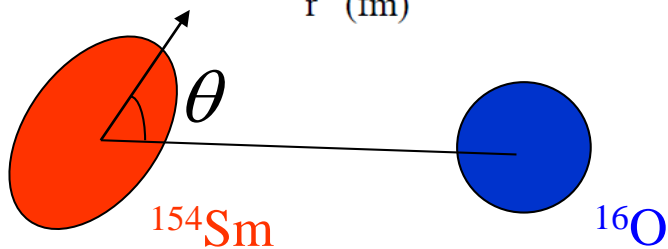
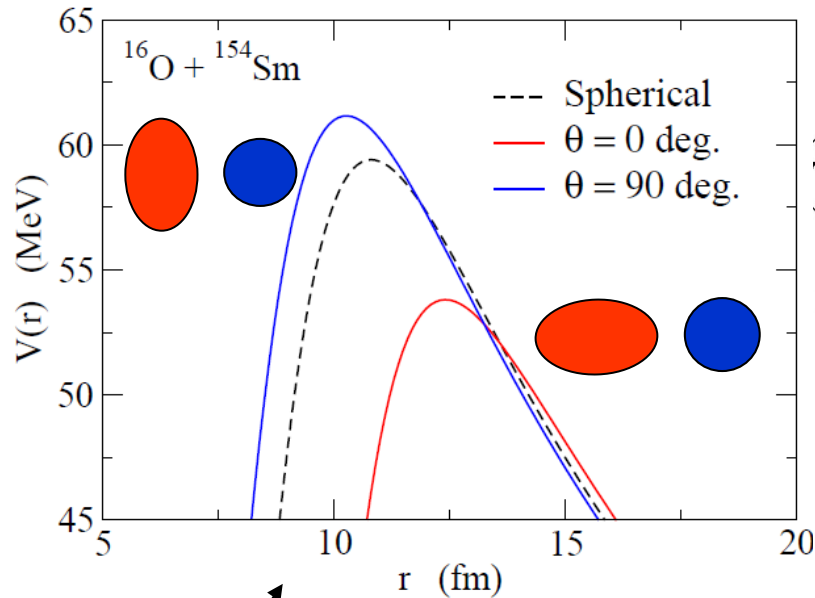
potential model:  $V(r) + \text{absorption}$

cf. seminal work:

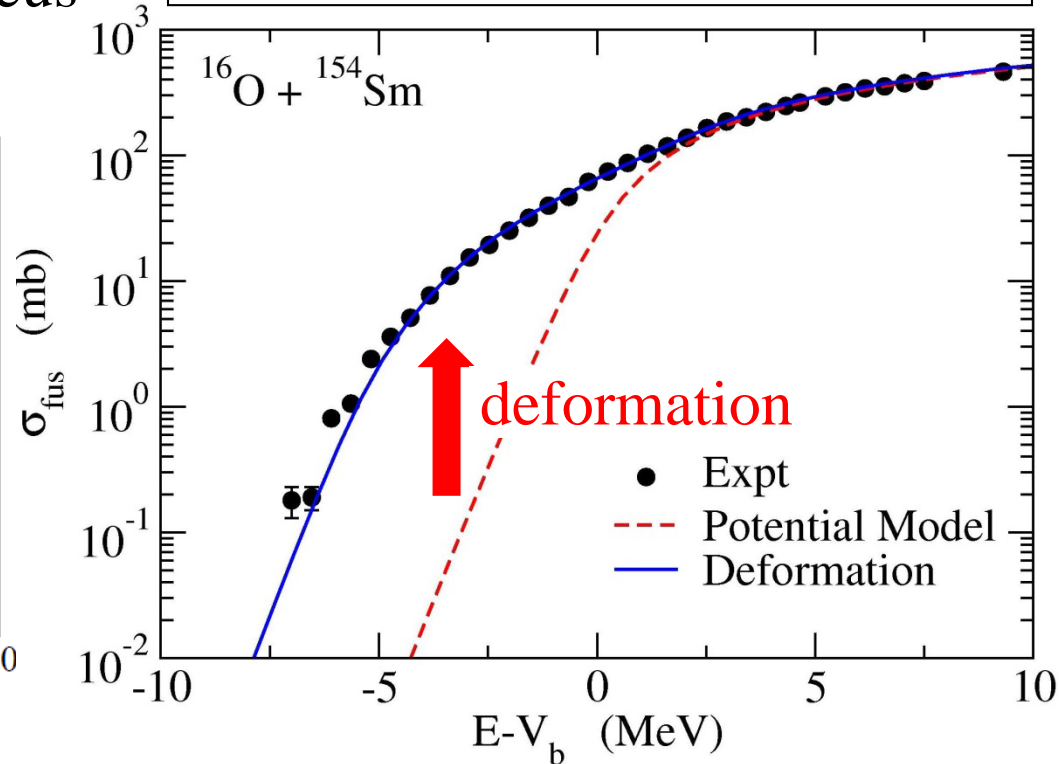
R.G. Stokstad et al., PRL41('78) 465

## Effects of nuclear deformation

$^{154}\text{Sm}$  : a typical deformed nucleus  
with  $\beta_2 \sim 0.3$

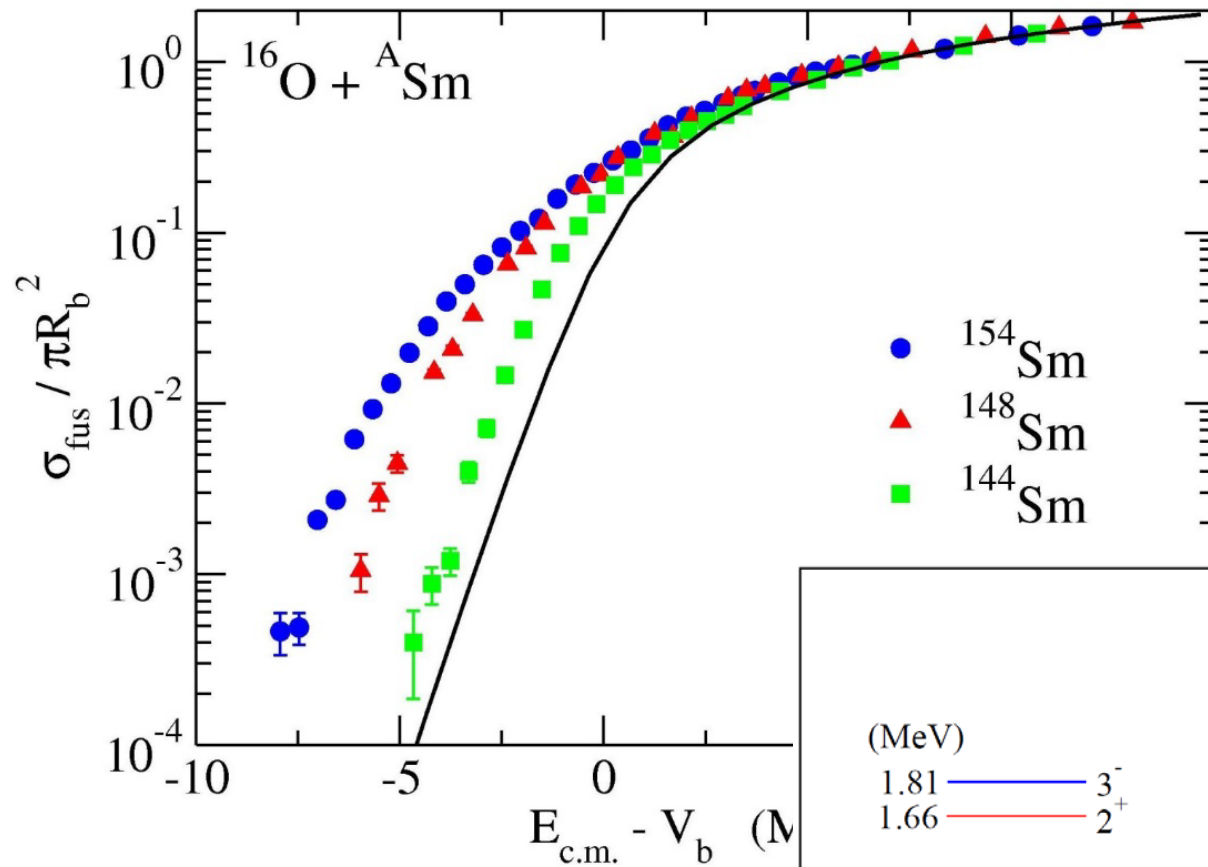


$$\sigma_{\text{fus}}(E) = \int_0^1 d(\cos \theta) \sigma_{\text{fus}}(E; \theta)$$

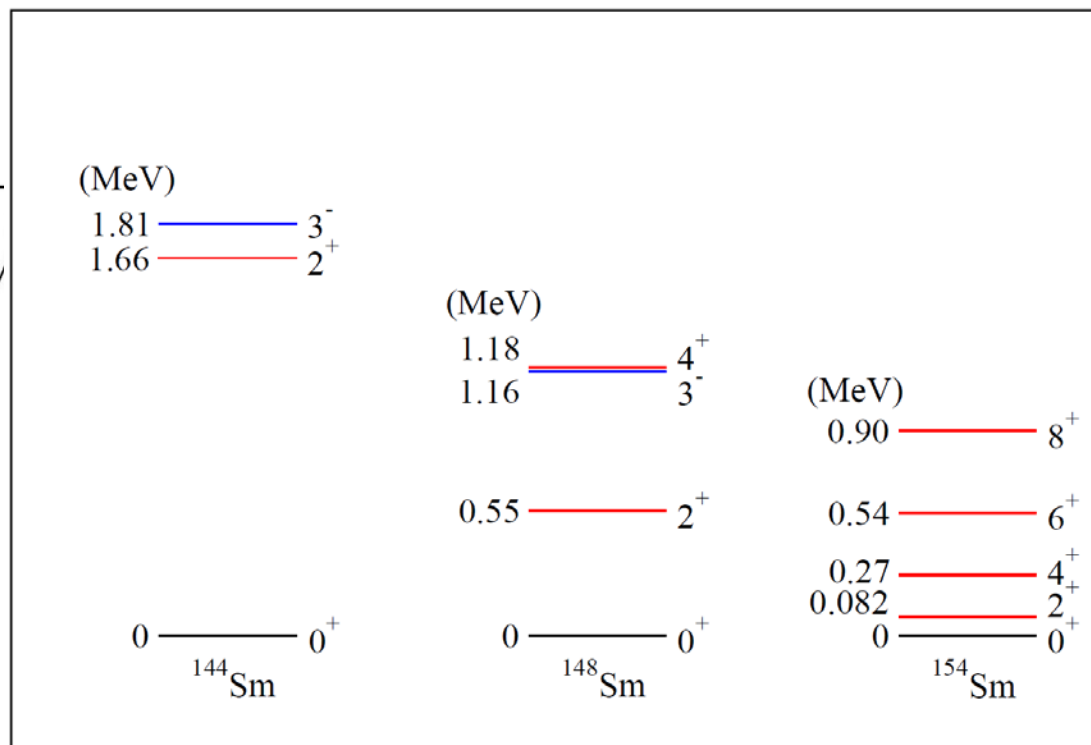


**Fusion: strong interplay between  
nuclear structure and reaction**

\* Sub-barrier enhancement also in non-deformed systems:  
couplings to low-lying collective excitations  $\rightarrow$  coupling assisted  
tunneling

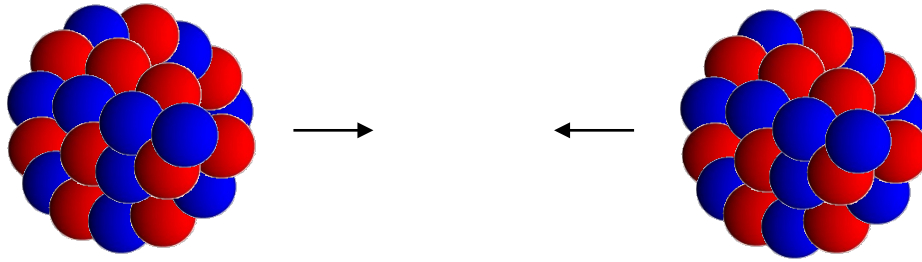


Strong target dependence  
at  $E < V_b$



# Coupled-Channels method

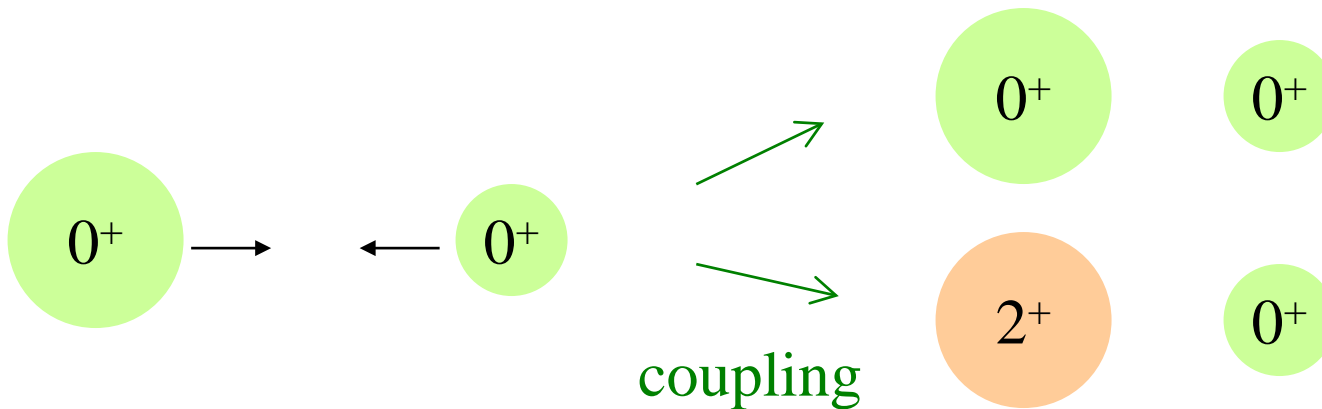
many-body problem



still very challenging

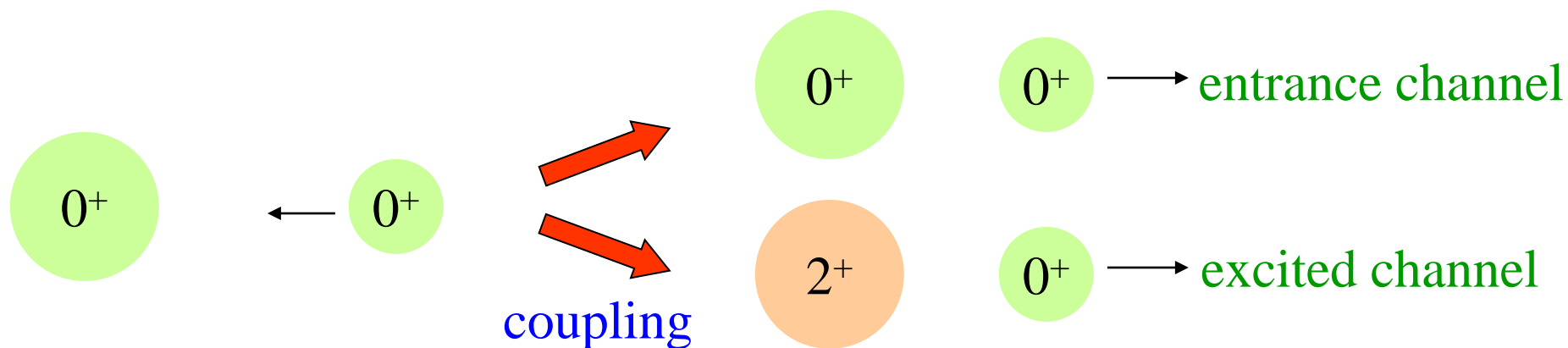


two-body problem, but with excitations  
(coupled-channels approach)



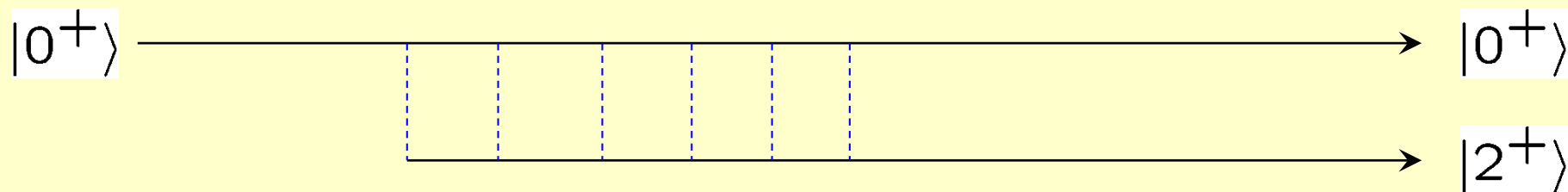


# Coupled-channels method: a quantal scattering theory with excitations



$$\left[ -\frac{\hbar^2}{2\mu} \nabla^2 + V_0(r) + \epsilon_k - E \right] \psi_k(\mathbf{r}) + \sum_{k'} \langle \phi_k | V_{\text{coup}} | \phi_{k'} \rangle \psi_{k'}(\mathbf{r}) = 0$$

excitation energy                      excitation operator



full order treatment of excitation/de-excitation dynamics during reaction

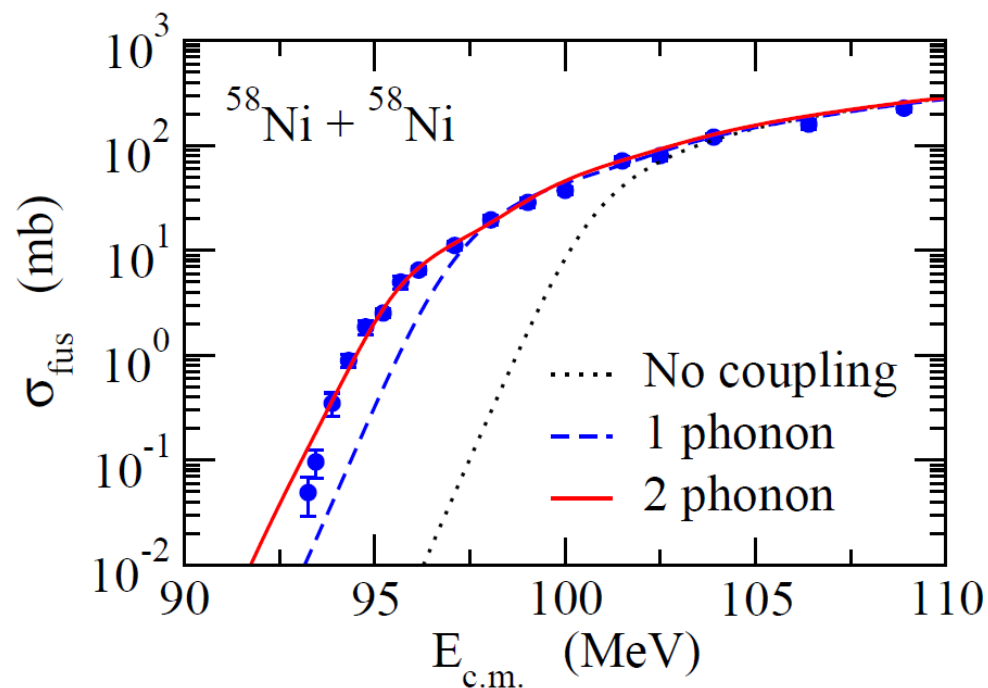
## Inputs for C.C. calculations

### i) Inter-nuclear potential

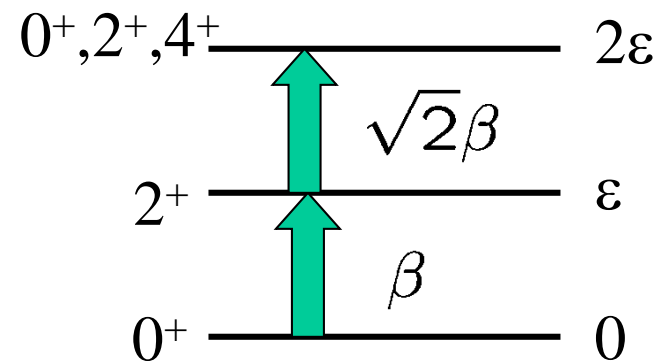
- ✓ a fit to experimental data at above barrier energies

### ii) Intrinsic degrees of freedom

- ✓ types of collective motions (rotation / vibration) a/o transfer
- ✓ coupling strengths and excitation energies
- ✓ how many states



simple harmonic oscillator



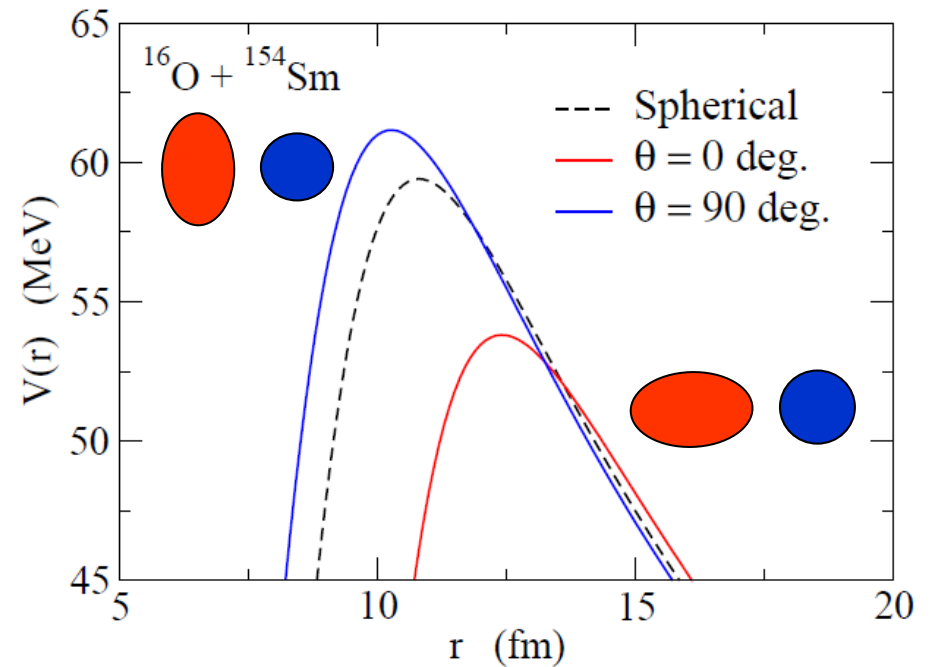
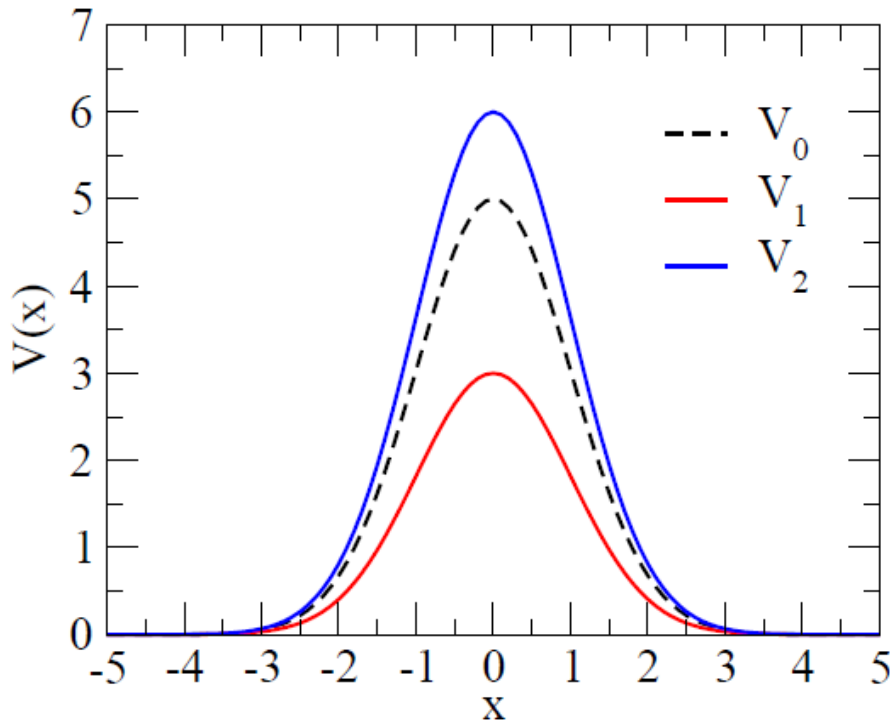
## C.C. approach: a standard tool for sub-barrier fusion reactions

cf. CCFULL (K.H., N. Rowley, A.T. Kruppa, CPC123 ('99) 143)

✓ Eigen-channel representation of C.C.

$$\sigma_{\text{fus}}(E) = \sum_k w_k \sigma_{\text{fus}}(E; V_k)$$

many barriers are  
“distributed” due to the  
channel coupling effects



## C.C. approach: a standard tool for sub-barrier fusion reactions

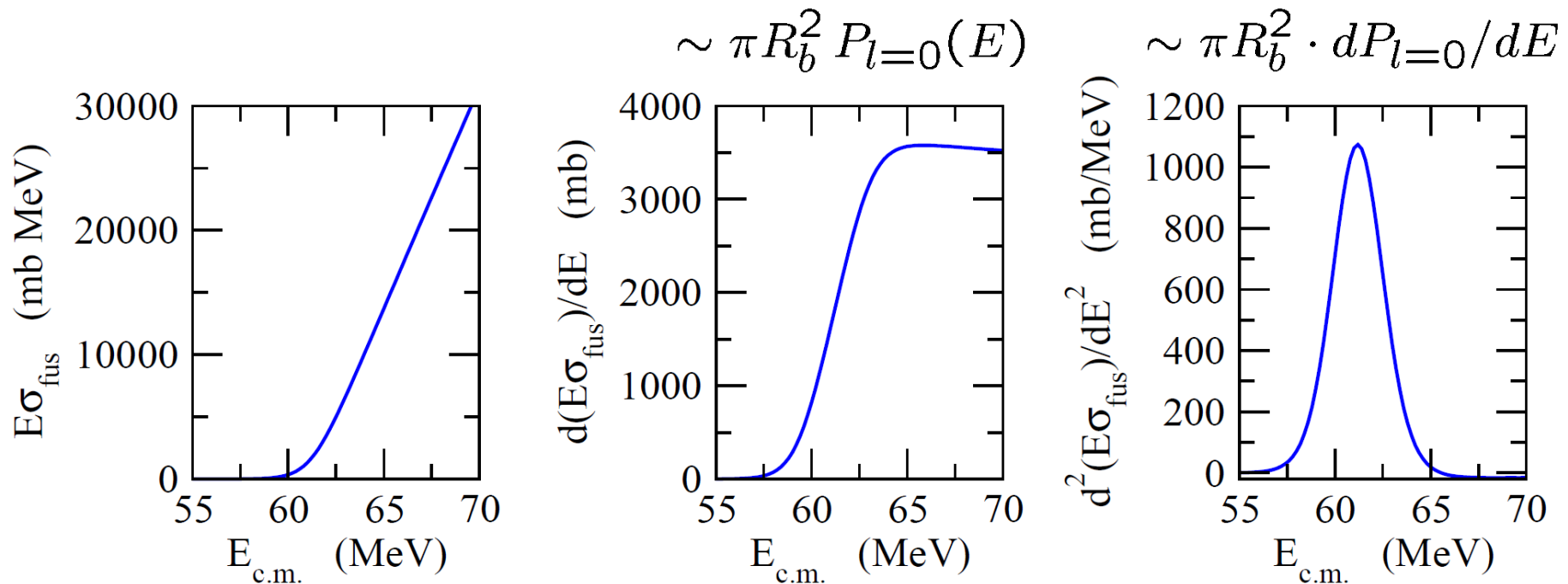
- ✓ Eigen-channel representation of C.C.

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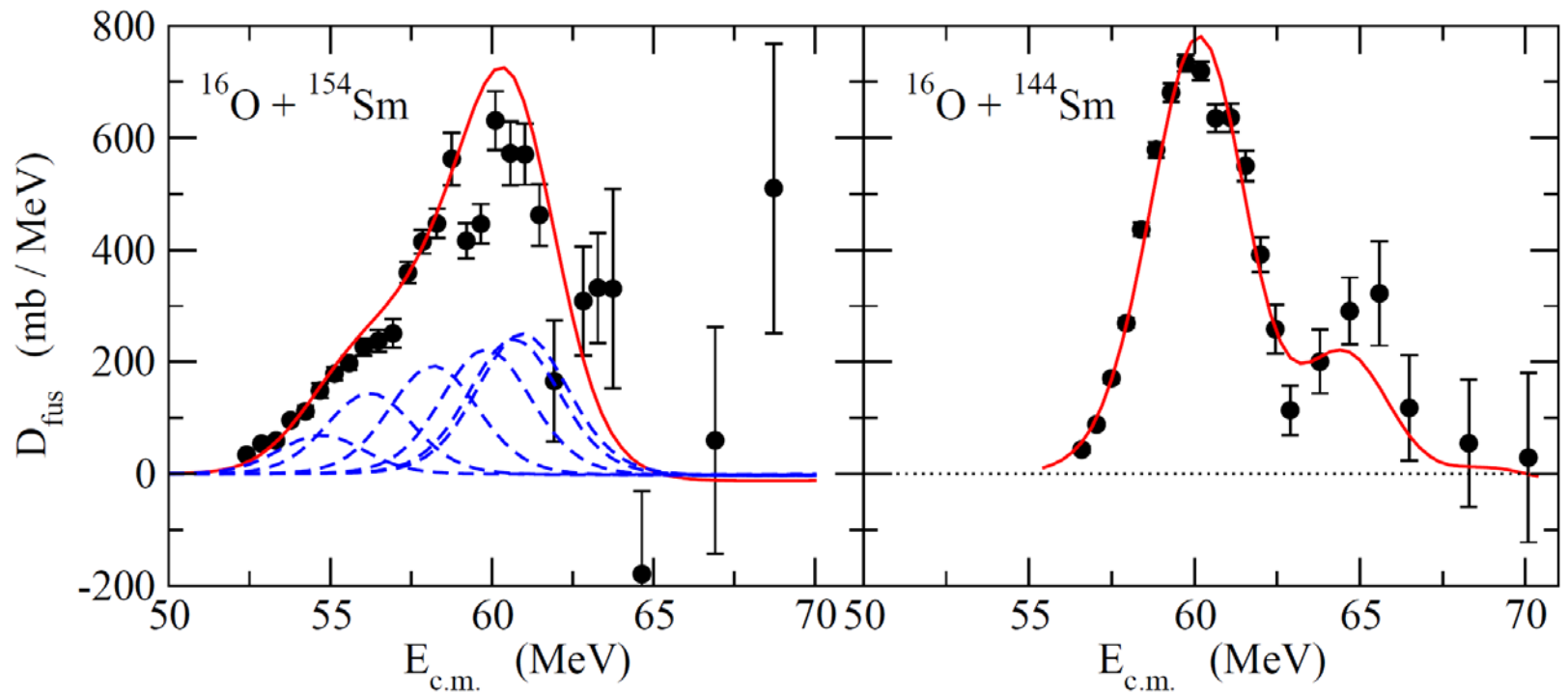
- ✓ Fusion barrier distribution (Rowley, Satchler, Stelson, PLB254('91))

$$D_{\text{fus}}(E) = \frac{d^2(E\sigma_{\text{fus}})}{dE^2}$$

$$D_{\text{fus}}^{(cl)}(E) = \sum_k w_k \delta(E - V_b^{(k)})$$



$$D_{\text{fus}}(E) = \sum_k w_k D_0(E; V_k)$$



sensitive to  
nuclear structure

- ◆ N. Rowley, G.R. Satchler, and P.H. Stelson, PLB254('91) 25
- ◆ A.M. Stefanini et al., Phys. Rev. Lett. 74 ('95) 864
- ◆ M. Dasgupta et al., Annu. Rev. Nucl. Part. Sci. 48('98)401

# A Bayesian approach to fusion barrier distributions

## Fusion barrier distributions

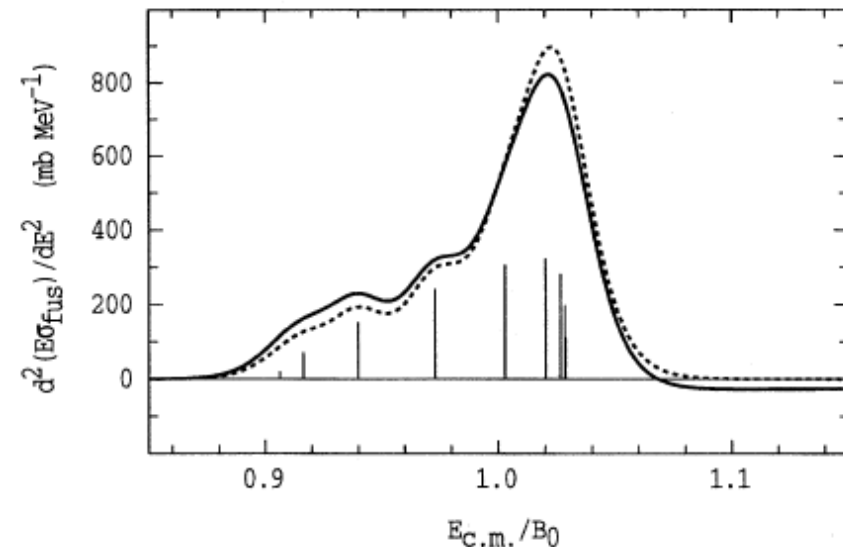
K.H., PRC93 ('16) 061601(R)

### ➤ Coupled-channels analyses

- ✓ a standard approach
- ✓ need to know the nature of collective excitations

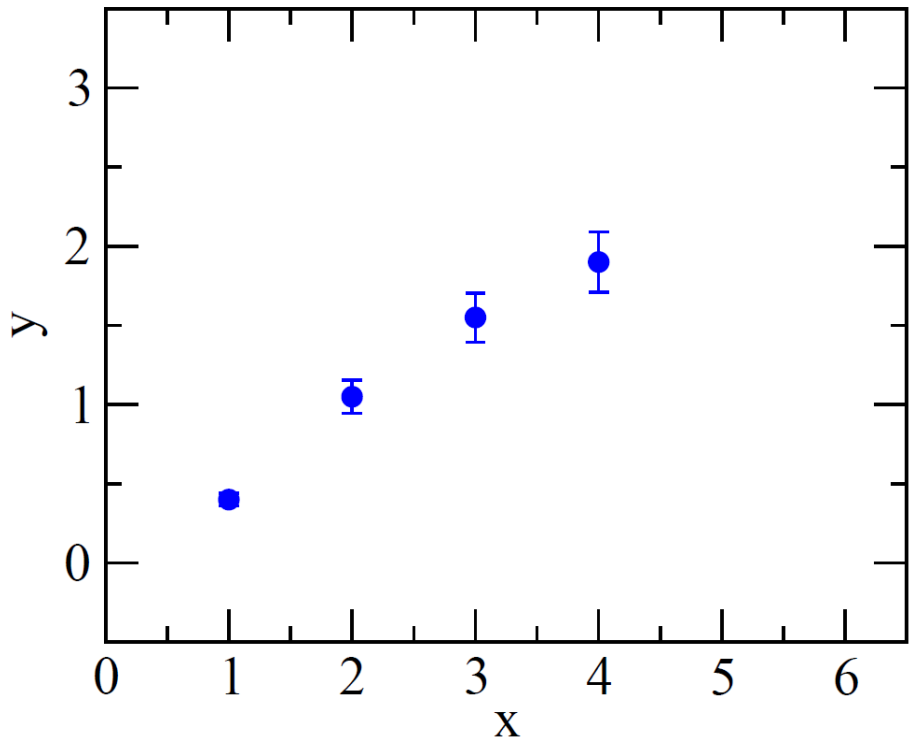
### ➤ Direct fit to experimental data

$$D_{\text{fus}}(E) = \sum_k w_k D_0(E; B_k, R_k, \hbar\Omega_k)$$

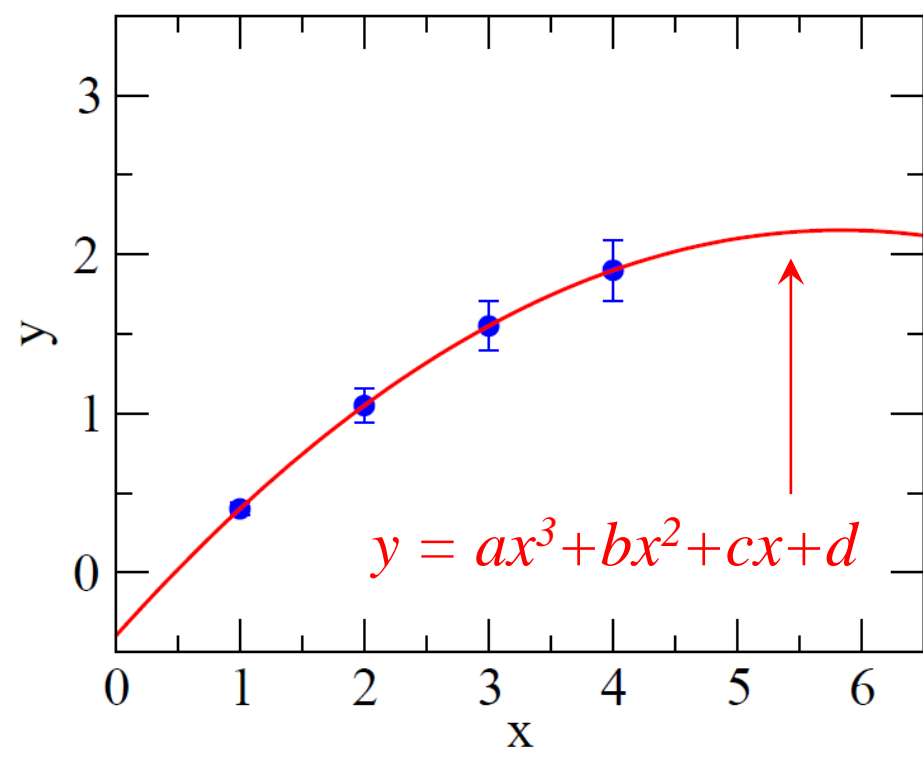


- ✓ phenomenological
- ✓ no need to know the nature of coll. excitations
- ✓ quick and convenient way
- ✓ mapping from D to  $T_l$  (cf. SHE)
- ✓ the number of barriers? ← (over-fitting problem)

over-fitting problem

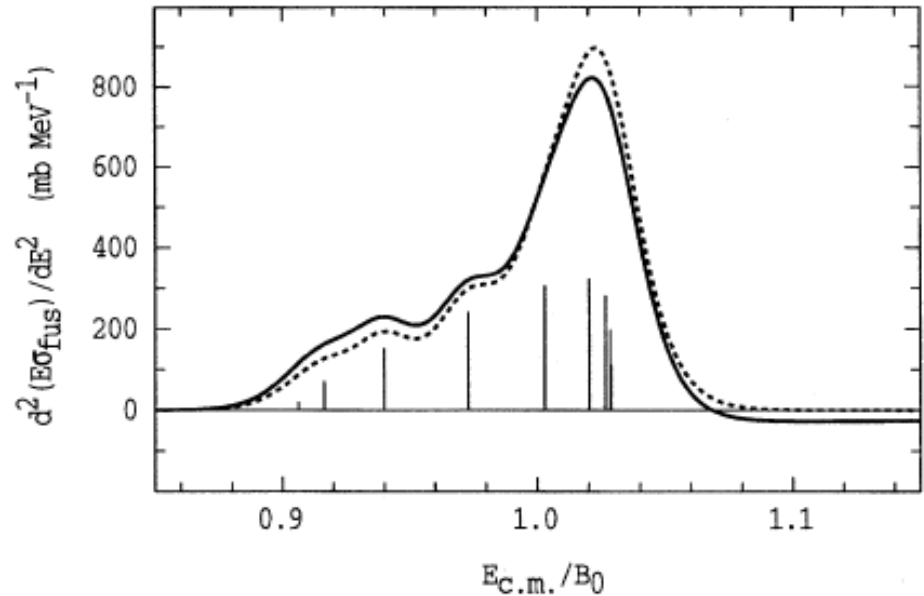
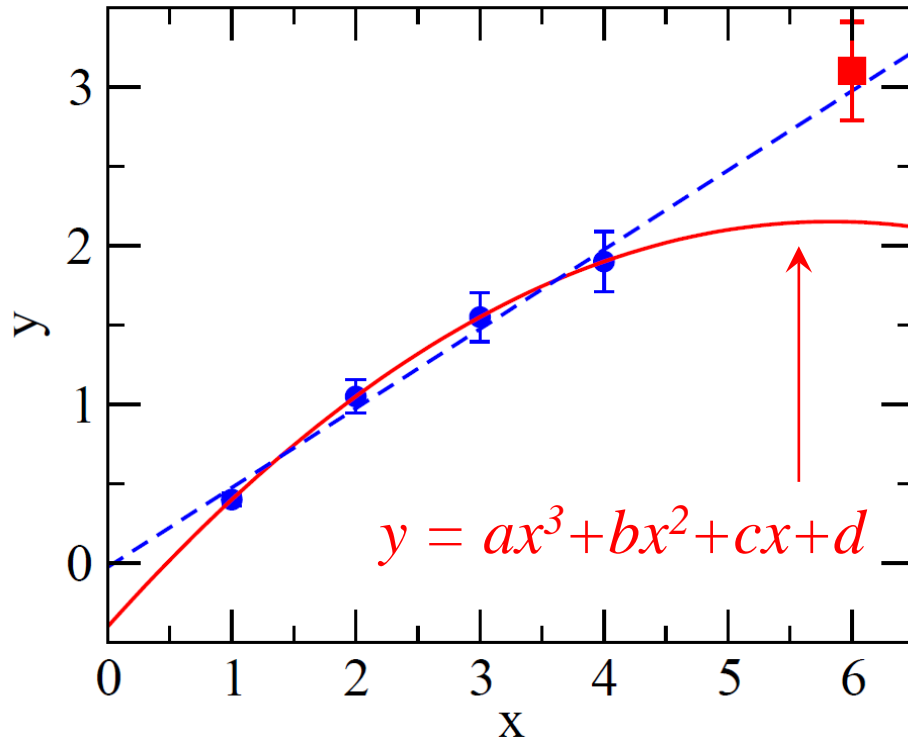


over-fitting problem





## over-fitting problem



J.R. Leigh et al., PRC52 ('95) 3151

one can make  $\chi^2$  small  
by increasing the number of  
barriers

how many barriers?

## Bayesian statistics

- ✓ data set:  $D_{\text{exp}} = \{E_i, d_i, \delta d_i\} \quad (i = 1 \sim M)$
- ✓ fit with  $d_{\text{model}}(E; a)$      $a$ : a model parameter

## Bayes theorem

$$P(a|D_{\text{exp}}) \propto P(D_{\text{exp}}|a)P(a)$$

$P(a)$  : a prior probability of  $a$   
(a guess distribution before experiment)

$P(D_{\text{exp}}/a)$  : a probability to realize  $D_{\text{exp}}$  when  $a$  is given

$$P(D_{\text{exp}}|a) \propto \exp \left[ -\frac{1}{2} \sum_i \left( \frac{d_i - d_{\text{model}}(E_i; a)}{\delta d_i} \right)^2 \right]$$

$P(a|D_{\text{exp}})$  : a posterior probability of  $a$   
(an updated distribution after knowing the data)

# Bayesian statistics

## Bayes theorem

$$P(a|D_{\text{exp}}) \propto P(D_{\text{exp}}|a)P(a)$$

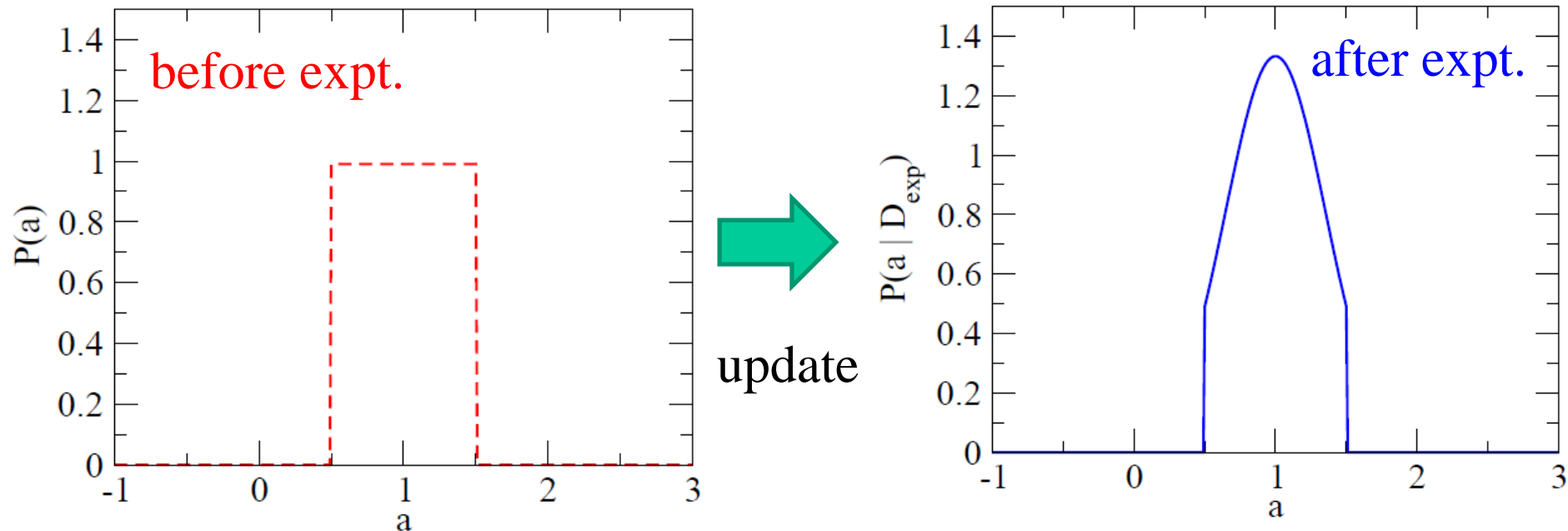
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$P(a|D_{\text{exp}})$  : a posterior probability of  $a$

(an updated distribution after knowing the data)



✓ data set:  $D_{\text{exp}} = \{E_i, d_i, \delta d_i\} \quad (i = 1 \sim M)$

✓ fitting function:  $D_{\text{fit}}(E; \tilde{\theta}, K) = \sum_{k=1}^K w_k \phi_k(E; \theta_k), \quad \tilde{\theta} \equiv \{w_k, \theta_k\}$

**$K$ : the number of barriers**

**Bayes theorem**

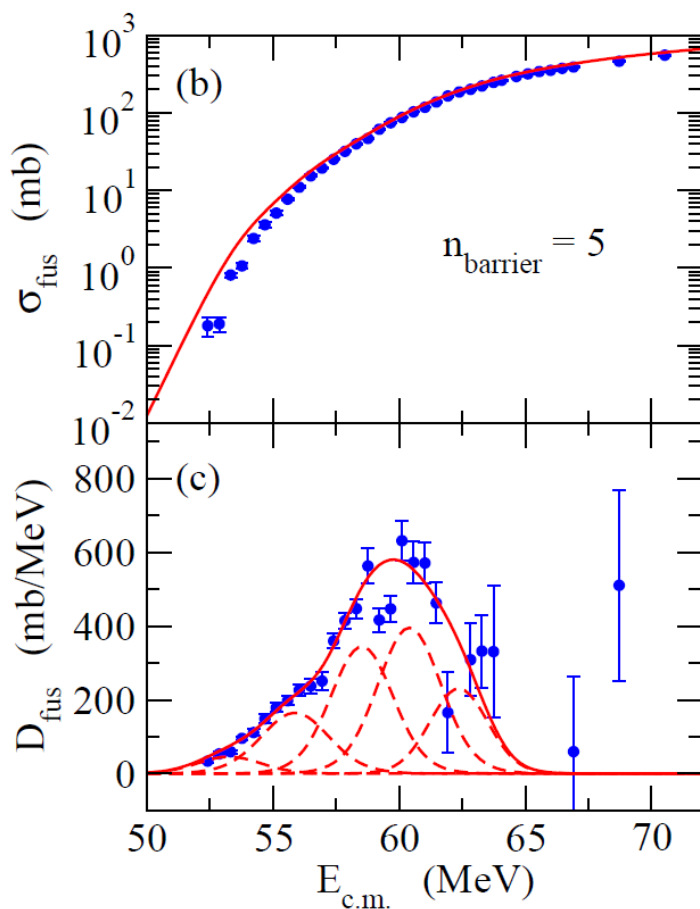
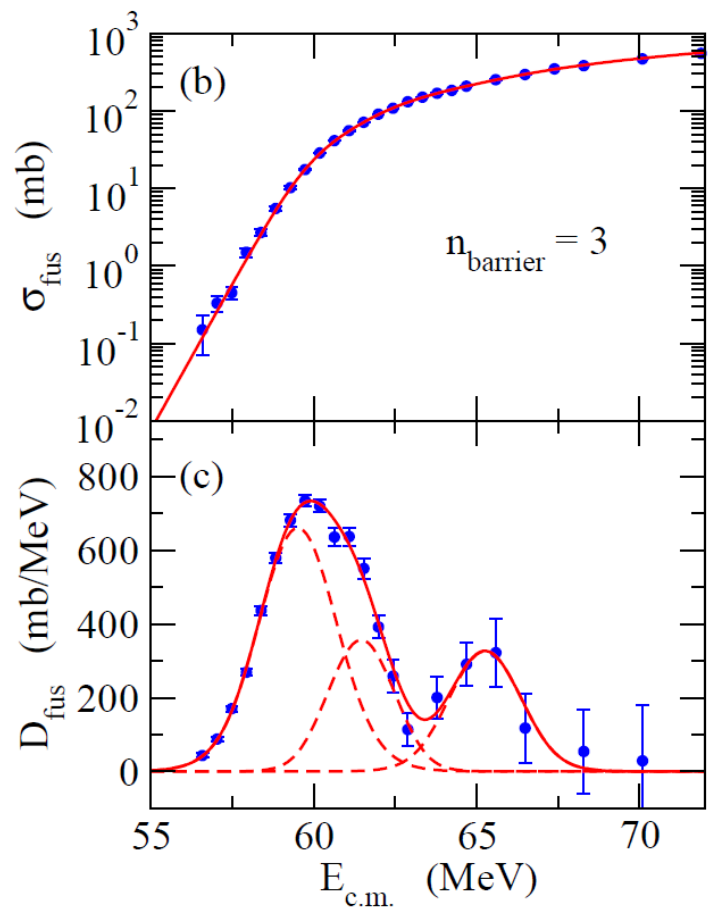
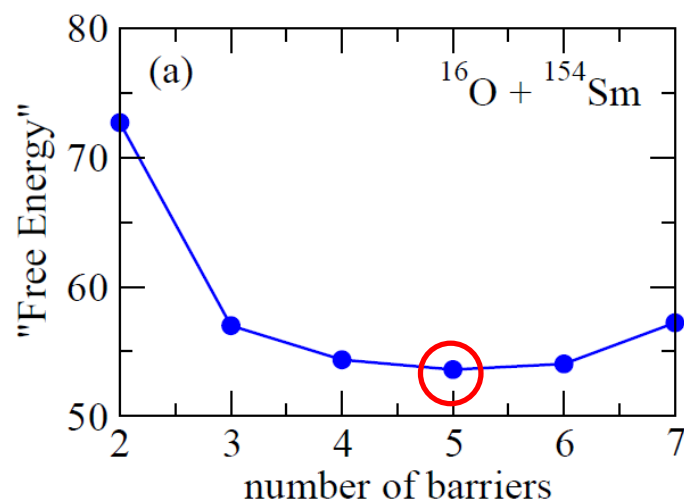
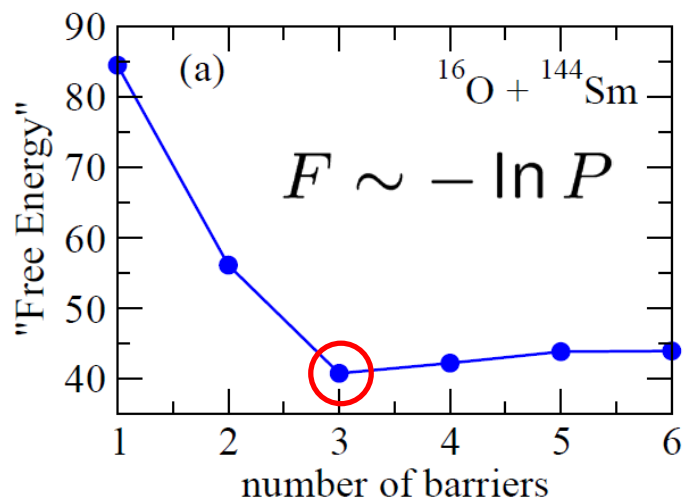
$$\begin{aligned} P(K|D_{\text{exp}}) &\propto P(D_{\text{exp}}|K)P(K) \\ &\propto P(D_{\text{exp}}|K) = \int d\tilde{\theta} e^{-\chi^2(\tilde{\theta}, K)/2} P(\tilde{\theta}) \end{aligned}$$

$$\chi^2(\tilde{\theta}, K) = \sum_{i=1}^M \left( \frac{d_i - D_{\text{fit}}(E_i; \tilde{\theta}, K)}{\delta d_i} \right)^2$$

most probable value of  $K$ : maximize  $P(K|D_{\text{exp}})$

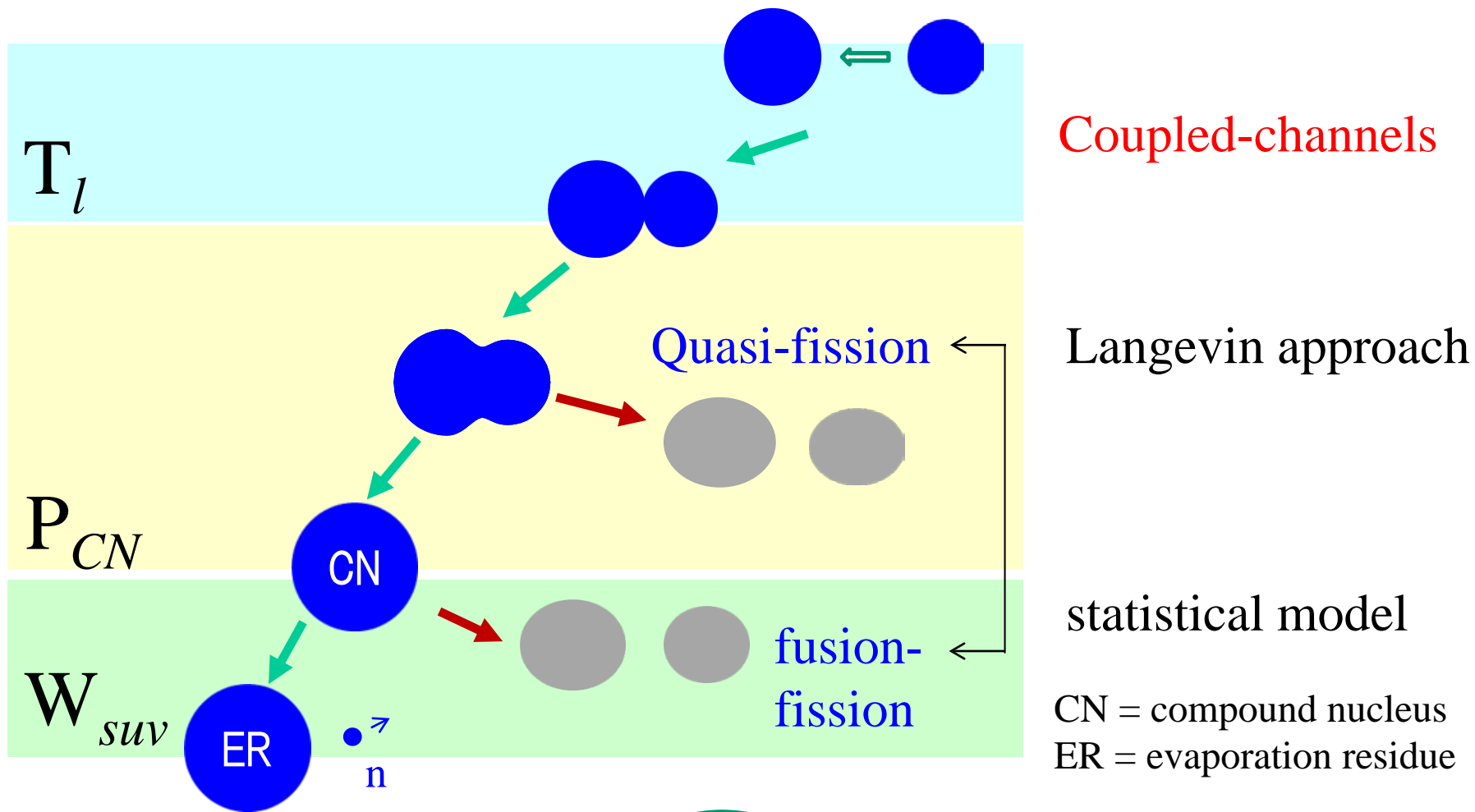
or, equivalently, minimize  $F = -\ln P(K|D_{\text{exp}})$

→ optimize the other parameters for a given value of  $K$



K.H., PRC93  
(‘16) 061601(R)

# Future perspective: application to SHE formation reactions



$$\sigma_{ER}(E) = \frac{\pi}{k^2} \sum_l (2l + 1) T_l(E) P_{CN}(E, l) W_{suv}(E^*, l)$$

$T_l$  from  $\sigma_{cap}$ ?

## Bayesian approach to $\sigma_{\text{ER}}$

$$D_{\text{exp}}(E) = \sum_{i=1}^K w_k D_0(E; V_k(r))$$

↑  
either  $D_{\text{fus}}$  or  $D_{\text{qel}}$

➡  $T_l = \sum_{k=1}^K w_k T_l(E; V_k(r))$

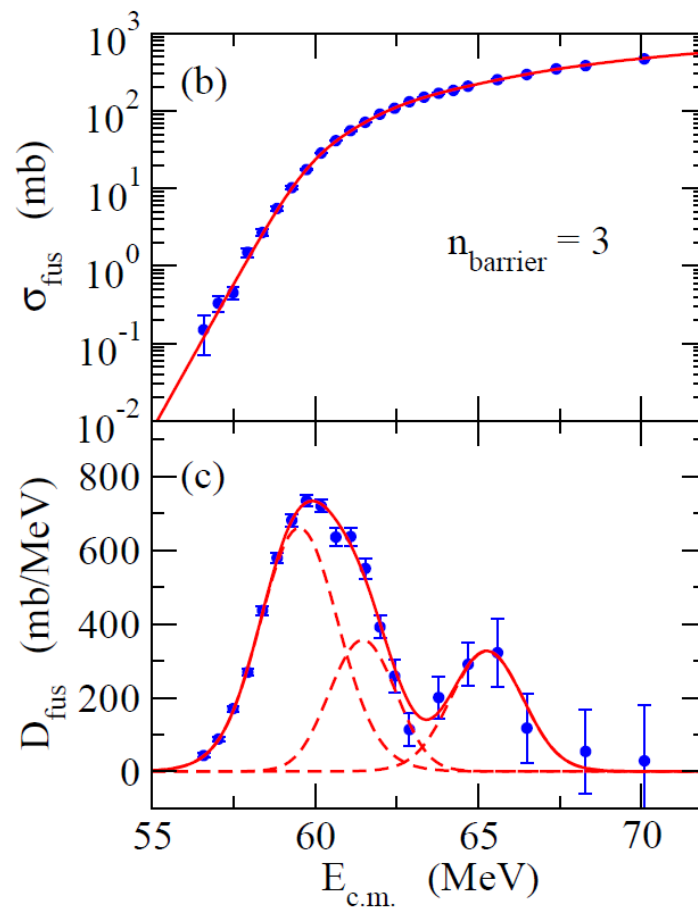
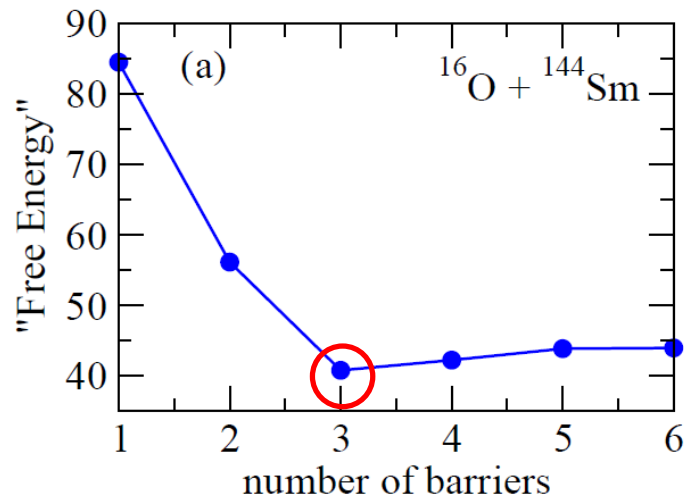
\* no need to know the details  
of the couplings

+

Langevin + stat. model calculations

$$\sigma_{\text{ER}}(E) = \frac{\pi}{k^2} \sum_l (2l+1) T_l(E) \\ \times P_{\text{CN}}(E, l) W_{\text{suV}}(E^*, l)$$

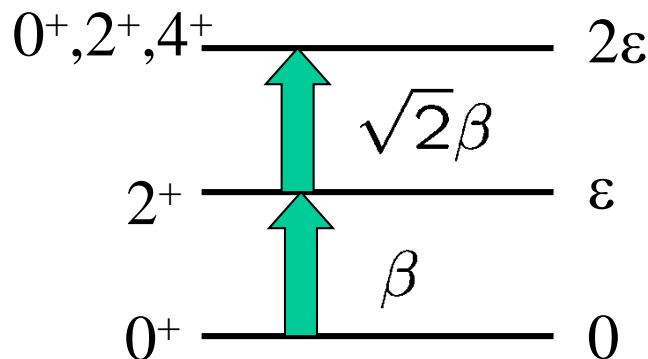
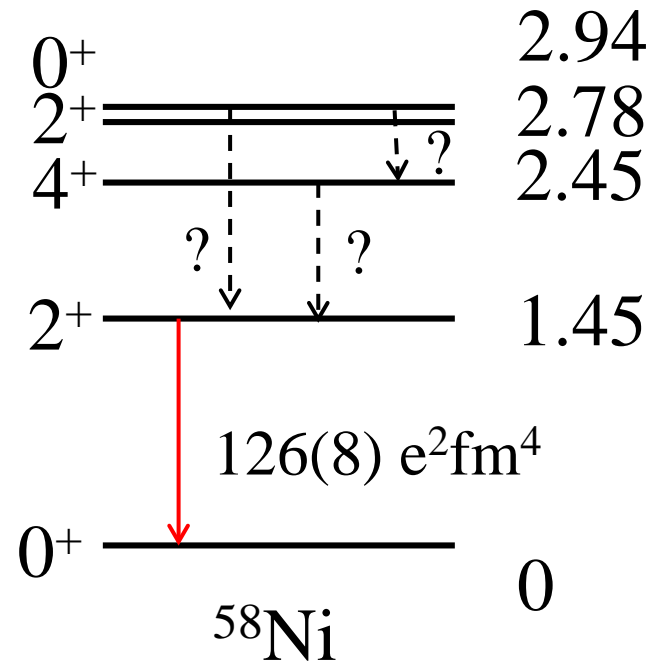
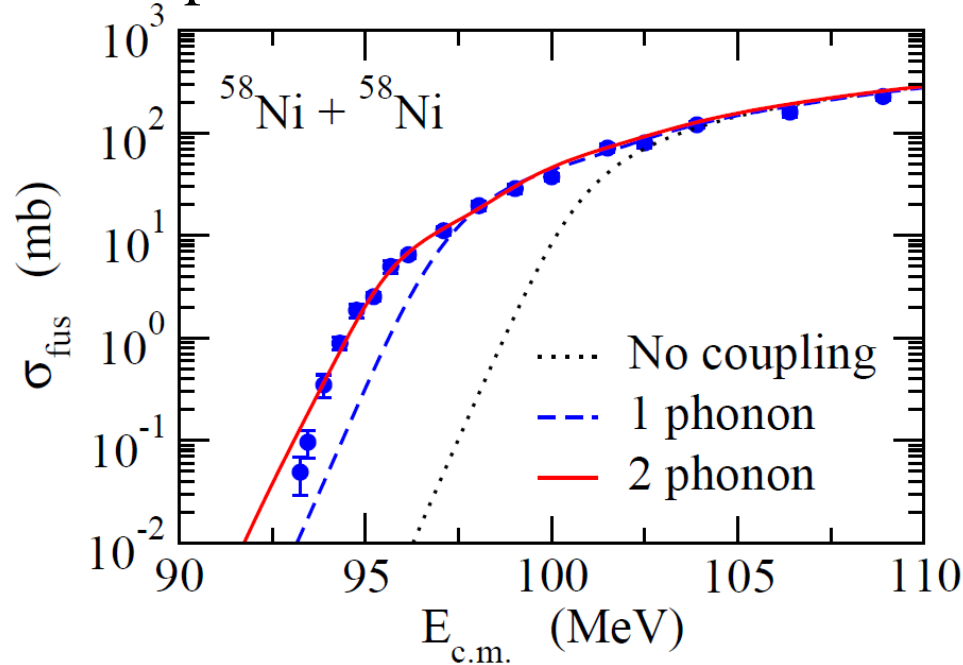
superheavy elements



# Semi-microscopic modeling of sub-barrier fusion

K.H. and J.M. Yao, PRC91('15) 064606

multi-phonon excitations



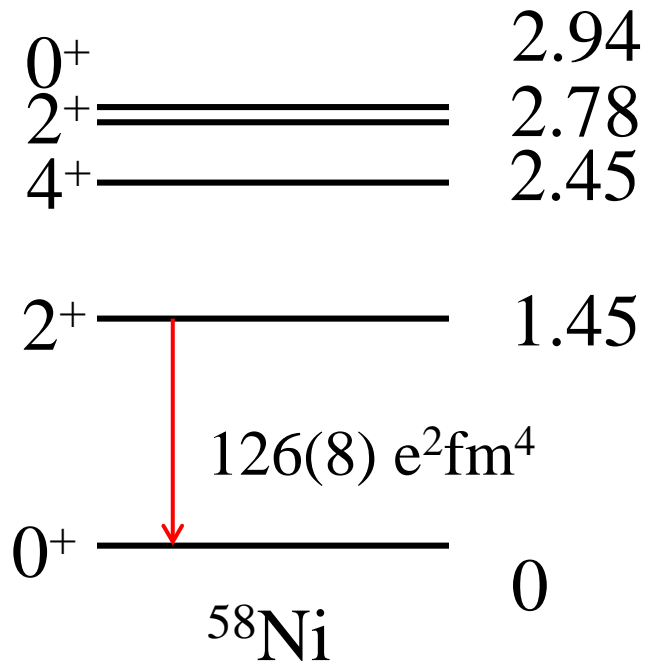
Simple harmonic oscillator  
 → justifiable?

Often data available only for  
 the 1st excited state



## Anharmonic vibrations

- Boson expansion
- Quasi-particle phonon model
- **Shell model**
- Interacting boson model
- **Beyond-mean-field method**



$$Q(2_1^+) = -10 \pm 6 \text{ efm}^2$$

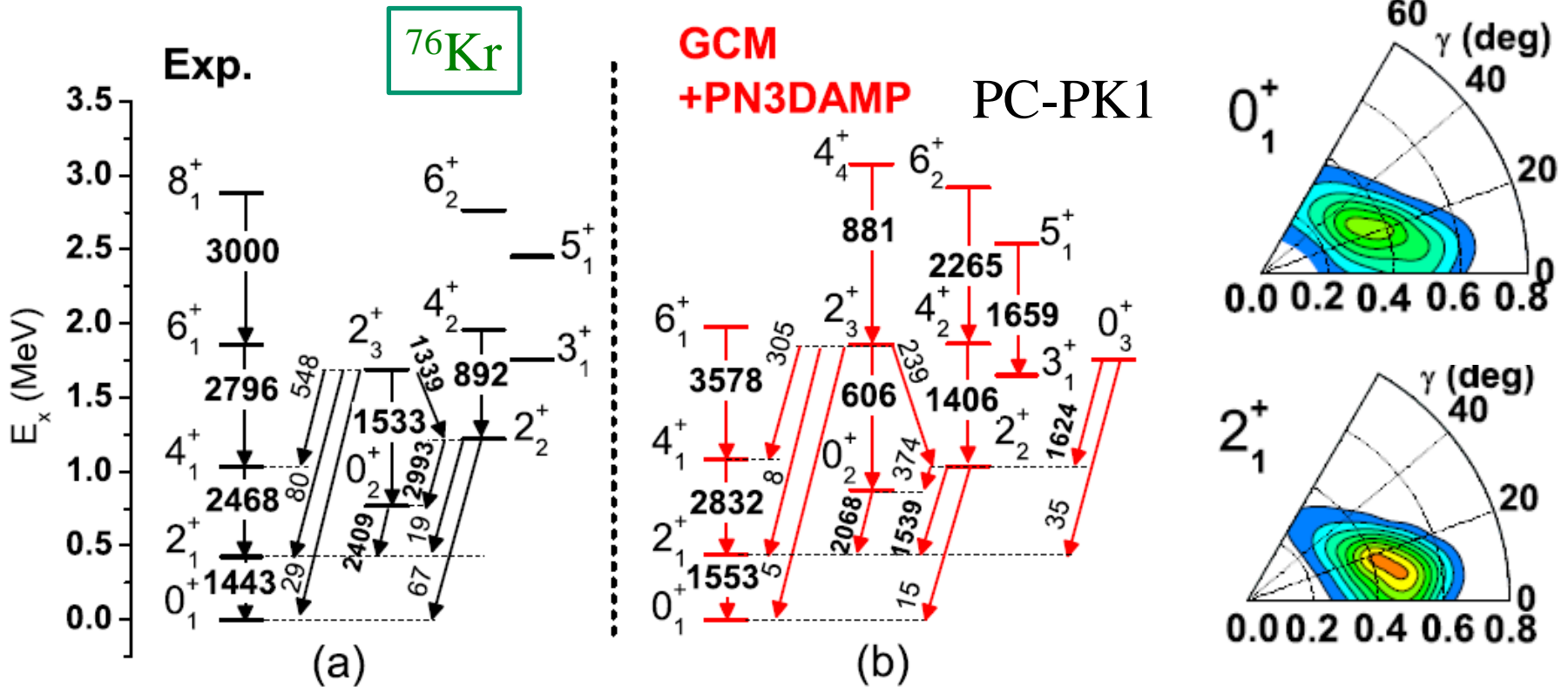
$$|JM\rangle = \int d\beta f_J(\beta) \hat{P}_{M0}^J |\Phi(\beta)\rangle$$

- ✓ **MF + ang. mom. projection**  
+ particle number projection  
+ **generator coordinate method (GCM)**

M. Bender, P.H. Heenen, P.-G. Reinhard,  
Rev. Mod. Phys. 75 ('03) 121  
J.M. Yao et al., PRC89 ('14) 054306

# beyond mean-field approximation

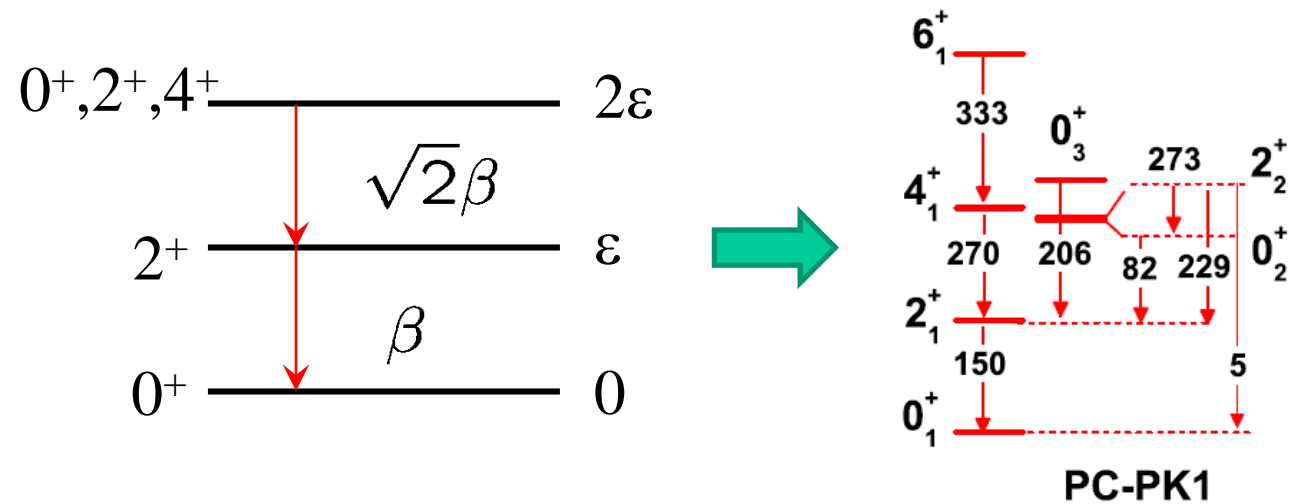
- ✓ angular momentum + particle number projections
- ✓ quantum fluctuation (GCM)



J.M. Yao, K.H., Z.P. Li, J. Meng, and P. Ring, PRC89 ('14) 054306

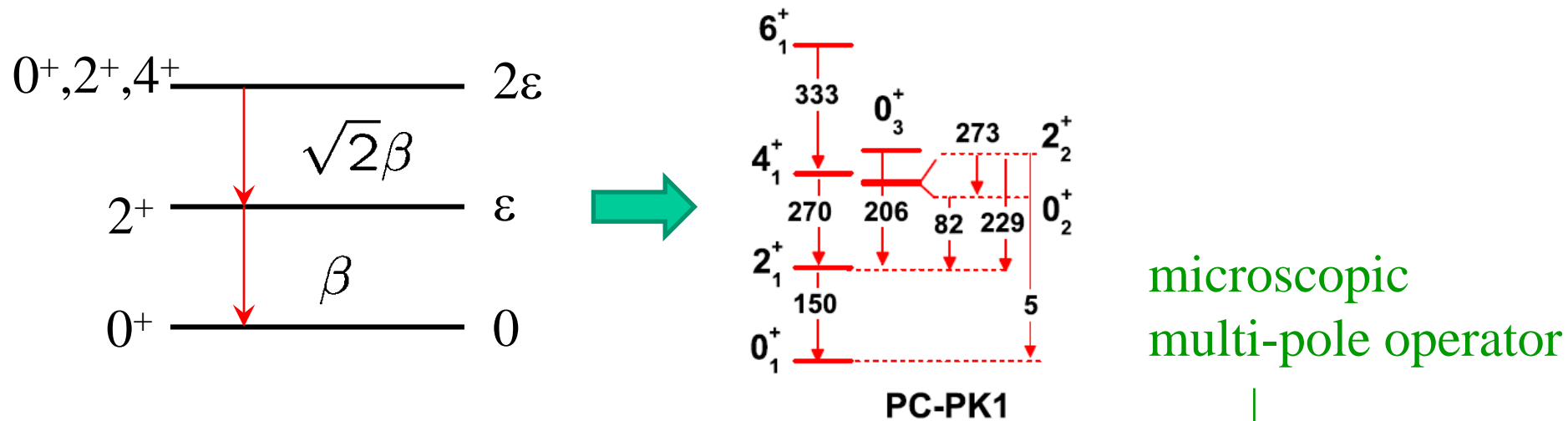
# Semi-microscopic coupled-channels model for sub-barrier fusion

K.H. and J.M. Yao, PRC91 ('15) 064606



# Semi-microscopic coupled-channels model for sub-barrier fusion

K.H. and J.M. Yao, PRC91 ('15) 064606



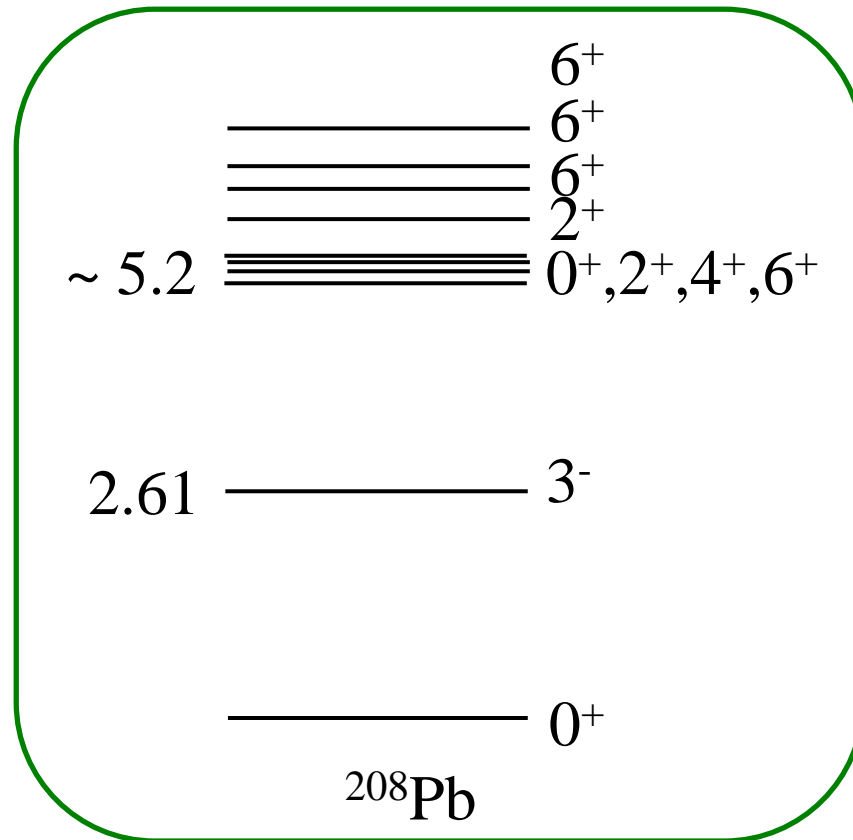
$$\checkmark \quad V_{\text{coup}} \sim -R_T \frac{dV_N}{dr} \alpha_\lambda \cdot Y_\lambda(\hat{r}) \rightarrow -R_T \frac{dV_N}{dr} Q_\lambda \cdot Y_\lambda(\hat{r})$$

- ✓  $M(E2)$  from MR-DFT calculation ← among higher members of phonon states
- ✓ scale to the empirical  $B(E2; 2_1^+ \rightarrow 0_1^+)$
- ✓ still use a phenomenological potential
- ✓ use the experimental values for  $E_x$

\* axial symmetry (no  $3^+$  state)

## Application to $^{16}\text{O} + ^{208}\text{Pb}$ fusion reaction

double-octupole phonon states in  $^{208}\text{Pb}$



M. Yeh, M. Kadi, P.E. Garrett et al., PRC57 ('98) R2085

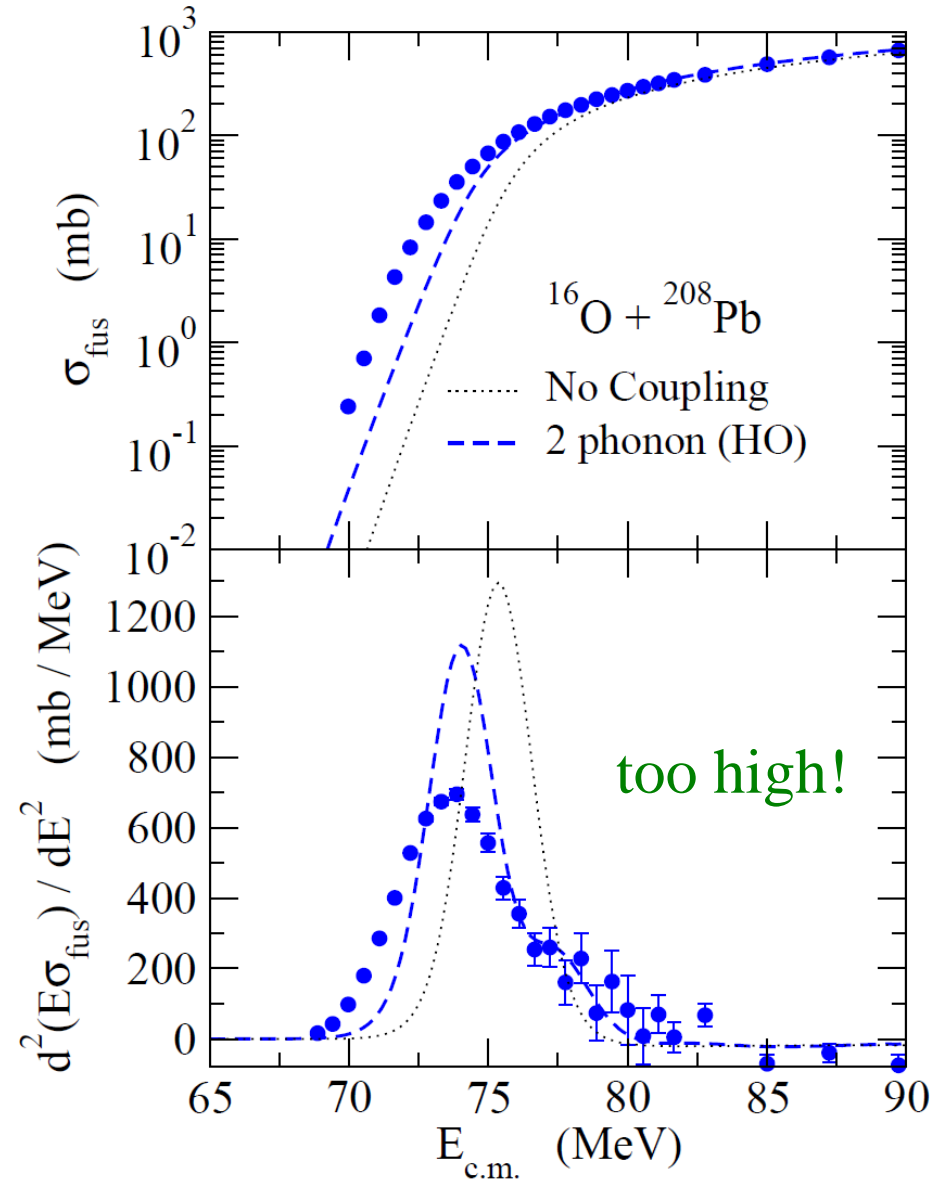
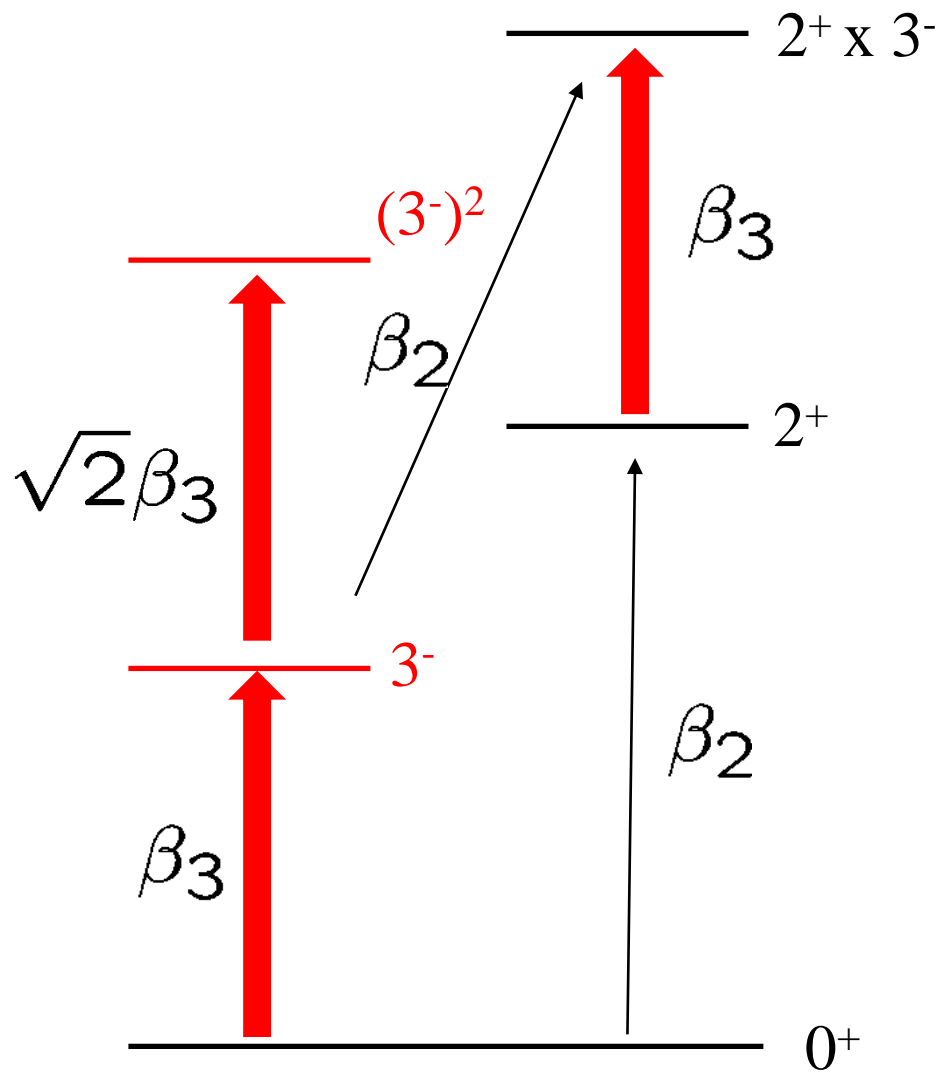
K. Vetter, A.O. Macchiavelli et al., PRC58 ('98) R2631

V. Yu. Pnomarev and P. von Neumann-Cosel, PRL82 ('99) 501

B.A. Brown, PRL85 ('00) 5300

large fragmentations, especially  $6^+$  state

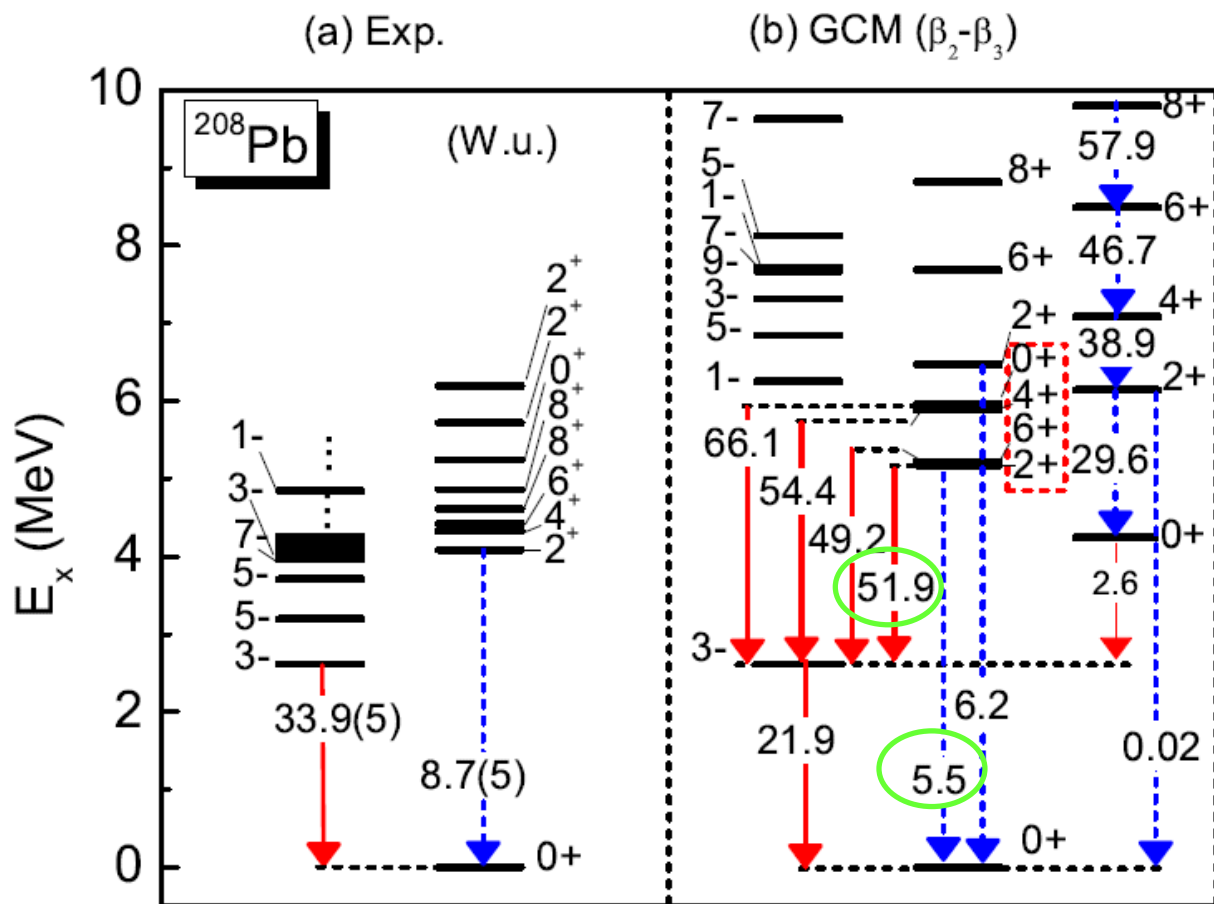
# Application to $^{16}\text{O} + ^{208}\text{Pb}$ fusion reaction



cf. C.R. Morton et al., PRC60('99) 044608

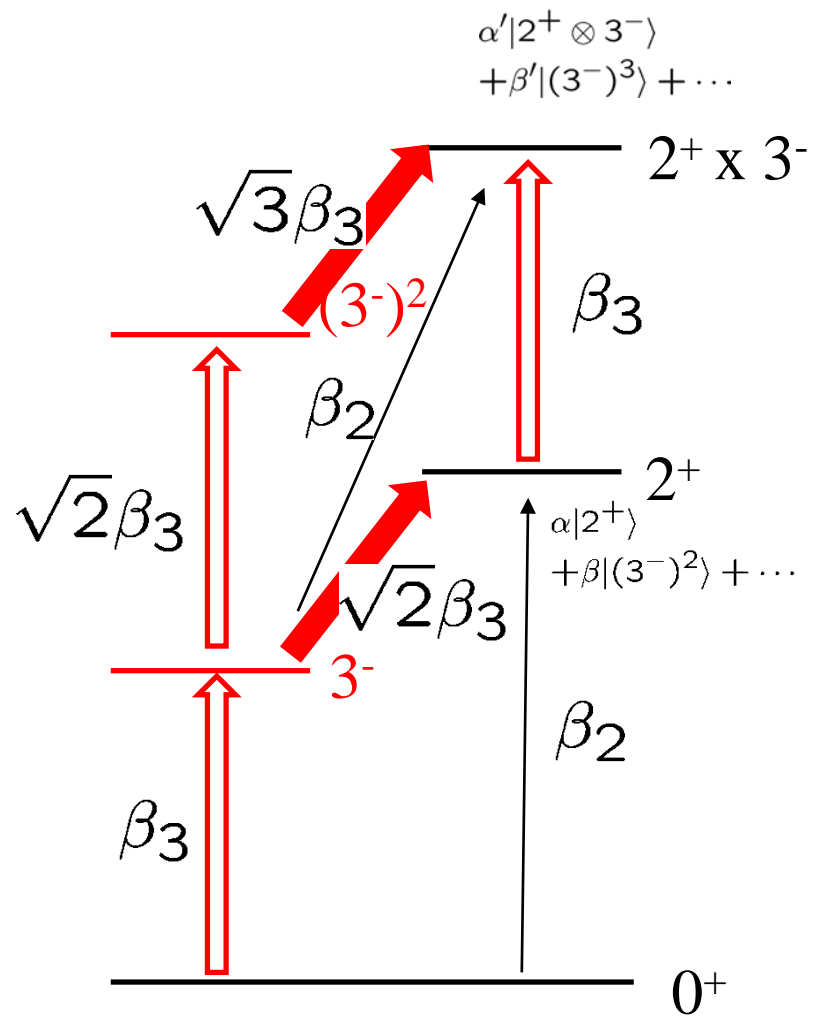
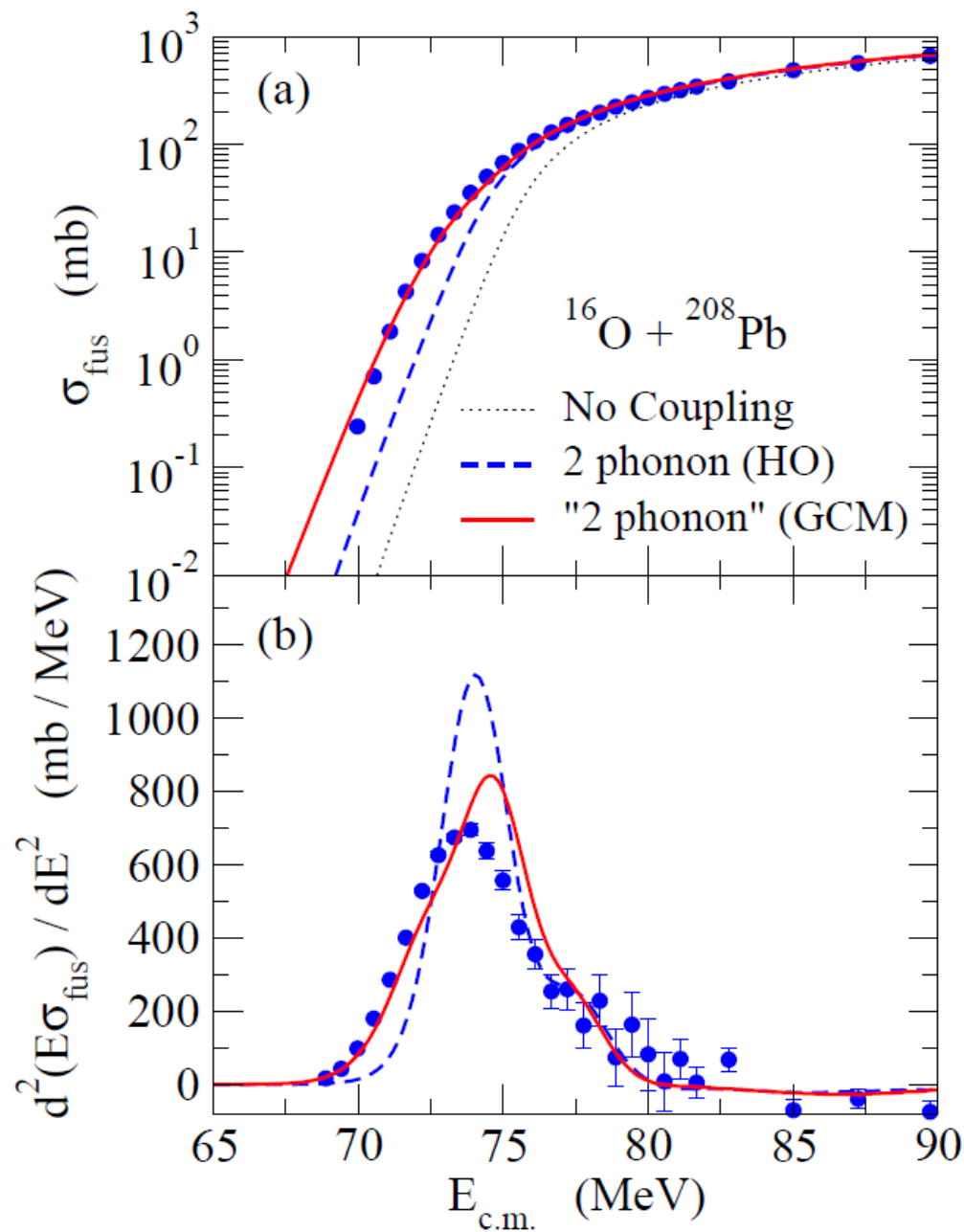
expt. data

fluctuation both  
in  $\beta_3$  and  $\beta_2$



$2_1^+$  state: strong coupling both to g.s. and  $3_1^-$

$$\longrightarrow |2_1^+\rangle = \alpha|2^+\rangle_{\text{HO}} + \beta|[3^- \otimes 3^-]^{(I=2)}\rangle_{\text{HO}} + \dots$$



J.M. Yao and K.H.,  
PRC94 ('16) 11303(R)



# Summary

## Heavy-ion subbarrier fusion reactions

- ✓ strong interplay between reaction and structure  
cf. fusion barrier distributions

### ➤ A Bayesian approach to fusion barrier distributions

- ✓ a quick and convenient way to analyze data
- ✓ determination of the number of barriers

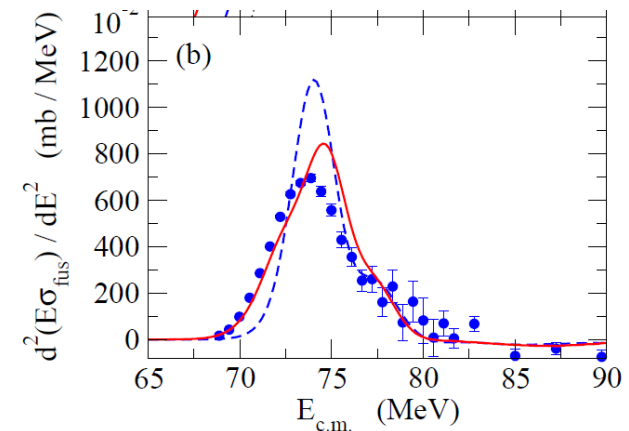
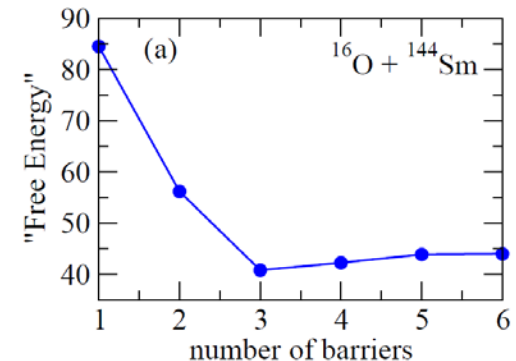
### ➤ C.C. calculations with rel. beyond MF method

- ✓ anharmonicity
- ✓ truncation of phonon states
- ✓ octupole vibrations:  $^{16}\text{O} + ^{208}\text{Pb}$

more flexibility:

- application to transitional nuclei

C.C. with shell model?



# FUSION20

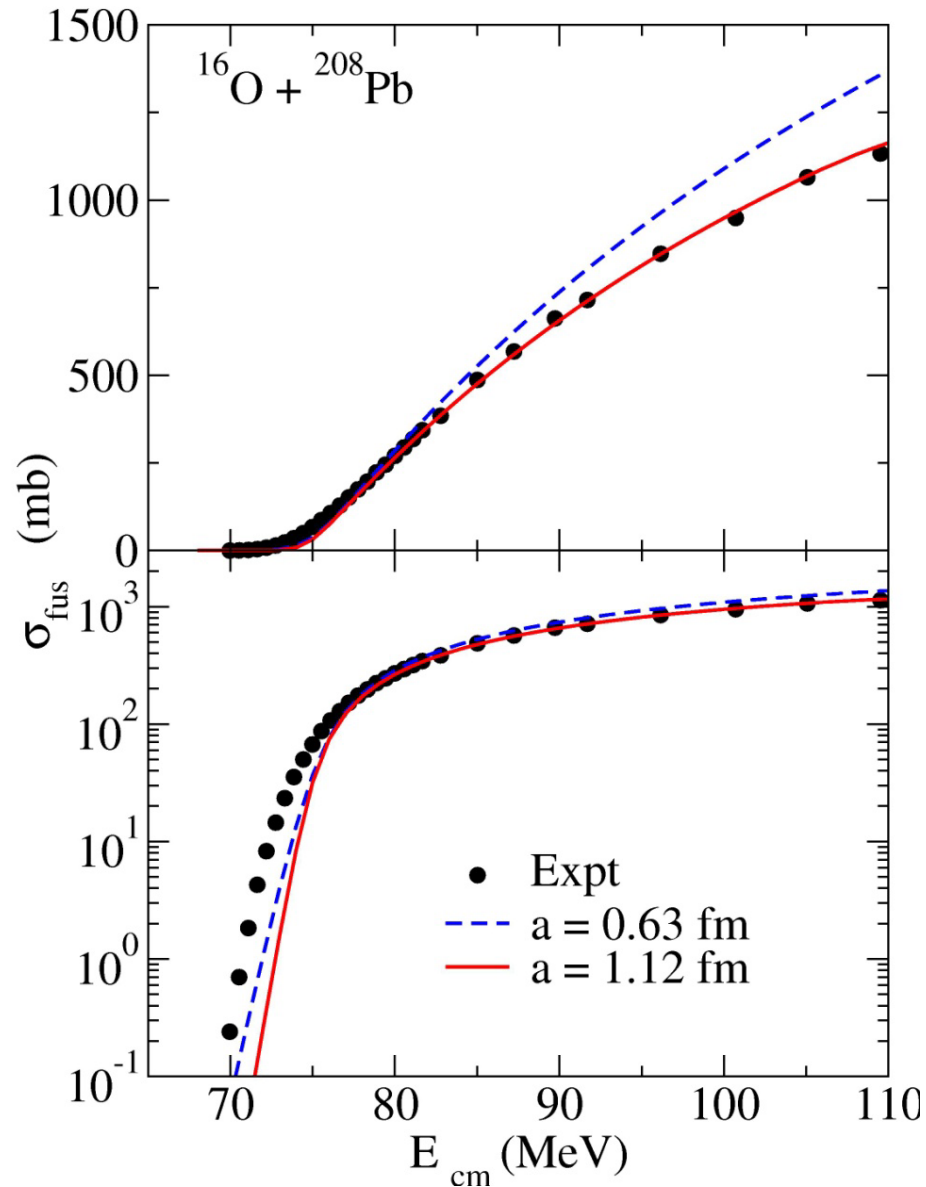
November 16-20, 2020  
Shizuoka, Japan

Kouichi Hagino (co-chair) Tohoku University  
Katsuhisa Nishio (co-chair) JAEA





## Why not full microscopic treatment?



microscopic potential  
(e.g., double folding potential)

$$\longrightarrow a \sim 0.63 \text{ fm}$$

does not work for fusion